

Bangladesh

Smallholder Agricultural Competitiveness Project Supervision Report

Main report and appendices

Mission Dates: 26 October to 7 November 2019
Document Date 07/01/2020
Project No. 2000001464
Report No. 5290-BD

Asia and the Pacific Division
Programme Management Department

Abbreviations and Acronyms

AIS	Agriculture Information Service
AWPB	Annual Work Plan & Budget
BADC	Bangladesh Agriculture Development Corporation
BARC	Bangladesh Agricultural Research Council
BARI	Bangladesh Agricultural Research Institute
BINA	Bangladesh Institute of Nuclear Agriculture
BJRI	Bangladesh Jute Research Institute
BRRI	Bangladesh Rice Research Institute
BSRI	Bangladesh Sugarcrop Research Institution
BWDB	Bangladesh Water Development Board
CIMMYT	International Maize and Wheat Improvement Centre
COSOP	IFAD Country Strategy Opportunity Paper
CPSU	Country Programme Support Unit
DA	Designated Account
DAE	Department of Agriculture Extension
DAM	Department of Agricultural Marketing
DCU	District Coordination Unit
GDP	Gross Domestic Product
ECA	Environmentally Critical Area
ERD	Economic Relations Division
ERR	Economic Return Rate
FAO	Food and Agriculture Organization of the United Nations
FCD	Flood Control and Drainage
FAPAD	Foreign Aided Projects Audit Directorate
FFS	Farmers Field School
FNPV	Financial Net Present Value
FRR	Financial Return Rate
FPMU	Food Planning and Monitoring Unit
FPM	Farmer Production and marketing
FM	Financial Management
GAP	Good Agricultural Practices
GoB	Government of Bangladesh
HVC	High Value Crops
HYV	High Yielding Variety
IFAD	International Fund for Agricultural Development
IRR	Internal Rate of Return
IRRI	International Rice Research Institute
IWM	Irrigation and Water Management
KMC	Knowledge Management and Communication
LGED	Local Government Engineering Department

M&E	Monitoring and Evaluation
MGF	Marketing Group Facilitator
MoA	Ministry of Agriculture
MoWR	Ministry of Water Resources
NAP	National Agriculture Policy
NARS	National Agriculture Research System
NWP	National Water Policy
OC&CAG	Office of the Comptroller & Auditor General
O&M	Operation and Maintenance
PD	Project Director
PHM	Postharvest Management
PO	Project Office
PRA	Participatory Rural Appraisal
PSC	Project Steering Committee
QMC	Quality Management Consultant
QPR	Quarterly Progress Report
RIMS	Results and Impact Management System
SAAO	Sub-Assistant Agriculture Officer
SCA	Seed Certification Agency
SFYP	Seventh Five Year Plan (FY 2016-2020)
SACP	Smallholder Agriculture Competitiveness Project
SRDI	Soil Resources Development Institute
TAC	Technical Advisory Committee
TOT	Training of the Trainers
UTF	Unilateral Trust Fund
WMCA	Water Management Cooperative Associations
WRPO	Water Resources Planning Organization
WMA	Water Management Association
WMF	Water Management Federation
WMG	Water Management Group
WUG	Water User Group
ZEEC	Zero Energy Evaporative Cooler

A. Project Overview

Region:	Asia and the Pacific Division	Project at Risk Status:	Not at risk
Country:	Bangladesh	Environmental and Social Category:	B
Project Name:	Smallholder Agricultural Competitiveness Project	Climate Risk Classification:	1
Project ID:	2000001464	Executing Institution:	Ministry of Agriculture
Project Type:	Rural Development	Implementing Institutions:	Ministry of Agriculture
CPM:	Omer Zafar		
Project Director:	not available yet		
Project Area:	not available yet		

Approval Date:	17/04/2018	Last audit receipt:	not available yet
Signing Date:	01/08/2018	Date of Last SIS Mission:	07/11/2019
Entry into Force Date:	01/08/2018	Number of SIS Missions:	2
Available for Disbursement Date:	10/06/2019	Number of extensions:	0
First Disbursement Date:	12/06/2019	Effectiveness lag:	4 months
MTR Date:	not available yet		
Original Completion Date:	30/09/2024		
Current Completion Date:	30/09/2024		
Financial Closure:	not available yet		

Project total financing

IFAD Financing breakdown	IFAD	\$64,500,000
	Asia and the Pacific Division	\$2,000,000
Domestic Financing breakdown	National Government	\$28,600,000
	Private sector local	\$8,100,000
	Beneficiaries	\$6,600,000
Co-financing breakdown,		
Project total financing:		\$109,800,000

Current Mission

Mission Dates:	26 October to 7 November 2019
Days in the field:	3
Mission composition:	Mr. David Doolan, Agricultural Specialist, Ms. Krajai Chowdhury, Gender and Targeting Specialist, Ms. Christa Ketting, Institution Specialist, Mr. Didarul Islam, Financial Management Specialist, and Mr. Peter Situ, Team Leader
Field sites visited:	Chandainaish, Fatikchari, Mirsharai of Chattogram district

B. Overall Assessment

Key SIS Indicator #1	Ø	Rating	Key SIS Indicator #2	Ø	Rating
Likelihood of Achieving the Development Objective		4	Assessment of the Overall Implementation Performance		4

Effectiveness and Developmental Focus	4	Project Management	4
Effectiveness	4	Quality of Project Management	4
Targeting and Outreach	4	Knowledge Management	4
Gender equality & women's participation	4	Value for Money	4
Agricultural Productivity	4	Coherence between AWPB and Implementation	3
Nutrition	3	Performance of M&E System	3
Adaptation to Climate Change	4	Requirements of Social, Environmental and Climate Assessment Procedures (SECAP)	4

Sustainability and Scaling-up	4	Financial Management and Execution	4
Institutions and Policy Engagement	N/A	Acceptable Disbursement Rate	3
Partnership-building	4	Quality of Financial Management	3
Human and Social Capital and Empowerment	4	Quality and Timeliness of Audit	
Quality of Beneficiary Participation	4	Counterparts Funds	5
Responsiveness of Service Providers	4	Compliance with Loan Covenants	4
Environment and Natural Resource Management	4	Procurement	3
Exit Strategy	4		
Potential for Scaling-up	5		

Relevance	5
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C. Mission Objectives and Key Conclusions

Background and Main Objective of the Mission

The Smallholder Agricultural Competitiveness Project (SACP) is funded by a total financing of USD 109.85 million, with IFAD grant of USD 2 million, PBAS loan of USD 64.5 million, Government counterpart funding of USD 28.65 million, contributions of USD 8.1 million from the private sector, and contributions of USD 6.6 million from beneficiaries. The Financing Agreement was signed on 1st August 2018 in Rome, Italy. The project completion date is 30 June 2024 and its closing date 31 December 2024. The SACP was approved in government's ECNEC meeting on 30 October 2018 and its Reimbursable Project Aid (RPA) fund was released at the end of June 2019 prior to the end of the financial year 2018-2019. An inception workshop was held on 29 July 2019 to mark the operational start-up. The project goal is to contribute to Bangladesh's agriculture smallholders' responsiveness and competitiveness in high value crops (HVC) production and marketing of fresh and/or processed products; its objective is to increase farmers' income and livelihood through demand-led productivity growth, diversification and marketing in a changing climatic condition. The project will be implemented in 30 upazillas under 11 districts in Southern Bangladesh over a period of six years; it has three technical components namely: (i) Component 1 -Enhanced production of HVC and technology adoption, (ii) Component 2 - Processing and marketing of High Value Crops^[1] (HVCs), and (iii) Component 3 - Climate Resilient Surface Water Management, which are supported by a Component 4 of Project Management.

The first IFAD direct supervision mission for the Project was conducted during 26 October – 07 November 2019 in Bangladesh, preceded by a focused implementation support during 19 – 30 July 2019. The objective of this mission is to perform supervision and assess progress to date, provide implementation support and guidance where needed in accordance with the Financing Agreement and relevant IFAD procedures and requirements. The mission undertook field visits in upazilas namely Chandainash, Fatikchari, Mirsharai of Chattogram district. Meetings and consultations were held with representatives of Department of Agricultural Extension (DAE), Department of Agricultural Marketing (DAM), Bangladesh Agricultural Development Corporation (BADC), Bangladesh Agricultural Research Institute (BARI) at relevant levels, and beneficiaries in the visited villages. A wrap-up meeting was conducted on 6 November 2019 in Dhaka, chaired by Director General, DAE to highlight the mission's findings and recommendations; an aide-mémoire was presented as joint agreement of the two parties on assessment of past performance, and related actions and follow-ups for further project implementation.

^[1] High Value Crops (HVC), are defined as crops which have higher profit, per limiting input, then high yielding winter boro rice production; this therefore includes Flowers, Fodders, Fruits, Oilseeds, Pulses, Spices and Vegetables.

Key Mission Agreements and Conclusions

Conclusion: The mission took noted the establishment of a functional Project Management and Coordination Team (PMCT) at national level. The implementing parties of DAE, DAM, BADC and BARI have appointed key government officials, technical staffs, Marketing Facilitators and Junior Consultants to be part of the district coordination units. These district units have extended structure to the upazila level where relevant. This was achieved three months after the approval of DPP by the government which marked the actual operational effectiveness of SACP. Participating staffs were trained on the project's overall implementation framework, and some activities have been initiated to reach the target groups, especially in preparing the future interventions in enhanced production and diversified HVCs. DAE, DAM, BADC and BARI are working on building due synergy to plan and execute the project as one. A Senior Technical Adviser has been appointed as part of the Food and Agriculture Organisation (FAO) Technical Assistance (TA) to SACP and other Technical positions as national specialists are currently being filled. The FAO TA has shown benefits of supporting the National Project Director (PD) in developing an integrated programmatic approach, in addition to its expected interventions under the three technical components. Preparatory works are primarily in line with the project design, presenting a good perspective of achieving the expected impacts and outcomes if the cooperation momentum continues along the project implementation.

Key mission agreements are highlighted below. The mission recommends a series of follow up actions which will be presented in detail in the supervision main report.

1. Nurturing agribusiness dynamics among the participating farmers' groups to effectively benefit from the project investments in enhanced production and post-harvest management including marketing, supported by improved access to surface water resources and market demand-led action research;
2. Strengthening the capacity of Marketing Facilitators who are the key medium to achieve market connections;
3. Establish and train the farmers groups, marketing groups and water user groups in a participatory manner to ensure ownership and better responsiveness to the group's needs.
4. Establish the project Monitoring and Evaluation (M&E) system as top priority to assist the operational management and decision making with timely records and reports from all the activity centres, and ensure the baseline survey is tailored to address the quantification of key indicators in the project logframe; and
5. Prioritize FAO TA in supporting the project senior management in focus areas such as inter-component/agency synergy building, leveraging technical expertise from FAO Country Team and portfolio, and FAO Regional Office. FAO should recruit a market support/value chain specialist as part of its national team in support of SACP.

Given the recent operational start-up and limited activities implemented at field level, the mission's assessment on relevant areas and their related ratings were primarily based on the design's rationale and relevance revisited, preliminary indications of performance from field implementation, and the project's likelihood in observing a steady trend of progress in the coming months.

D. Overview and Project Progress

Up to the end of October 2019, the project's total disbursement was recorded for a total of USD 8.298 million, amounting to 8% of all contributions including contributions from the private sector and beneficiaries or 9% excluding the latter. Disbursement of IFAD loan amounted a total of USD 6.39 million some 10% of allocation, IFAD grant USD 790,000 amounting to 40%, and government counterpart funding USD 1.118 million amounting to 4%. Physical progress remained limited due to the recent operational start-up.

Component 1: Enhanced production of HVC and technology adoption.

1.1. Assessment of HVCs and group mobilization Group mobilisation of male groups proceeded rapidly by including =groups with which the Department of Agricultural Extension was already working. Some 10,400 groups have been mobilised against a life of project target of 10,000 groups, with membership of 260,000 against the target of 250,000. Of these 210 are female groups with the remainder substantially male dominated but with some female members where supported by local social norms. Female participation at this early stage of the project is 45,000 against a target of 75,000, but there is sufficient time in the coming 18 months to address this target.

Purpose of FGs. There is a need to work with the groups and to reorient them towards more integrated group actions, allowing them to engage with the needs of the market. A programme of group capacity building (perhaps supported by the FAO TA component) is needed to develop a clear understanding of the roles and responsibilities of the group members vis-à-vis viz the project and its activities. A clear 'terms of partnership' should be developed and the agreement of the community organisations needs to be obtained stressing the cost sharing partnership approach of this component. Some thought should be given to naming the groups to convey this concept, rather than 'SACP Group' which has no meaning for beneficiaries. Perhaps a Bangla translation of something like 'Farmer Market Linkage Group', or 'Farmer Mutual Marketing Organisation' or 'Farmers Marketing Collective' could be instituted.

Field observations and review of photos on activity record indicated that the training was done in a style of classroom lecturing, with farmers lined up in rows of seats. Participatory training should be brought in and involved technical officers and market facilitators should have mastered such methodology before their interactions with farmers.

1.2. Demand-driven production and market-led research BARI has developed packages of technologies for introduction under the project based on agronomic potential and while a good start has been made there is a need to match these with potential buyers and market outlets. Demonstrations, particularly of fruit orchards have commenced with some 340 established during the monsoon period. As these can take two to three years to commence production before the full demonstration of benefit becomes clear, this early action is to be commended. The use of the FAO TA particularly on providing a technical input on the citrus (Malta/Orange) demonstrations would be beneficial at this early stage of expansion of this potential crop – in particular to look at rootstock effects on fruit quality parameters that will have implications for market preference but also on addressing complications from virus and virus like infections.

The value chain mapping study has been put out to tender and once finalised should form the basis for further identification on specific opportunities linking producers to buyers. The close involvement of BARI in developing technological innovations and working with DAE in developing appropriate FFS curricula for each specific crop focused on culture of the crop to meet a market need will be essential. The VC mapping study should include the analysis of possible production clustering for HVCs such as Malta that show good perspectives of accessible market linkage.

1.3. Institutional support for research and extension: The project team of national officers seconded from BADC, BARI, DAE and DAM are in place, competitive recruitment has been undertaken for consultant support, with candidates expected to join in the near future. 20 Marketing Facilitators have been appointed under DAM and 22 Junior Consultants have been already posted in respective project area under DAE.

Development of a comprehensive integrated work plan can come out of the facilitated retreat and planning event proposed once all staff are on board and the entire project can come to a common understanding of the project approach.

Component 2: Processing and marketing of HVC

2.1. Improving market linkage: The market linkage envisaged under this component is the key stone in ensuring that farmer commercialisation and profitability are addressed. The component has moved quickly to recruit 20 Market facilitators to commence this work. However, the project design required 30 such facilitator positions, cutbacks imposed on the project in this respect pose a serious risk to attaining the project objectives. The mission therefore recommends the project to explore whether budget can be made available to attract 10 additional facilitators to support the project.

Market facilitators' importance in market connection. The close interaction and engagement with the FAO TA in this component offers significant synergies. FAO can provide hands-on training and orientation for DAM. This enables Marketing Facilitators to engage with the buyers who are the essential point of contact for farmers to dispose of their product while meeting market needs. The key consideration is that farmers are moving from semi subsistence production of staple crops to commercialisation in a competitive high value crop trading system, where they will need support from the project. This support must come mainly in the form of knowledge on market needs, suitable buying partners and how best they can access higher value points on the value chain. For this the market facilitators would benefit from in depth training on buyer identification, opportunity matching, group actions to promote market access, use of product attribute in price negotiations etc.

2.2. Post-harvest and processing investments: While for many high value crops fresh market outlets are likely to deliver the highest returns, in some cases on-farm minimal processing of product may allow farmers engage with higher level processors who can access the increasingly sophisticated market for processed products in the country. Rather than trying to process to meet end market needs, with all the requirements for Bangladesh Standards and Testing Institution (BSTI) certification, compliance with the regulations of the newly created Bangladesh Food Safety Authority (BFSA) etc, it may be more profitable to link to existing businesses active in this sector. Regarding storage, experiences from other IFAD projects indicated that building linkages between a private cold storage business and farmer groups where product can be stored on contract, may be a more viable option, particularly in the early years of the project. Given the complexity of agro-processing investments, these would be more appropriately addressed after the third or fourth year of project implementation, when the project team will have built their own capacity and had a chance to fully engage with the major processing and storage firms in the country.

2.3. Improved awareness of nutrition, hygiene and food safety The programme should leverage information already available with the Bangladesh Food Safety Authority which has developed excellent advocacy products promoting safe and hygienic handling for food items. These can be included as a module in project trainings as appropriate.

Similarly, considerable advocacy material is available on nutrition messaging with the FAO project 'Meeting the Undernutrition Challenge' under the Food Policy Monitoring Unit of the Ministry of Food, and from the 'Common Narrative for Nutrition' in Bangladesh, that the project can draw upon for messaging on this important topic.

As it is still early in the project life cycle, the opportunity to develop the programme approach to these topics can be taken at the planning retreat to detail how the programme will integrate this messaging into its activities.

Component 3: Climate Resilient Surface Water Management

3.1. Conservation and utilization of surface water: 294 km of small sized canals and 190 km of medium sized canals will be excavated & 250 km of buried pipes for new irrigation scheme will be installed under component 3.1. The government Development Project Proposal (DPP) identified 910.95 km canals and buried pipes for project interventions. A feasibility and technical study will be concluded to propose the exact locations of 484 km of canals eligible for project interventions. To agree upon the final sites, individual proposals need to receive consent from the Upazila Irrigations Committee, which is chaired by the Upazila Nirbahi Officer (UNO), local Upazila Chairperson is the Main Advisor and composed of stakeholders from relevant agencies such as Local Government Engineering Department (LGED), Bangladesh Water Development Board (BWDB), Livestock, Fisheries, Cooperative Department and DAE. Final concurrence for the proposals will be provided by a SACP District Coordination Committee. The project is still forming the district coordination committees needed to provide final concurrence. Based on the needs of the final locations, pumps and other irrigation devices will be identified and installed and groups are formed to manage the infrastructure.

To foster future sustainability of investments, the project should look to require full costing on these O&M provisions so that capital costs depreciation is included to enable WUGs accumulate the capital reserves to replace assets at their end of life.

3.2. Strengthening capacity of water interest groups A total of 789 (484 KM Canal, 55 KM Earthen Dyke & 250 KM Buried Pipe Line) water user groups (or one group per km), will be supported or formed, with training on operation and maintenance of irrigations systems. The water user groups will also take part in excavation and installation works where suitable. Support to the group formation and training is outsourced to an independent consultancy agency, and BADC recently published an expression of interest to recruit the service provider. For the sake of long-term sustainable management and maintenance, the mission recommends participatory consultation with the water user groups in order to ensure better responsiveness to the latter's needs in eligible infrastructures, and introduce user-governed O&M mechanism, which needs to be accepted by WUGs. The project infrastructure investments will be informed by the opportunities identified through the buyer mapping and marketing prospects that are to be developed through research and extension support to farmer marketing groups, and will be designed to enable those group reach their goals for income generation and family food needs.

FAO technical assistance (TA)

The funding document for the FAO TA was signed between Government and FAO on 5th July 2019 and a Senior Technical Adviser (STA) was appointed shortly after. In addition to the STA, the FFS Specialist, the Finance and Admin,

Irrigation and Water Management Specialist has joined the project to date, Monitoring and Evaluation Specialist will join in the next month. The TA was designed to support technical activities under the three technical components, but one of the major benefits is for the STA to support the PD in developing an integrated programmatic approach to programme implementation. However, it also means that the FAO TA will need additional personnel to support the ongoing value chain and marketing activities in place of the STA taking up higher level duties with project senior management.

Up-to-date key activities can be summarized as: (i) support to project national inception workshop and to 5 Regional inception workshops at District level, (ii) support to PD in developing the EOI for Value Chain and Baseline studies, (iii) exploring the range of technical support available at FAO regional level, and (iv) support to the participation of two project staff in the M&E course conducted by FAO/World Bank in Bangkok and training on Rural Invest held by FAO.

There is potential to further explore FAO TA's value addition and expertise. For example: (i) Responsive technical input in implementation of a holistic project approach where all project components are integrated around meeting identified market opportunities; (ii) providing support to develop the Group Capacity Development approach and refocusing project groups away from extraction of physical resources from the project towards knowledge enhancement and management and understanding how best they can help themselves; and (iii) strengthening DAM's institutional technical capacity including the field-based market facilitators. It is obvious that FAO can contribute more and play a leading support role in a number of focus areas, by assisting the synergy building on activities under different components and implementing parties, leveraging FAO Regional Office's technical expertise, and recruiting a market support/value chain specialist to strengthen the technical substance of its national team.

One particular issue that arose during the field visits was the significant potential to develop sweet orange as a crop, however several technical constraints related to rootstock choice and its effect on sweetness etc, and on the appearance of greening disease would benefit from a specialised consultancy to work with BARI and MoA on these issues.

<i>Agreed Action</i>	<i>Responsibility</i>	<i>Agreed Date</i>
FGs' dynamics building Develop a 'Terms of Partnership' for project groups that highlights the roles and responsibilities of group members, undertake capacity development for enhanced group cohesion.	PD and all implementing parties	12/2019
Project retreat on facilitating market linkage Undertake a project retreat to review the project structure and approach so that the fully integrated concept of the project is realised building around identifying the needs of the buyers of the farm produce and how the communities can be supported in meeting these needs.	FAO TA, PD, DAM and other implementing parties	01/2020
Sustainable O&M Undertake participatory consultation with water user groups in order to ensure better responsiveness to the latter's needs in eligible infrastructures, and introduce user-governed O&M.	BADC	02/2020
Capacity building for marketing facilitators Capacity building training of Marketing Facilitators in buyer identification and building links for farmer groups to identified buyers. The FAO TA should be utilised to impart substantial participatory training to Marketing facilitators in practical approaches to identifying buyers for their communities and in how to support their groups in meeting market needs.	PD, DAM, FAO TA	03/2020
Leverage of FAO capacity and expertise FAO recruits a market facilitation/value chain specialist to the TA team, and utilizes the Technical Support Services of FAO Regional Office or specific specialist consultant inputs where applicable and appropriate.	FAO	03/2020

Staff technical training Training for DAE and DAM field staff in group capacity development to support sustainable operations of the groups after project closure, train project field staff in its implementation of FG empowerment	PD DAE and DAM	04/2020
Farmer Field School Based on the findings of the Value Chain study BARI and DAE work closely to develop FFS curricula to help farmers produce to market parameters, supported by FAO TA	BARI and DAE	06/2020
Review on required number of marketing facilitators Review the decision to restrict Marketing Facilitators to 20 for 30 project upazilas to increase the number to match the project design	MoA, MOF	06/2020
FAO TA priority technical support The FAO TA should be in a position to undertake a consultancy for developing the Terms of Partnership for FGs and training course for staff technical training FAO TA can arrange technical input from an appropriate institution such as University of Florida with experience in supporting market led citrus production, and provide field technical support consultancy on citrus demonstration orchards FAO TA has an FFS specialist who can coordinate the activity of FFS curricula development, accessing international consultancy support if needed.	FAO TA, PD	06/2020
Support focus before MTR FAO CTA concentrate on providing management support to the PD	PD/FAO	12/2022

E. Project implementation

a. Development Effectiveness

Effectiveness and Developmental Focus

Effectiveness **Rating: 4**

Justification of rating

Despite the short period of project actual effectiveness since the government DPP approval in Mid-June 2019, the project was able to quickly form a national project management and coordination team (PMCT). In addition, the implementing parties appointed key officers and technical staff to be part of the district coordination units with extended structure to the upazila level where relevant. Participating staff was trained on the project's targets and some activities have started to reach the beneficiaries, especially in preparing the future interventions in enhanced production and diversified HVCs. DAE, DAM, BADC and BARI are building synergies to plan and execute the project as one. The project objectives should be met if such cooperation continues along the project implementation.

Log-Frame Analysis & Main Issues of Effectiveness

Progress at output level remains insignificant and the project is still in its early stage of operational roll out. A quick review on the logframe design, in comparison with the mission's field assessment on beneficiaries' needs of support, confirmed that the logical linkage between outputs and outcomes remains consistent and relevant; the theory of change remains to be valid at this stage of implementation.

Development Focus

Targeting and Outreach**Rating: 4****Justification of rating**

The project's ongoing efforts are in line with the design's concepts and approaches of targeting and outreach. Targeting performance s will be tracked once the M&E system is fully operational. At this very preliminary stage, SACP is reaching the target population through the farmers group in the project areas. It has been found that the selection process of the farmer groups' members has been done adequately.

Main issues

Some balances were maintained for both men and women in terms of age, economical condition (land owner, landless, poor, ultra poor) and reflected the state of poverty in social dimension (women headed HH, religious minority). The mission found there to be scope for women and other vulnerable groups to involve in agricultural related value chains, including entrepreneurship and improved income generation Potential of livelihood improvement exists, through the active participation of beneficiaries (men, women and young) in the HVC. Women groups visited by the mission were interested in participating in the value chain process including production and marketing. Otherwise the water users' groups need to be more inclusive and give equitable access to the poor and women's participation. Quantitative outreach in women participation may need to be revisited in due course as the current male-female composition of FGs indicated some insufficient number of female members as compared to the sex-disaggregated targets in the logframe.

Agreed Action	Responsibility	Agreed Date
Inclusiveness of water user groups Promote inclusive membership of the water user groups by give equitable access to the poor and women's participation	BADC	02/2020

Gender equality & women's participation**Rating: 4****Justification of rating**

The project presents a good potential of delivering on the objectives of its targeting strategy in terms of gender equality and women's participation. The project activities were designed and prepared by the implementing parties to reach out to the intended target groups, except the number of percentage of women reached may be less than the quantity projected in the design due to female-male composition of currently identified FGs of which the percentage of female members would not match the expected target in number.

Main issues

SACP was designed to reach 30% of women as of total beneficiaries, and the project is trying to form women farmers' groups in the project areas. Most of the women groups are new and receiving orientation support on related activities. Field visits indicated that women were keen to improve their economic conditions through income generation activities, and they were enthusiastic in participating in skills development training, access to information, marketing system and financial services. However, to date there is a lack of equitable female representation in national and regional level project inception meetings. Informed inclusiveness of women participation will be required, and this should be reflected in drafting and applying a gender action plan by the project once the gender focal person is recruited.

Agreed Action	Responsibility	Agreed Date
Gender action plan needed The project should draft and apply a gender action plan once the gender focal person is recruited	NPD	02/2020

Agricultural Productivity**Rating: 4****Justification of rating**

As the project has only recently commenced and is currently building its team members and developing roles and responsibilities it has not had sufficient time to make measurable impact on productivity. However with prominence given to the market linkage development and mapping of buyers combined with their back linkage to producers, there is significant scope for productivity enhancement in terms of increased monetary returns to producers. This is an area that must be addressed through the project where productivity assessments are based not on kgs per hectare, or measures like cropping intensity, but on actual monetary returns/margins/profits to production.

Main issues

No fundamental issue at this early stage of operational start-up

<i>Agreed Action</i>	<i>Responsibility</i>	<i>Agreed Date</i>
M&E instruments on agricultural productivity Develop and implement a methodology to track productivity of project interventions based on improved economic returns rather than on traditional measures	NPD and DAE	01/2020

Nutrition

Rating: 3

Justification of rating

Nutrition-sensitive interventions are not yet being implemented and they were not part of the first planning. While this is somehow justifiable as the project's actual operations have started three months ago only, project staff need be better informed on SACP's nutrition-sensitive interventions under component 2, and the related awareness building under component 1.

Main issues

SACP pays special attention to improved awareness of nutrition, hygiene and food safety as per project design. The supervision team observed that the women groups are still lack awareness on nutrition, balanced diets and personal hygiene issues including food safety. This could be improved through awareness building trainings and social and behavioural change communication.

Adaptation to Climate Change

Rating: 4

Justification of rating

Adaptation to climate change interventions are well articulated in the project design. The use of high value vegetable crops that can be timed for harvest outside of the main cyclone risk season (as opposed to rice which can be severely affected by cyclones close to harvest) promotion of mechanism to support rapid crop turn around, provision of irrigation during drought periods, culture of lower water requiring crops are all effective adaptation strategies. The inclusion of perennial fruit trees particularly on high land and sloping land are themselves mitigating measures in terms of carbon sequestration.

Main issues

The project has a 'high' classification for climate risk as Bangladesh is one of the most exposed countries to the impacts of climate change. The project approach recognises this reality and its approach of crop diversification supported by technical innovation and research from BARI, the technical advice from DAE to the farming groups and the availability of infrastructure investment support on cost share basis from BADC is designed to help farmers cope with changing environmental parameters.

The SACP focuses on building Bangladesh's coastal smallholders' capacities on high value crop production and marketing, thereby enhancing smallholder farmers' resilience to challenges likely to be posed by climate change. The project will deliver enhanced resilience by responding to production challenges in terms of high temperature and higher levels of moisture stress, increased rainfall and runoff, higher susceptibility to floods, high soil and surface water salinity, higher wave interaction due to sea level rise.

b. Sustainability and Scaling up

Institutions and Policy Engagement

Rating: N/A

Partnership-building

Rating: 4

Justification of rating

Given the project's progress on the number of farmers groups established, the partnership between FAO and the project as well as the institutional partnership between DAE, BADC, DAM and BARI is fully active, partnership building is rated 4 moderately satisfactory.

Main issues

According to project design, SACP will collaborate with private inputs companies, agro-processors, wholesalers, traders and exporters. Together with these actors it should assess potential HVCs in the southern delta and create sustainable partnerships to ensure the growth of these value chains. As private sector partners are less interested in sourcing from either a single or a small group of farmers, farmers groups are formed. So far, the project (DAE) established 10400 farmers groups which will be trained in amongst others business management and postharvest and primary skills (DAM). In the upcoming year of implementation, the mission recommends to identify multiple private sector partners and liaise with them in order to establish win-win partnerships. In addition, one of the main features of SACP is the institutional partnership between DAE, BADC, DAM, BARI and FAO. To facilitate cooperation, the different departments are all housed in one office and convene meetings on a regular basis. The mission found that good working relations have been established between the PMU and different PIUs. Technical assistance activities from FAO, who actively promoted joint meetings and the organization of joint regional workshops likely enabled the partnership. Moving forwarding, SACP should capitalize on the good relations in headquarters and translate them in joint on the ground activities by engaging in joint-programming.

Human and Social Capital and Empowerment

Rating: 4

Justification of rating

Project mobilization has included the poor rural men and women and the designed interventions will gradually improve the latter's control over economic relation and possible institutions such as farmers' groups and water user groups

Main issues

It is noted that women will be both economically and socially empowered through availing service from service providers and attending different skills development training. However, all implementing agencies (DAE, DAM, BADC, and BARI) will need to create enabling environment for women's participation in different programs including accessing services, skill development training, and bridging the gaps between service providers and women in accessing information. This can fore

Quality of Beneficiary Participation

Rating: 4

Justification of rating

The different groups included in the SACP provide a perfect entry point for effective beneficiary participation throughout implementation. Currently, beneficiaries' views are still yet to be reflected in the project activity planning. The M&E system is not yet in place to include the participatory methods. The project's monitoring on financial performance needs to include the contributions from beneficiaries.

Main issues

Beneficiary have been participating in the project process in a well-fixed way, though some groups are not clear about their objectives. However, as an initial stage, SACP has a good chance to work on it especially bridging the gap regarding disseminating information. Some groups are very organized and well informed. It was observed by the mission that participants are quite enthusiastic to participate in the project.

Responsiveness of Service Providers

Rating: 4

Justification of rating

SACP is still in the process of identifying the majority of service providers that will be assisting the project. However, to date, the project was able to sign an unilateral trust agreement with the Food and Agriculture Organization (FAO) with whom the project seemed to have established a good working method in the early stages of implementation. There is potential to further explore FAO TA's value addition and expertise.

Main issues

In order to strengthen the capacity of implementing agencies, FAO provides technical assistance support to SACP. Their TA activities concentrate on (i) training of trainers, coaching and follow-up, (ii) assisting the development of a M&E system and (iii) supporting value chain and other market-led studies. So far, FAO recruited a senior technical officer, a national expert in farmer field schools, irrigation and water management expert and finance and admin expert. A M&E expert will commence its assignment at FAO shortly. Although, the project did not yet started with the implementation of activities, the mission noted that FAO acts as a trusted partner for the implementing agencies. Upon initiative of FAO, the project organises weekly meetings and discusses work plans. The mission found that the technical assistance provided by FAO fosters good working relations across the PMU and PIUs.

Environment and Natural Resource Management**Rating: 4****Justification of rating**

The pressure on the natural resources base will be reduced and SACP promotes improved agronomic practices, knowledge base, research support and extension for high value crops based on meeting market demand but working without new land brought into cultivation. Sustainable management of surface water will be introduced to support the HVC cultivation, high standard environmental norms will be followed in project activities where relevant.

Main issues

The SACP promotes improved agronomic practices, knowledge base, research support and extension for high value crops based on meeting market demand. The close support from DAE and BARI on the production technologies adopted will ensure that best agricultural practices are adopted, these include use of organic manures for soil fertility enhancement, introduction of techniques such as vermi composting, adoption of integrated plant production and protection methodologies that emphasise the minimal use of poisonous pesticides, so overall these practices should have a positive environmental impact.

As the project will work with existing farmers in helping them diversify from rice production, no new land is envisaged to be brought into cultivation so further degradation of natural environments is not a consideration. The use of crops with lower water demand will also reduce pressure on this important resource. Where appropriate the replacement of annual tillage operations for crop production by permanent orchard cover will contribute to soil stabilisation and reduce soil erosion.

Only minor construction works are envisaged under the project, mainly focussed on protecting existing farm land from flooding and or improving irrigation access and efficiency of use.

Exit Strategy**Rating: 4****Justification of rating**

The project's exit strategy was set on institutional approach by all the implementing parties that are mandated to support agricultural development in the country. Institutional arrangements were designed and they have showed the first effectiveness in the project's management and coordination structure, especially at national level.

Main issues

N/A

Potential for Scaling-up**Rating: 5****Justification of rating**

Government has paid strong attention to the key features of the project design and there is potential for scaling up the future good practices in the non-project areas of the country.

Main issues

N/A

c. Project Management**Quality of Project Management****Rating: 4****Justification of rating**

The Quality of Project Management is rated moderately satisfactory (4) as the overall project management structure has been laid down with key national and component positions under respective departments and agency filled shortly after the DPP was released in Mid-June 2019. Arrangements were in line with the project design with only minor adjustments made and recruitment of some staff and TA positions still under processing. The first Project Steering Committee (PSC) is expected to be held by end of 2019.

Main issues

Project management performance. The project management structure has been set properly in line with the Financing Agreement, with the Project Office established and Project Director (PD) appointed from DAE, Component

Directors/Coordinator in position respectively under DAM, BADC and BARI. The FAO TA team has been formed with the STA in position since July 2019 to assist the Project Management and Coordination Team (PMCT) after the signing of UTF between FAO and the Government on 5 July 2019. While some key positions such as M&E Specialist, Gender Specialist and Financial Specialist are still under the process of recruitment, the horizontal structure at national level and the vertical structure from respective line agencies from national to district and upazila levels where relevant are taking good shape and they have showed some good signs of operations.

Support rationale and modality to be revisited and clearly stated. Field visits found that the project's awareness building among farmers' groups was driven on promised financial and material support, which has raised a high risk of outreach effectiveness and targeting deviation if the target groups were misled and over-promised on project support. The mission reminds that SACP was designed to build the capacity and competitiveness of the target groups in order to respond to the fast-changing environment of agricultural transformation in Southern Bangladesh, where smallholders are moving out of subsistence farming to become more commercially oriented while facing a number of challenges and opportunities. SACP was designed to adopt a 'push-pull' strategy in order to enhance the capacity of targeted farmers to address their barriers to entry into the market system (i.e. push strategy) and to facilitate the development of a more inclusive market system (i.e. pull strategy).

The project staff from all the participating agencies at relevant levels need to revisit the overall project framework to have a clear understanding on rationale and strategies, expected outcomes, and project interventions and their interlinkage between components. FAO TA should help formulate concise "sales speech" differentiated in accordance with audiences of different receptiveness and needs; a staff training or Training of Trainers (ToT) should be conducted with field work simulation in order to introduce a more coherent and effective marketing of the project among the potential beneficiaries.

Component Synergy and inter-department/agency coordination. The PMCT from the four implementing parties have moved into the same office space in Dhaka and building a coordination mechanism. While guidance has been provided to the units under respective departments under DAE, DAM and BADC, the mission observed different dynamics of synergy and coordination among field resource officers and facilitators from different departments.

Some Coordination units need to further study the inter-component rationale and requirements to avoid interpretation of interventions as cost allocations and IFAD-government financing percentage. In order to build a culture and spirit of inter-agency coordination, the mission recommends a monthly inter-agency coordination meeting to be organized in rotation by each implementing department and agency under the PD's direction. The main purpose of the monthly meeting is to synchronize planning and its execution where applicable. Concrete joint actions and liaisons need to be included at field level while supporting the target groups and communities.

Recruitment of Procurement Specialist. The mission was informed that IFAD recommended to the project to recruit the Procurement Specialist on a contract of every year for six-month. The project encountered difficulty in interesting and receiving applications of such technical position for short-term contract. Given the urgent need of filling such important position, the mission proposed to start the recruitment of a full-time position for a contract of one-year term renewable, with probation period of six months.

Agreed Action	Responsibility	Agreed Date
Revisiting project support framework Revisit the overall project framework to have a clear understanding on rationale and strategies, expected outcomes, and project interventions and their interlinkage between components. Formulate differentiated promotional materials in accordance with various audiences, FAO TA to conduct ToT training with field work simulation.	All participating departments and agencies, FAO TA	12/2019
Inter-agency coordination Monthly inter-agency coordination meeting to be organized in rotation by each implementing department and agency under the NPD's direction. To help synchronize planning and its execution where applicable at both national and field levels	All participating departments and agencies, FAO TA	12/2019

Knowledge Management

Rating: 4

Justification of rating

Although SACP has not yet had a KM strategy and is yet to recruit a M&E and KM expert. The project has started its preparation with some initial activities on knowledge management and created for example a Facebook page as part of the KM. Some exchanges of information and activities were made on the IFAD ASIA website.

Main issues

According to project design, a results-based management approach will ensure all processes and activities of the project are in line with the project goal, objectives and expected outcomes. For that reason, the project needs to undertake an elaborate baseline survey and undertake outcome related surveys during implementation. The project's M&E and KM specialist is responsible for both knowledge gathering and knowledge sharing. In addition, 11 junior monitoring and reporting specialists have been identified to data gathering and sharing. Although the project is still in the process of hiring a M&E and KM specialist, it already created a facebook page to share some initial progress.

Value for Money

Rating: 4

Justification of rating

The rating was done given the perspective of good value for money, as most of the project investments in value addition through enhanced production and improved marketing of HVCs for better price premium. Despite the operational delay due to the late approval of DPP, the project presents a good perspective of financial, economic, social and environmental benefits at both household and regional levels. There design-projected EIRR would not be affected as far as implementation will pick up at larger scale.

Main issues

Key cost ratios remain as designed; there should be a minor increase of overhead due to the lack of benefit-generating implementation during almost one year since the project's effectiveness in 2018.

Coherence between AWPB and Implementation

Rating: 3

Justification of rating

The project has been monitoring the budgetary execution through manual records and the overall execution rate of eighteen months AWPB for FY 2018-20 stands at 12% as of October 2019. This amounts to 66% for FY 2018-19 and 1% for FY 2019-20 as of October 2019. The delay has been caused as the project could not obtain budget release letter for the current FY despite the fact that the project already lapsed.

AWPB Inputs and Outputs Review and Implementation Progress

The mission could analyse AWPB execution in detail of cumulative progress per component as of October 2019 as well as for FY 2018-19 and FY 2019-20 separately. Nonetheless, it was observed that AWPB financial cumulative execution rates (compared to eighteen (18) months AWPB) are relatively low, particularly in component 2 "Processing and marketing of high-value crops" and component 4 "Project Management", that were only 7% of total budgetary amount (18 months). Targets of AWPBs appeared to be too ambitious, especially under component 2 where interventions depend on the progress of FG capacity building, and the market opportunities identified and confirmed by potential buyers. The project needs to identify a selected number of focus areas for implementation. Inter-implementing party coordination is key for effective planning with synergy and mutual leverage. The AWPBs are prepared by the IAs and consolidated by PO, which was submitted to IFAD by the end of April 2019. There is no methodical monitoring system of AWPB execution, the project has been monitoring the budgetary execution through manual records due to lack of computerized accounting and reporting system in place. The mission makes the following recommendations regarding budgeting execution for SACP:

Performance of M&E System

Rating: 3

Justification of rating

The project was officially started three months ago with the DPP approval. The PMCT was able to report on the first financial and physical activities but the M&E system is yet to be built in line with the project operational structure. The project management has included the M&E training in its plan of capacity building but actions need to be speeded up in view of the importance of a functioning M&E system from the project start.

M&E System Review

Two senior Monitoring Officers were appointed from DAE as part of the national PMCT, and District Coordination Units include multiple Junior Monitoring and Reporting Specialists. While most of the key components of a M&E system have been already formulated, such as logframe, AWPBs and cost tables, and some baseline-related surveys are under planning, the project has not yet started its building of an integrated M&E system at horizontally at national level and vertically within and between respective component-wise implementing parties. If the M&E system is absent for too long, the project will encounter difficulties in timely recording and reporting the project progress and performance in both qualitative and quantitative terms. The project management needs to give top priority to the building of such important management instrument and apply it in its daily operational management across all the action centres horizontally and

vertically as soon as possible. FAO TA should also give priority in support of such action and exploring qualified M&E expertise who has exposure to and knowledge on IFAD ORMS M&E. Meanwhile, in-country learning should be undertaken with other ongoing IFAD-assisted projects on M&E-related good practices and system application.

A number of baseline-related surveys are reportedly under preparation. The mission would like to draw special attention on the evaluation needs at project impact and outcome levels and recommend the formulation of specific questions tailored to address the quantification of key indicators in the project logframe.

Agreed Action	Responsibility	Agreed Date
M&E system urgently needed in place Complete the recruitment of M&E-related positions at all levels, provide training on project M&E in line with IFAD requirement and build an integrated system vertically and horizontally. FAO TA explores the possibility of recruiting qualified M&E specialist in support of the system building. In-country learning from other ongoing IFAD-assisted projects should be considered	National PMCT, all the participating departments, agencies and units at relevant levels. FAO TA	03/2020
Household-level baseline survey Conduct household-level baseline survey in line with impact and outcome indicators listed in the project logframe by April 2020, and produce the baseline survey report by June 2020	National PMCT, all the participating departments, agencies and units at relevant levels, FAO TA	06/2020

Requirements of Social, Environmental and Climate Assessment Procedures (SECAP)

Rating: 4

Justification of rating

SACP was designed to address social, environmental and climate risks in the project area. The mission did not come across any complaints concerning environmental, social and climate issues from the stakeholders. However, the complaints procedure does need to be formalised and made part of the engagement process with project stakeholders so that they are aware of its processes.

SECAP Review

This project was classified as Category B at the time of loan approval. To date, the strategy adopted for implementation and impact of activities undertaken do not necessitate its re-classification. SACP was designed in a participatory manner, taking into account the concerns of all stakeholders and it has been implemented in compliance with IFAD and GOB policies, standards and safeguards including SECAP. It is consistent with IFAD's Strategic Framework calling for promoting the sustainable use of natural resources, building resilience to climate change, the programme will be implemented emphasising ownership by rural farm families in order to achieve sustainability.

The mission also did not come across any complaints concerning environmental, social and climate issues from the stakeholders. However, the complaints procedure does need to be formalised and made part of the engagement process with project stakeholders so that they are aware of its processes.

Agreed Action	Responsibility	Agreed Date
SECAP-related complaint procedure Formalise the complaints procedure, establish a reporting mechanism and ensure that all stakeholders are aware of the process.	NPD	03/2020

d. Financial Management & Execution

Acceptable Disbursement Rate

Rating: 3

Justification of rating

The auto-calculated disbursement rate is moderately satisfactory. Disbursement ratio apparently has been lagging behind compared to the actual implementation period mainly due to putting request for initial advance with some delays and not

submitting SoE to actualize the expenses and justify further cash requirements, which could potentially increase the rate of disbursement.

Main issues

Total disbursement, as of October 31, 2019 amounts to USD 6.39 million from IFAD loan and USD 0.79 million from IFAD Grant, which is 10% and 40% of total commitment respectively including initial advance of USD 6 million and USD 0.4 million. In accordance with UTF agreement between Government of Bangladesh and FAO, first annual instalment of USD 0.78 million was made to FAO using direct payment method. Subsequent instalments will follow based on payment request and relevant supporting documents. Total disbursement of IFAD loan has been less than the amounts projected per implementation period. The mission noted the reason for low rate of disbursement due to delays in putting request for initial advance to IFAD, which was nine months after project effectiveness date. The mission noted that the project has not yet submitted any SOE for justification of expenditure.

Fiduciary aspects

Quality of Financial Management

Rating: 3

Justification of rating

The project performance in terms of quality of financial management compliance is rated to be “moderately unsatisfactory” mainly due to absence of adequate resource in accounting and financial management team, absence of automated accounting system, weak documentation and record keeping specifically not updating cash book and bank reconciliation regularly, non-separating banking arrangement between IFAD and GoB, lack of adherence in regards to opening Operating Bank account in Upazila cost centre in the name of the project, absence of required periodic financial reporting from cost centre to Project office (PO) and PO to IFAD and weak control in project's asset management.

Main issues

The mission noted that the project has completed hiring process of a Financial Management Specialist and eleven Junior FM Consultant. The project team confirmed that all FM resources shall be on board by November 30, 2019. However, a number of positions for other technical specialist i.e. PMS, M&E-K, Gender and Procurement are still vacant. The project has submitted its first Annual Work Plan Budget (AWPB) for eighteen months for FY 2018-19 and FY 2019-20 to IFAD on April 25, 2019. However, the project has been monitoring the budgetary execution through manual records and the overall execution rate of eighteen months AWPB for FY 2018-20 stands at 12% as of October 2019, which was 66% for FY 2018-19 and 1% for FY 2019-20 as of October 2019. Most importantly, the project could not obtain budget release letter except for DAE part for the current FY though 4 months has already been elapsed. The mission reviewed filing and documentation at PD office and upazila cost centres and some of samples checked, hinted that there is room for improving filing and record keeping system of the project though the quality of expenses was not verified since the project had not submitted the SoE yet. However, the mission insists on the importance of reconciling the DAs on a monthly basis and submission of SOE for justifying the expenditures incurred on timely manner, as this has been an essential control. The revised version of Project Implementation Manual (PIM) is not yet finalized. Fixed asset register did not contain appropriate set of information in order to ensure identification, condition and locations. Mission recommends having periodic stock take of fixed assets, at least once in a year. The mission noted that currently the project has been recording its accounting and financial information in manual books and spread sheet, which is not free from human errors and mistakes. However, the project initiated the process for procuring accounting software, which needs immediate installation and operationalization for appropriate accounting, recording and timely accurate financial reporting. In DAE component out of 30, a total of 24 accounts were opened in the name of the project, and the rest still remains in the designation of UAO, which will be rectified by November 15, 2019. In BADC and DAM, IFAD and GoB funds were mingled in a common bank account whereby reconciliation has always been an issue, however the issue has been resolved during this mission and now IAs having separate bank account for IFAD and GoB each. Periodic summary financial reports were not submitted to IFAD however the PO responsible for consolidating the financial information from all IAs and submit to IFAD, also SOE was not sent from the cost centres in a timely manner in absence of professional experts. It's apparent that a huge number of FM human resources will be recruited under the project office and district coordination unit, a training needs must be assessed, and appropriate training shall be provided specially on core FM duties, IFAD policies and reporting requirements. The project shall take concurrence from IFAD on the ToR for Internal Audit service as agreed. The mission also recommends the project to expedite the process for finalizing the letter of IFAD Client Portal (ICP) and send to IFAD.

Agreed Action	Responsibility	Agreed Date
Training to all FM staffs on FM duties, IFAD policies and reporting requirement	SACP	11/2019
Completing procurement process of the accounting software, installation and operationalization	SACP	12/2019
Preparation of monthly SOE having bank reconciliation statement Every month	SACP	
Preparation and consolidation of financial reports includes category and component wise expenditure, bank reconciliation statement and submit to PO	SACP	
Preparation of summary interim financial report at half yearly interval and submit to IFAD Within 45 days after the period-end	SACP	
Updating the cash book regularly and monthly preparation of bank reconciliation statement of Das and other operating bank accounts Every month	SACP	

Quality and Timeliness of Audit

Rating:

Counterparts Funds

Rating: 5

Justification of rating

No big issues noted. However, the project should be mindful of using appropriate amount of counterpart financing wherever needed based on ADP allocation.

Main issues

The total counterpart contribution is USD 1.96 million as of October 31, 2019, which is 7% of total commitment of counterpart funding. Total expenses on account of counterpart financing was USD 0.91 million (equivalent) to the project (total of DAE, BADC, DAM and BARI) for the FY 2018-19, which is 89% of total counterpart funds of AWPB for FY 2018-19. The AWPB projected USD 5.90 million as Government financing for FY 2019-20 and the government allocated a total USD 6 million (equivalent) in ADP where as the total fund released USD 1.05 million equivalent as of October 31, 2019 for FY 2019-20.

Compliance with Loan Covenants

Rating: 4

Justification of rating

The mission noted the project performance in compliance with loan covenants is rated moderately satisfactory mainly due to most of the key covenants were complied with except for the some areas mentioned below.

Main issues

The mission noted that most of the key covenants were complied with except for finalization of the Project Implementation Manual (PIM), insurance of project assets and key project personnel against health and accident risk to the extent, and deliver the detailed financial statements of the operations, resources and expenditures of the project to IFAD. Also, two IAs didn't comply the clause of separating bank accounts and records in accordance with consistently maintained appropriate accounting practices, however they have opened bank accounts separately for IFAD and GoB each during the mission.

Procurement

Procurement

Rating: 3

Justification of rating

Procurement management is rated as Moderately Unsatisfactory (3). The rating is based on: absence of procurement specialist, not solicited IFAD's no objection for prior reviewed procurement transactions [6 out of 7 prior reviewed contracts], 6 out of 11 contracts reviewed rated as 'moderately unsatisfactory' during the review of sample contracts, and not updating the PP and using PP as monitoring and review tool.

Procurement Review

Details shared in Appendix 6

Agreed Action	Responsibility	Agreed Date
Record Management Improve record keeping and documentation in line with IFAD Record keeping checklist	Project Director	12/2019
Asset Management Update the Fixed Asset Register with mention of Make, Model and Serial Number of ICT and other Equipment; [Ensure that these Make, Model and Serial numbers are mentioned in the Delivery Challan for all future procurement]. Identify them with unique identification number; For the Goods / Equipment distributed to the District and Upzilla Offices: obtain the return acknowledgement duly mentioning the name of the person, any identification number [permitted by GoB] and Make, Model and Serial Number of ICT and other Equipment. Obtain Annual Stock report for the assets distributed for each office.	Project Director	12/2019
Project Implementation Manual Revise Project Implementation Manual [PIM] as day to day desk reference for articulating project procurement and seek No Objection from IFAD	Project Director	01/2020
Procurement Specialist Select and deploy a Procurement Specialist [PS] with prior experience akin to profile of project procurement and emphasis may be on selection of consultancy services, training, and workshops besides Works and Goods.	Project Director	01/2020
Terms of Reference Share the Terms of Reference before initiating the procurement process. IFAD will review draft ToR and share comments/ feedback.	IFAD	01/2020
Inventory management system assessment Assess the Inventory Management System at Regional Stores of BADC for storing and issuing the procured materials to be used for various construction activities	IFAD	02/2020
Procurement Workshop Conduct workshop on procurement with focus on project procurement profile for those directly involved in the procurement process.	Project Director	02/2020
Procurement Plan Upgrade the Procurement Plan in line with the discussions and submit to IFAD through NOTUS to seek No Objection	Project Director	12/2020
No Objection Obtain no objection at salient stages as prescribed in the LTB	Project Director	12/2020

e. Key SIS Indicators

Likelihood of Achieving the Development Objective**Rating: 4****Justification of rating**

see report

Assessment of the Overall Implementation Performance**Rating: 4****Justification of rating**

see report

F. Agreed Actions

<i>Agreed Action</i>	<i>Responsibility</i>	<i>Agreed Date</i>
Overview and Project Progress		
FGs' dynamics building Develop a 'Terms of Partnership' for project groups that highlights the roles and responsibilities of group members, undertake capacity development for enhanced group cohesion.	PD and all implementing parties	12/2019
Project retreat on facilitating market linkage Undertake a project retreat to review the project structure and approach so that the fully integrated concept of the project is realised building around identifying the needs of the buyers of the farm produce and how the communities can be supported in meeting these needs.	FAO TA, PD, DAM and other implementing parties	01/2020
Sustainable O&M Undertake participatory consultation with water user groups in order to ensure better responsiveness to the latter's needs in eligible infrastructures, and introduce user-governed O&M.	BADC	02/2020
Capacity building for marketing facilitators Capacity building training of Marketing Facilitators in buyer identification and building links for farmer groups to identified buyers. The FAO TA should be utilised to impart substantial participatory training to Marketing facilitators in practical approaches to identifying buyers for their communities and in how to support their groups in meeting market needs.	PD, DAM, FAO TA	03/2020
Leverage of FAO capacity and expertise FAO recruits a market facilitation/value chain specialist to the TA team, and utilizes the Technical Support Services of FAO Regional Office or specific specialist consultant inputs where applicable and appropriate.	FAO	03/2020
Staff technical training Training for DAE and DAM field staff in group capacity development to support sustainable operations of the groups after project closure, train project field staff in its implementation of FG empowerment	PD DAE and DAM	04/2020

Farmer Field School Based on the findings of the Value Chain study BARI and DAE work closely to develop FFS curricula to help farmers produce to market parameters, supported by FAO TA	BARI and DAE	06/2020
Review on required number of marketing facilitators Review the decision to restrict Marketing Facilitators to 20 for 30 project upazilas to increase the number to match the project design	MoA, MOF	06/2020
FAO TA priority technical support The FAO TA should be in a position to undertake a consultancy for developing the Terms of Partnership for FGs and training course for staff technical training FAO TA can arrange technical input from an appropriate institution such as University of Florida with experience in supporting market led citrus production, and provide field technical support consultancy on citrus demonstration orchards FAO TA has an FFS specialist who can coordinate the activity of FFS curricula development, accessing international consultancy support if needed.	FAO TA, PD	06/2020
Support focus before MTR FAO CTA concentrate on providing management support to the PD	PD/FAO	12/2022
Development Effectiveness		
M&E instruments on agricultural productivity Develop and implement a methodology to track productivity of project interventions based on improved economic returns rather than on traditional measures	NPD and DAE	01/2020
Inclusiveness of water user groups Promote inclusive membership of the water user groups by give equitable access to the poor and women's participation	BADC	02/2020
Gender action plan needed The project should draft and apply a gender action plan once the gender focal person is recruited	NPD	02/2020
Project Management		
Revisiting project support framework Revisit the overall project framework to have a clear understanding on rationale and strategies, expected outcomes, and project interventions and their interlinkage between components. Formulate differentiated promotional materials in accordance with various audiences, FAO TA to conduct ToT training with field work simulation.	All participating departments and agencies, FAO TA	12/2019
Inter-agency coordination Monthly inter-agency coordination meeting to be organized in rotation by each implementing department and agency under the NPD's direction. To help synchronize planning and its execution where applicable at both national and field levels	All participating departments and agencies, FAO TA	12/2019

M&E system urgently needed in place Complete the recruitment of M&E-related positions at all levels, provide training on project M&E in line with IFAD requirement and build an integrated system vertically and horizontally. FAO TA explores the possibility of recruiting qualified M&E specialist in support of the system building. In-country learning from other ongoing IFAD-assisted projects should be considered	National PMCT, all the participating departments, agencies and units at relevant levels. FAO TA	03/2020
SECAP-related complaint procedure Formalise the complaints procedure, establish a reporting mechanism and ensure that all stakeholders are aware of the process.	NPD	03/2020
Household-level baseline survey Conduct household-level baseline survey in line with impact and outcome indicators listed in the project logframe by April 2020, and produce the baseline survey report by June 2020	National PMCT, all the participating departments, agencies and units at relevant levels, FAO TA	06/2020
Financial Management & Execution		
Training to all FM staffs on FM duties, IFAD policies and reporting requirement	SACP	11/2019
Record Management Improve record keeping and documentation in line with IFAD Record keeping checklist	Project Director	12/2019
Asset Management Update the Fixed Asset Register with mention of Make, Model and Serial Number of ICT and other Equipment; [Ensure that these Make, Model and Serial numbers are mentioned in the Delivery Challan for all future procurement]. Identify them with unique identification number; For the Goods / Equipment distributed to the District and Upzilla Offices: obtain the return acknowledgement duly mentioning the name of the person, any identification number [permitted by GoB] and Make, Model and Serial Number of ICT and other Equipment. Obtain Annual Stock report for the assets distributed for each office.	Project Director	12/2019
Completing procurement process of the accounting software, installation and operationalization	SACP	12/2019
Project Implementation Manual Revise Project Implementation Manual [PIM] as day to day desk reference for articulating project procurement and seek No Objection from IFAD	Project Director	01/2020
Procurement Specialist Select and deploy a Procurement Specialist [PS] with prior experience akin to profile of project procurement and emphasis may be on selection of consultancy services, training, and workshops besides Works and Goods.	Project Director	01/2020

Terms of Reference Share the Terms of Reference before initiating the procurement process. IFAD will review draft ToR and share comments/ feedback.	IFAD	01/2020
Inventory management system assessment Assess the Inventory Management System at Regional Stores of BADC for storing and issuing the procured materials to be used for various construction activities	IFAD	02/2020
Procurement Workshop Conduct workshop on procurement with focus on project procurement profile for those directly involved in the procurement process.	Project Director	02/2020
Procurement Plan Upgrade the Procurement Plan in line with the discussions and submit to IFAD through NOTUS to seek No Objection	Project Director	12/2020
No Objection Obtain no objection at salient stages as prescribed in the LTB	Project Director	12/2020
Preparation of monthly SOE having bank reconciliation statement Every month	SACP	
Preparation and consolidation of financial reports includes category and component wise expenditure, bank reconciliation statement and submit to PO	SACP	
Preparation of summary interim financial report at half yearly interval and submit to IFAD Within 45 days after the period-end	SACP	
Updating the cash book regularly and monthly preparation of bank reconciliation statement of Das and other operating bank accounts Every month	SACP	

Smallholder Agricultural Competitiveness Project

Logical Framework

Results Hierarchy	Indicators							Means of Verification			Assumptions
	Name	Baseline	Mid-Term	End Target	Annual Result	Cumulative Result	Cumulative Result %	Source	Frequency	Responsibility	
Outreach Direct beneficiaries receiving project services	1.b Estimated corresponding total number of households members										
	Household members										
	1.a Corresponding number of households reached							baseline, mid-term outcome, impact assessment	yearly	Lead agency and IAs	
	Women-headed households										
	Non-women-headed households										
	Households		125 000	250 000							
	1 Persons receiving services promoted or supported by the project							baseline, mid-term outcome, impact assessment	yearly	Lead agency and IAs	
	Females										
	Males										
	Young										
	Not Young										
	Total number of persons receiving services		700 000	1 400 000							

Results Hierarchy	Indicators							Means of Verification			Assumptions
	Name	Baseline	Mid-Term	End Target	Annual Result	Cumulative Result	Cumulative Result %	Source	Frequency	Responsibility	
Project Goal To contribute to Bangladesh's agricultural smallholders' responsiveness and competitiveness in high value crops production and marketing of fresh and/or processed products.											
Development Objective To increase farmer income and livelihood through demand-led productivity investments, crop diversification and increased market linkages.	Beneficiary HHs reporting HVC production as main source of revenue with at least 20 per cent income increase							Baseline, Mid-Term Outcome survey, Progress report, PCR, Impact assessment	Baseline, midterm and completion	Lead Agency	Availability and uptake of improved technologies and farming systems Steady market demand and conditions Incomes increase through a combined effect of increased HVC production and improved marketing
	Households		75 000	150 000							
	Households reporting improvements in household asset ownership index							Baseline, Mid-Term Outcome survey, Progress report, PCR, Impact assessment	Baseline, midterm and completion	Lead Agency	
	Households		25	50							
Outcome 1. New and existing technologies researched, developed and adapted to agro-ecological constraints	1.2.2 Households reporting adoption of new/improved inputs, technologies or practices							surveys	yearly	Lead Agency	Willingness and mutual benefits to producers and companies
	Households		100 000	200 000							
	1.2.4 Households reporting an increase in production							surveys	yearly	Lead Agency	
	Households		100 000	100 000							
Output 1.1 Supporting organizational development of farmer groups in HVC technology requirements	Farmer groups formed or mobilized							surveys	Biannually, yearly	Lead agency, IAs	Responsive to agro ecological constraint and market demands
	farmers groups		5 000	10 000							
	1.1.3 Rural producers accessing production inputs and/or technological packages							surveys	Biannually, yearly	Lead agency, IAs	
	Total rural producers		100 000	200 000							

Results Hierarchy	Indicators							Means of Verification			Assumptions
	Name	Baseline	Mid-Term	End Target	Annual Result	Cumulative Result	Cumulative Result %	Source	Frequency	Responsibility	
Output 1.2 Adaptive trials of new or existing technologies under farm field conditions	1.1.4 Persons trained in production practices and/or technologies							surveys	Biannually, yearly	Lead agency, IAs	Farmers are interested in and have capacity to adopt improved technologies
	Men trained in crop										
	Women trained in crop										
	Total persons trained in crop		125 000	250 000							
	Demonstrations during field days on different HVC crops							surveys, attendance records	Biannually, yearly	Lead agency, IAs	
	demonstrations		5 000	10 000							
	Market-led farmer field schools organized							surveys	Biannually, yearly	Lead agency, IAs	
	FFS		750	1 500							
Output 1.3 Improving access to district market-led research and extension facilities	Research stations upgraded/rehabilitated							assessments	biannually, yearly	Lead agency, IAs	Properly targeted rehabilitation contributes to local research efforts
	research stations		10	23							
Outcome 2. Production decisions respond to market opportunities	Farmers reporting an increase in sales and/or increase in profit							Producer surveys	yearly	Lead Agency, IPs	
	farmers		100 000	200 000							
	1.2.8 Women reporting improved quality of their diets							Producer surveys	yearly	Lead Agency, IPs	
	Women reporting improved quality of their diets		10 000	20 000							
Output 2.1 Developing smallholder farmers' capacity in production and post-production practices	Rural farmers trained in post-harvest handling techniques and marketing							attendance records, surveys	biannually, yearly	Lead Agency, IPs	
	rural farmers		125 000	250 000							
	Policy 2 Functioning multi-stakeholder platforms supported										
	Number		6	11							

Results Hierarchy	Indicators							Means of Verification			Assumptions
	Name	Baseline	Mid-Term	End Target	Annual Result	Cumulative Result	Cumulative Result %	Source	Frequency	Responsibility	
Output 2.2 Provision of small infrastructure (by group or individual on cost-sharing basis)	Processing and storage facilities constructed or rehabilitated under matching grant							contract registers of construction firms, assessments	biannually, yearly	Lead Agency, IPs	
	processing and storage facilities		150	300							
Output 2.3 Improved awareness of nutrition, hygiene and food safety	1.1.8 Households provided with targeted support to improve their nutrition							surveys, training records	biannually, yearly	Lead Agency, IPs	Training will raise awareness and lead to behavioural changes
	Households		5 000	30 000							
	Females		5 000	30 000							
Outcome 3. Improved availability of irrigation water and efficient usage	1.2.3 Households reporting reduced water shortage vis-à-vis production needs							baseline, mit-term and impact assessment	yearly	Lead Agency, IPs	Sufficient access to services is available, e.g. to technicians, facilities, etc.
	Households		50 000	100 000							
Output 3.1 Conservation and utilization of surface water through improved infrastructure development in sustainable manner	Surface water technologies for irrigation constructed							PMU records	biannually, yearly	Lead Agency, IPs	
	surface technologies for irrigation		2 100	4 290							
Output 3.2 Strengthening capacity of water interest groups	People in water user groups trained and participated in trainings, cross visits and demonstrations							training records	biannually, yearly	Lead Agency, IPs	All relevant stakeholders are consulted and actively participate
	Females										
	Males										

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Supervision Report

Appendix 1: Financial: actual financial performance; by financier by component and disbursements by category

Mission Dates: 26 October to 7 November 2019
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Project No. 2000001464
Report No. 5290-BD

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Appendix 1: Financial: actual financial performance by financier; by component and disbursements by category

Table 2A: Financial performance by financier (as of 31/10/2019)

	Appraisal (USD '000)	Disbursements (USD '000)	Per cent disbursed
Financier			
IFAD loan	64,498.2	6,390	10%
IFAD grant	2,000.0	790	40%
Government	28,646.6	1,118	4%
Total	95,144.8	8,298	9%

Table 2B: Financial performance by financier by component (USD '000) (as of 31/10/2019)

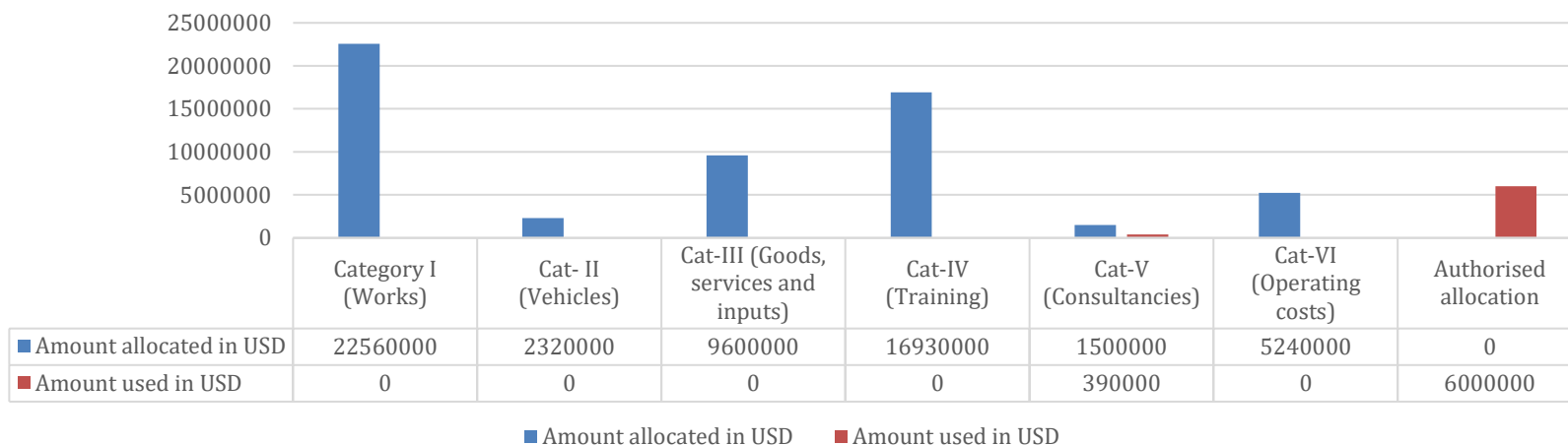
Component	IFAD loan			IFAD grant			Government			Total		
	Appraisal	Actual	%	Appraisal	Actual	%	Appraisal	Actual	%	Appraisal	Actual	%
1. Enhanced production of high-value crops and technology adoption	10 505.6	684.66	7%	404.6	-	0%	3 459.5	453.68	13%	14 369.7	1 138.35	8%
2. Processing and marketing of high-value crops	20 443.0	268.08	1%	665.6	-	0%	6 792.7	128.45	2%	27 901.3	396.54	1%
3. Climate-resilient surface water resource management	26 238.9	974.47	4%	161.5	-	0%	13 850.9	498.35	4%	40 251.3	1 472.83	4%
4. Project Management	7 310.7	115.30	2%	768.4	-	0%	4 543.6	37.11	1%	12 622.7	152.42	1%
Sub-Total Expenditures	64 498.2	2 042.53	3%	2 000	-	0%	28 646.6	1 117.62	4%	95 145	3 160.16	3%
Balance in DA; Project Bank Accounts and with FAO/Unspent		4 347.47			790			-			5 137.47	
Total	64 498.2	6 390		2 000	790		28 646.6	1 118		95 145	8 298	9%

Table 2C: IFAD loan disbursements (USD, as at 31/10/2019)

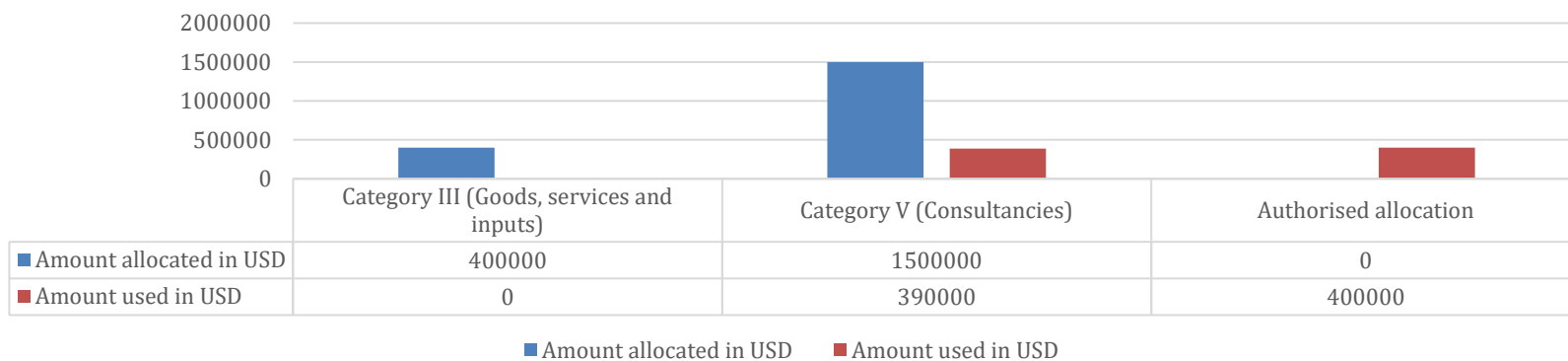
IFAD Loan (USD, as at 31/10/19)					
Category	Category description	Allocated (USD)	Amount Used (USD)	Available balance (USD)	Percent Used
	Authorised allocation		6,000,000	(6,000,000)	0.00%
I	Works	22,560,000	0	22,560,000	0.00%
II	Vehicles	2,320,000	0	2,320,000	0.00%
III	Goods, Services and inputs	9,600,000	0	9,600,000	0.00%
IV	Training	16,930,000	0	16,930,000	0.00%
V	Consultancies	1,500,000	390,000	1,110,000	26.00%
VI	Operating costs	5,240,000	0	5,240,000	0.00%
	Unallocated	6,350,000	0	6,350,000	0.00%
	TOTAL	64,500,000	6,390,000	58,110,000	9.91%

IFAD Grant (USD, as at 31/10/19)					
Category	Category description	Allocated (USD)	Amount used (USD)	Available balance (USD)	Percent used
	Authorised allocation		400,000	(400,000)	0.00%
III	Goods, services and inputs	400,000	0	400,000	0.00%
V	Consultancies	1,500,000	390,000	1,110,000	26.00%
	Unallocated	100,000	0	100,000	0.00%
	TOTAL	2,000,000	790,000	1,210,000	39.50%

IFAD Loan disbursement



IFAD Grant disbursement



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Appendix 2: Physical progress measured against AWP&B

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Appendix 4: Technical Background Analysis

No technical analysis paper for this mission.

Appendix 5: Mission Preparation and Planning, TORs, Schedules, People met.

5-1: Mission ToRs

Terms of Reference for Consultants and other persons hired by IFAD to participate in missions under a non-staff contract.

COUNTRY OF ASSIGNMENT/LOCATION: Bangladesh/Dhaka and project sites.

MISSION NAME: The Smallholder Agricultural Competitiveness Project – Supervision Mission.

MISSION START AND END DATES: 26/10/2019-07/11/2019.

REPORT TO: Mr. Omer Zafar, IFAD Country Director for Bangladesh and the Maldives.

MISSION COMPOSITION:

Mr. Peter Situ, team leader.
Mr. David Doolan, agricultural specialist.
Ms. Krajai Chowdhury, gender and targeting specialist.
Ms. Christa Ketting, institutions specialist.
Mr. Didarul Islam, financial management specialist.

1. Background.

SACP will support production enhancement, identify market opportunities for both fresh and processed products, value added post-harvest management, build competitiveness in HVCs to move households from subsistence farming into commercial farming through three technical components supported by a project management component. They are:

Component 1 -Enhanced production of HVC and technology adoption. The objective of Component 1 will be the identification and prioritisation of appropriate VCs for smallholder investment and associated key research gaps that need to be filled through on-farm research. The purpose of the component is to develop the capacities for linking farmers to markets. This component will support the testing, evaluation and adoption of new technologies and management practices by smallholder farmers to enhance their production of HVCs that have identified market opportunities.

Component 2 - Processing and marketing of HVC. This component will support small holders to access market in a more efficient manner through creating a conducive business environment for private sector to reach them. In parallel, promising rural agro-enterprises (individual farmer, farmer groups and/or rural entrepreneurs) will be assisted to add value to primary products and penetrate market through value-added products. Besides, village-level food processing will be promoted to encourage nutrition and food-safety along the value chains. Value addition will be through improved post-harvest practices, processing, storage, and transport of agricultural commodities.

Component 3 - Climate Resilient Surface Water Management. The activities under component 3 will support households interested in increasing their productivity and diversification to HVCs with water infrastructure that will provide supplemental or full season water access, through a range of investments in water storage and provision to cropland, with associated capacity development for households and groups

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Appendix 3: Compliance with legal covenants: status of implementation

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to manage this water infrastructure. All activities in this Component will be closely associated and enhance initiatives of value addition under Component 1 and 2. Considering the experience and capacity of minor irrigation and drainage development, the Bangladesh Agriculture Development Corporation (BADC) is proposed to implement activities under component 3. The BADC has a well-organized irrigation division headed by Chief Engineer (GOB grade II official).

2. Objectives and outputs of the mission.

Signed in August 2018 in Rome, the forthcoming mission will be the first mission for SACP. Over the last year, SACP's DDP was approved, a start-up workshop was organized and a technical team installed. The first supervision mission of SACP (i) will assess the progress to date and (ii) provide implementation related guidance where needed.

The overall assignment of the mission will be the following:

- a) Assess the implementation progress of the project by comparing achievements with the qualitative and quantitative objectives laid down in the appraisal report and the Annual Work Programme and Budget (AWPB).
- b) For individual components, the mission will verify:
 - **Component 1:** the progress on the (i) assessment of the market demand for HVCs, (ii) research demands for evaluation of new cropping systems, new crops and/or new varieties and improved post-harvest management storage options, (iii) strengthened research-extension and private sector service provision, and (iv) organized producers and marketing groups.
 - **Component 2:** the progress on the (i) capacity building of DAMs (ii) development of a demand-driven extension approach within DAE and DAM and by engaging private sector, (iii) linkage with private sector buyers (iv) development of opportunities for village-level food processing, and (v) development of the capabilities of existing and potential rural enterprises' ability to manage sustainable rural agro-enterprises.
 - **Component 3:** the project's progress on activities that will support households interested in increasing their productivity and diversification to HVCs with water infrastructure.
- c) Undertake field visits to interact with the project beneficiaries and project partners and make on-the-ground assessment.
- d) Review the project management progress of the project and the supervision of activities undertaken by the technical team.
- e) Review the appropriateness of project implementation modalities (staffing, MIS, audit, financial management, monitoring and evaluation etc) – provide recommendations for implementation.

The two main outputs of the supervision mission will be (i) an aide memoire documenting the findings, conclusions and recommendations of the mission to be discussed with the Government during the wrap-up meeting in Dhaka on 7 November 2019; and (ii) a supervision report, to be submitted to the IFAD Country Director for Bangladesh and the Maldives by 18 November 2019. Both documents should follow the latest IFAD format.

3. Individual responsibilities, expected outputs and required completion dates.

While each mission member has a particular specialization and individual terms of reference, it is expected that mission members will contribute outside their own field, considering the nature of the project (with many cross-cutting themes), and the fact that each member will visit different places. The terms of reference for each mission member of the mission are as follows:

Mr. Peter Situ, Mission Leader.

The Mission Leader will be responsible for the overall coordination of the mission and report to the IFAD CD for Bangladesh and the Maldives. The expert will ensure that the mission takes due consideration of the strategic and cross-cutting themes during the mission. He will liaise with the implementing institutions to ensure that necessary views and information are fully shared during the project completion mission.

The Mission leader is responsible for the aide memoire and supervision report with its appendices and technical annexes in line with IFAD's most recent requirements. Overall consistency and linkages between main report,

appendices and field technical reports is ensured by the team leader. He will co-present the aide memoire to the wrap up meeting held with the Government of Bangladesh at the end of the mission.

In addition, he will:

- a) Coordinate mission activities and synthesize its findings and recommendations. Interact with the Government of Bangladesh, relevant donors and development partners (FAO).
- b) Agree with each mission members on their work program and expected outputs.
- c) Review the set-up of the project management and the qualifications of project staff at all levels as per project design document (PDR) and project implementation manual (PIM).
- d) Review all steering committees and their functioning throughout project implementation.
- e) Review the physical/financial implementation progress (overall and for each component) relative to the AWPB and procurement plan as laid out in the PDR and the PIM.
- f) Identify, together with the mission members, key implementation issues and potential constraints facing the project.
- g) Assess the level of cross-component complementarity and synergy.
- h) Assess the adequacy of the M&E and reporting system, including the monitoring of targeting performance, analysis of gender-disaggregated data, and the extent to which beneficiaries were involved in planning and monitoring of activities.
- i) Review the adequacy of the Monitoring and Evaluation system. Specifically assess whether this system will be able to generate appropriate knowledge products.
- j) Consolidate the contributions from the mission members and prepare the aide memoire and project completion report. The Mission leader will specifically prepare the sections on (i) mission objectives and key conclusions, (ii) effectiveness, (iii) quality of project management, (iv) value for money, (v) coherence between AWPB and Implementation, (vi) performance of M&E system and (vii) all annexes. The team leader will ensure full consistency and linkages between main report (aide memoire), appendices and annexes where if applicable.

Mr. David Doolan, Agricultural specialist.

As agricultural expert Mr. Doolan will:

- a) Review and assess the progress under component 1.
- b) Review and assess the progress under component 2.
- c) Assure that the M&E indicators are tracked under component 1&2 and the project is able to generate relevant output and outcome related data.
- d) Review and assess the project's performance towards increasing agricultural productivity, adaptation to climate change, environment and natural resource management & the requirements of the SECAP procedures.
- e) Summarize lessons learned and knowledge generated.
- f) Provide concrete, well-articulated and manageable actions for project implementation.
- g) Contribute to the "effectiveness" sections of both the Aide Memoire and Supervision Report, in specific for components 1 and 2.
- h) Contribute to other relevant sections of the Aide Memoire and Supervision Report as agreed with the team leader including (i) agricultural productivity, (ii) adaptation to climate change, (iii) environment and natural resource management & (iv) the requirements of the SECAP procedures
- i) Develop a technical paper if deemed relevant by the team leader.
- j) Carry out any other tasks as agreed with the mission leader.

Ms. Krajai Chowdhury, Gender and Targeting Specialist.

As Gender and Targeting Specialist, Ms. Krajai Chowdhury will:

- a) Assess the effectiveness of targeting, gender and monitoring and evaluation approaches and formulate recommendations for future implementation.
- b) Review SACP's strategy to reach the targeted beneficiaries.
- c) Assess the strategy to assure adequate beneficiary participation in initiatives developed by the project.
- d) Analyze the project's strategy to have an impact on nutrition, human and social empowerment on the beneficiaries by the project.
- e) Review the work plan and strategy for gender aspects and make recommendations on how to ensure effective targeting.

- f) Summarize lessons learned and knowledge generated.
- g) Provide concrete, well-articulated and manageable actions for project implementation.
- h) Prepare written contribution to the the following sections of the aide memoire and supervision report (i) targeting and outreach, (ii) gender equality and women's participation, (iii) nutrition and (iv) human and social capital empowerment.
- i) Develop a technical paper if deemed relevant by the team leader.
- j) Carry out any other relevant assignment requested by the mission leader.

Ms. Christa Ketting, Institutional specialist.

She will join the mission as a team member focusing on issues related to partnership building and service provision. In specific, she will:

- a) Review the implementation progress of the institutional arrangements, identify actual and potential/emerging operational problems, and propose solutions for corrective measures or improvements to be made.
- b) Assess implementation modalities and arrangements of key project-implicated stakeholders (implementing partners, service providers), in terms of quality of services rendered to project beneficiaries, effectiveness in reaching the core target group, and in support of value chain development generally.
- c) Assess the level of cross-component complementarity and synergy.
- d) Review the project's efforts towards knowledge management.
- e) Summarize lessons learned and knowledge generated.
- f) Provide written inputs related to the component/activities for the aide-mémoire and supervision report in specific (i) institutions and policy engagement, (ii) partnership building, (iii) responsiveness of service providers and (iv) knowledge management.
- g) Develop a technical paper if deemed relevant by the team leader.
- h) Undertake any other relevant task requested by the mission leader.

Mr. Didarul Islam – Financial Management Specialist.

As Financial Management Specialist, Mr. Islam will:

- a) Review the financial performance of the project by expenditure category and component to assess the project's overall financial performance. Identify actual or potential problems and bottlenecks;
- b) Review the financial execution of the current AWPB and obtain from the PMU explanations for significant budget-to-actual variances. Comment on the project's budget monitoring system;
- c) Perform a reconciliation of funds (Designated account and project accounts)
- d) Assess the financial management capacities of the implementing partners;
- e) Review project's administrative management procedures related to personnel, travel, vehicles/fuel and IT;
- f) Review the fixed asset register, inventory processes and latest inventory report;
- g) Identify financing agreement covenants and verify project's compliance;
- h) Follow up on previous supervision mission recommendations;
- i) Follow up on internal and external audit observations;
- j) Mr. Islam will prepare the financial management and execution section of the supervision mission.

4. Documentation

The following documentation will be made available to consultants prior to the assignment:

- a) • IFAD project design report.
- b) • Financing agreement and LTB.
- c) • AWPB and procurement plan.
- d) • IFAD ORMS guidelines.
- e) • Audit report/Audited Financial Statements
- f) • Guidance for IFAD supervision mission
- g) • Guidance note on undertaking financial management assessment during supervision.

The mission will be provided with the latest PCR template that needs to be populated with the required information and subsequently used to prepare the Aide memoire and update the ORMS (Operational Results Management System).

5. Mission schedule:

The mission will execute field visits in the project area and meetings with project officials and beneficiaries.

The proposed schedule is as follows:

Day	Date	Activity
Saturday	26-Oct	Mission assembles
Sunday	27-Oct	Kick-off meetings
Monday	28-Oct	Presentation by project
Tuesday	29-Oct	Field visit
Wednesday	30-Oct	Field visit
Thursday	31-Oct	Field visit
Friday	01-Nov	return to Dhaka/AM writing
Saturday	02-Nov	AM writing/follow-up meetings
Sunday	03-Nov	AM writing/follow-up meetings
Monday	04-Nov	AM writing/follow-up meetings
Tuesday	05-Nov	Pre-wrap up
Wednesday	06-Nov	Wrap up with SACP
Thursday	07-Nov	Wrap up with Ministry/ERD

5-2: Mission Detail schedule

Smallholder Agricultural Competitiveness Project (SACP) Supervision Mission of IFAD (26 October to 07 November 2019) Mission Field Visit Schedule

Date	Time	Detailed Activities	Remarks
27 October Sunday	11.00 am	Presentation by SACP	
29 October Tuesday	06:00 am	Departure From Hotel, Dhaka	
	07:00 am	Travel to Chattogram by air	
	08:00 am-9:30 am	Travel to Chandanaish Upazila	SACP Team will Join with the Mission
	9:30am-11:00 am	Visit supply chain market at Hashimpur block (DAM activities)	Project Vehicles (UAO/AEO, SAAO, DAM staffs will join)
	11:00pm-1:00 pm	Visit to Farmers Field at Hashimpur & Dohazari block and discussion with male & female farmers group (DAE activities)	Project Vehicles (UAO/AEO, SAAO, DAM staffs will join)
	1:00 pm-2:00 pm	Lunch and Pray	Local arrangement
	2:00 pm-3:30 pm	Field Visit at Hashimpur & Dohazari union (BADC activities)	Project Vehicles (DAE, BADC staffs will join)
	3:30 pm -5:30 pm	Back in Hotel (Chattogram Metropolitan Area)	Project Vehicles

30 October Wednesday	07:30 am-10:00 am	Travel to Fotikchari Upazila via Baroier Hat of Mirshorai upazila	Project Vehicles
	10:00 am-1:00 pm	Visit to Farmers Field at Chickonchora and Panua block of Bagan Bazar union and discussion with male and female farmers group; Visit Heyako Market (DAE and DAM activities)	Project Vehicles (UAO/AEO, SAAO, DAM staffs will join)
	1:00 pm -2:00 pm	Lunch and Pray	Local arrangement
	2:00-3:30 pm	Visit to Farmers Field at Subolchori block of Dathmara union (DAE activities)	Project Vehicles (DAE, BADC staffs will join)
		Field visit at Bagan Bazar and Dathmara union (BADC activities)	
	3:30 pm-5:30 pm	Back in Hotel from Fotikchari (Chattogram Metropolitan Area)	Project Vehicles
31 October Thursday	07:30 am-9:30 am	Travel to Mirsharai Upazila	Project Vehicles
	9:30 am-11:00 am	Visit to Farmers Field at Mubarakghuna block of Dhum Union and discussion with Male farmer's group; Discussion with Female farmer's group at Azomnagar block of Baroier Hat union (DAE and DAM activities)	Project Vehicles (UAO/AEO, SAAO, DAM staffs will join)
	11:00 am-12:00 pm	Field visit at Dhum Union (BADC activities)	Project Vehicles (DAE, BADC staffs will join)
	12:00 pm -1:30 pm	Travel to to Agricultural Research Station, BARI, Pahartoli, Khulshi, Chattogram	Project Vehicles
	1:30 pm -2:30 pm	Lunch at BARI station, Pahartoli	BARI arrangement
	2:30 pm-4:30 pm	Visit to Agricultural Research Station, BARI, Pahartoli, Khulshi, Chattogram	Project Vehicles (DAE, BADC, BARI staffs will join)
	4:30 pm -6:00 pm	Travel to Dhaka by air (31 October 2019 at 8.25 pm flight) Prepare the draft Aide-Memoire and share with SACP	Project Vehicles
01 – 04 November		Continued consultations and preparation of mission aide-memoire	
05 November	11 am -	Pre-wrap up meeting	NPD Office – meeting room
06 November	11 am	Official Wrap-up meeting	DAE Office, MoA

5-3: List of key persons met

(Records of beneficiaries met are kept by the Project Directorate)

Sl	Name	Position	Institution
1.	Md. Ayub Ali	Project Director (PD), SACP	Department of Agricultural Extension (DAE)
2.	Dr. Muhammad Emdadul Haque	Deputy Project Director (DPD), SACP	DAE

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Appendix 4: Technical background analysis

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Programme Management Department

Appendix 4: Technical Background Analysis

No technical analysis paper for this mission.

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Smallholder Agricultural Competitiveness Project

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Appendix 5: Mission preparation and planning, TORs, schedules, people met

Mission Dates: 26 October to 7 November 2019
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Project No. 2000001464
Report No. 5290-BD

Asia and the Pacific Division
Programme Management Department

Appendix 5: Mission Preparation and Planning, TORs, Schedules, People met.

5-1: Mission ToRs

Terms of Reference for Consultants and other persons hired by IFAD to participate in missions under a non-staff contract.

COUNTRY OF ASSIGNMENT/LOCATION: Bangladesh/Dhaka and project sites.

MISSION NAME: The Smallholder Agricultural Competitiveness Project – Supervision Mission.

MISSION START AND END DATES: 26/10/2019-07/11/2019.

REPORT TO: Mr. Omer Zafar, IFAD Country Director for Bangladesh and the Maldives.

MISSION COMPOSITION:

Mr. Peter Situ, team leader.
Mr. David Doolan, agricultural specialist.
Ms. Krajai Chowdhury, gender and targeting specialist.
Ms. Christa Ketting, institutions specialist.
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1. Background.

SACP will support production enhancement, identify market opportunities for both fresh and processed products, value added post-harvest management, build competitiveness in HVCs to move households from subsistence farming into commercial farming through three technical components supported by a project management component. They are:

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Component 2 - Processing and marketing of HVC. This component will support small holders to access market in a more efficient manner through creating a conducive business environment for private sector to reach them. In parallel, promising rural agro-enterprises (individual farmer, farmer groups and/or rural entrepreneurs) will be assisted to add value to primary products and penetrate market through value-added products. Besides, village-level food processing will be promoted to encourage nutrition and food-safety along the value chains. Value addition will be through improved post-harvest practices, processing, storage, and transport of agricultural commodities.

Component 3 - Climate Resilient Surface Water Management. The activities under component 3 will support households interested in increasing their productivity and diversification to HVCs with water infrastructure that will provide supplemental or full season water access, through a range of investments in

water storage and provision to cropland, with associated capacity development for households and groups to manage this water infrastructure. All activities in this Component will be closely associated and enhance initiatives of value addition under Component 1 and 2. Considering the experience and capacity of minor irrigation and drainage development, the Bangladesh Agriculture Development Corporation (BADC) is proposed to implement activities under component 3. The BADC has a well-organized irrigation division headed by Chief Engineer (GOB grade II official).

2. Objectives and outputs of the mission.

Signed in August 2018 in Rome, the forthcoming mission will be the first mission for SACP. Over the last year, SACP's DDP was approved, a start-up workshop was organized and a technical team installed. The first supervision mission of SACP (i) will assess the progress to date and (ii) provide implementation related guidance where needed.

The overall assignment of the mission will be the following:

- a) Assess the implementation progress of the project by comparing achievements with the qualitative and quantitative objectives laid down in the appraisal report and the Annual Work Programme and Budget (AWPB).
- b) For individual components, the mission will verify:
 - **Component 1:** the progress on the (i) assessment of the market demand for HVCs, (ii) research demands for evaluation of new cropping systems, new crops and/or new varieties and improved post-harvest management storage options, (iii) strengthened research-extension and private sector service provision, and (iv) organized producers and marketing groups.
 - **Component 2:** the progress on the (i) capacity building of DAEs (ii) development of a demand-driven extension approach within DAE and DAM and by engaging private sector, (iii) linkage with private sector buyers (iv) development of opportunities for village-level food processing, and (v) development of the capabilities of existing and potential rural enterprises' ability to manage sustainable rural agro-enterprises.
 - **Component 3:** the project's progress on activities that will support households interested in increasing their productivity and diversification to HVCs with water infrastructure.
- c) Undertake field visits to interact with the project beneficiaries and project partners and make on-the-ground assessment.
- d) Review the project management progress of the project and the supervision of activities undertaken by the technical team.
- e) Review the appropriateness of project implementation modalities (staffing, MIS, audit, financial management, monitoring and evaluation etc) – provide recommendations for implementation.

The two main outputs of the supervision mission will be (i) an aide memoire documenting the findings, conclusions and recommendations of the mission to be discussed with the Government during the wrap-up meeting in Dhaka on 7 November 2019; and (ii) a supervision report, to be submitted to the IFAD Country Director for Bangladesh and the Maldives by 18 November 2019. Both documents should follow the latest IFAD format.

3. Individual responsibilities, expected outputs and required completion dates.

While each mission member has a particular specialization and individual terms of reference, it is expected that mission members will contribute outside their own field, considering the nature of the project (with many cross-cutting themes), and the fact that each member will visit different places. The terms of reference for each mission member of the mission are as follows:

Mr. Peter Situ, Mission Leader.

The Mission Leader will be responsible for the overall coordination of the mission and report to the IFAD CD for Bangladesh and the Maldives. The expert will ensure that the mission takes due consideration of the strategic and cross-cutting themes during the mission. He will liaise with the implementing institutions to ensure that necessary views and information are fully shared during the project completion mission.

The Mission leader is responsible for the aide memoire and supervision report with its appendices and technical annexes in line with IFAD's most recent requirements. Overall consistency and linkages between main report, appendices and field technical reports is ensured by the team leader. He will co-present the aide memoire to the wrap up meeting held with the Government of Bangladesh at the end of the mission.

In addition, he will:

- a) Coordinate mission activities and synthesize its findings and recommendations. Interact with the Government of Bangladesh, relevant donors and development partners (FAO).
- b) Agree with each mission members on their work program and expected outputs.
- c) Review the set-up of the project management and the qualifications of project staff at all levels as per project design document (PDR) and project implementation manual (PIM).
- d) Review all steering committees and their functioning throughout project implementation.
- e) Review the physical/financial implementation progress (overall and for each component) relative to the AWPB and procurement plan as laid out in the PDR and the PIM.
- f) Identify, together with the mission members, key implementation issues and potential constraints facing the project.
- g) Assess the level of cross-component complementarity and synergy.
- h) Assess the adequacy of the M&E and reporting system, including the monitoring of targeting performance, analysis of gender-disaggregated data, and the extent to which beneficiaries were involved in planning and monitoring of activities.
- i) Review the adequacy of the Monitoring and Evaluation system. Specifically assess whether this system will be able to generate appropriate knowledge products.
- j) Consolidate the contributions from the mission members and prepare the aide memoire and project completion report. The Mission leader will specifically prepare the sections on (i) mission objectives and key conclusions, (ii) effectiveness, (iii) quality of project management, (iv) value for money, (v) coherence between AWPB and Implementation, (vi) performance of M&E system and (vii) all annexes. The team leader will ensure full consistency and linkages between main report (aide memoire), appendices and annexes where if applicable.

Mr. David Doolan, Agricultural specialist.

As agricultural expert Mr. Doolan will:

- a) Review and assess the progress under component 1.
- b) Review and assess the progress under component 2.
- c) Assure that the M&E indicators are tracked under component 1&2 and the project is able to generate relevant output and outcome related data.
- d) Review and assess the project's performance towards increasing agricultural productivity, adaptation to climate change, environment and natural resource management & the requirements of the SECAP procedures.
- e) Summarize lessons learned and knowledge generated.
- f) Provide concrete, well-articulated and manageable actions for project implementation.
- g) Contribute to the "effectiveness" sections of both the Aide Memoire and Supervision Report, in specific for components 1 and 2.
- h) Contribute to other relevant sections of the Aide Memoire and Supervision Report as agreed with the team leader including (i) agricultural productivity, (ii) adaptation to climate change, (iii) environment and natural resource management & (iv) the requirements of the SECAP procedures
- i) Develop a technical paper if deemed relevant by the team leader.
- j) Carry out any other tasks as agreed with the mission leader.

Ms. Krajai Chowdhury, Gender and Targeting Specialist.

As Gender and Targeting Specialist, Ms. Krajai Chowdhury will:

- a) Assess the effectiveness of targeting, gender and monitoring and evaluation approaches and formulate recommendations for future implementation.
- b) Review SACP's strategy to reach the targeted beneficiaries.
- c) Assess the strategy to assure adequate beneficiary participation in initiatives developed by the project.
- d) Analyze the project's strategy to have an impact on nutrition, human and social empowerment on the beneficiaries by the project.

- e) Review the work plan and strategy for gender aspects and make recommendations on how to ensure effective targeting.
- f) Summarize lessons learned and knowledge generated.
- g) Provide concrete, well-articulated and manageable actions for project implementation.
- h) Prepare written contribution to the the following sections of the aide memoire and supervision report (i) targeting and outreach, (ii) gender equality and women's participation, (iii) nutrition and (iv) human and social capital empowerment.
- i) Develop a technical paper if deemed relevant by the team leader.
- j) Carry out any other relevant assignment requested by the mission leader.

Ms. Christa Ketting, Institutional specialist.

She will join the mission as a team member focusing on issues related to partnership building and service provision. In specific, she will:

- a) Review the implementation progress of the institutional arrangements, identify actual and potential/emerging operational problems, and propose solutions for corrective measures or improvements to be made.
- b) Assess implementation modalities and arrangements of key project-implicated stakeholders (implementing partners, service providers), in terms of quality of services rendered to project beneficiaries, effectiveness in reaching the core target group, and in support of value chain development generally.
- c) Assess the level of cross-component complementarity and synergy.
- d) Review the project's efforts towards knowledge management.
- e) Summarize lessons learned and knowledge generated.
- f) Provide written inputs related to the component/activities for the aide-mémoire and supervision report in specific (i) institutions and policy engagement, (ii) partnership building, (iii) responsiveness of service providers and (iv) knowledge management.
- g) Develop a technical paper if deemed relevant by the team leader.
- h) Undertake any other relevant task requested by the mission leader.

Mr. Didarul Islam – Financial Management Specialist.

As Financial Management Specialist, Mr. Islam will:

- a) Review the financial performance of the project by expenditure category and component to assess the project's overall financial performance. Identify actual or potential problems and bottlenecks;
- b) Review the financial execution of the current AWPB and obtain from the PMU explanations for significant budget-to-actual variances. Comment on the project's budget monitoring system;
- c) Perform a reconciliation of funds (Designated account and project accounts)
- d) Assess the financial management capacities of the implementing partners;
- e) Review project's administrative management procedures related to personnel, travel, vehicles/fuel and IT;
- f) Review the fixed asset register, inventory processes and latest inventory report;
- g) Identify financing agreement covenants and verify project's compliance;
- h) Follow up on previous supervision mission recommendations;
- i) Follow up on internal and external audit observations;
- j) Mr. Islam will prepare the financial management and execution section of the supervision mission.

4. Documentation

The following documentation will be made available to consultants prior to the assignment:

- a) • IFAD project design report.
- b) • Financing agreement and LTB.
- c) • AWPB and procurement plan.
- d) • IFAD ORMS guidelines.
- e) • Audit report/Audited Financial Statements
- f) • Guidance for IFAD supervision mission

- g) • Guidance note on undertaking financial management assessment during supervision.

The mission will be provided with the latest PCR template that needs to be populated with the required information and subsequently used to prepare the Aide memoire and update the ORMS (Operational Results Management System).

5. Mission schedule:

The mission will execute field visits in the project area and meetings with project officials and beneficiaries.

The proposed schedule is as follows:

Day	Date	Activity
Saturday	26-Oct	Mission assembles
Sunday	27-Oct	Kick-off meetings
Monday	28-Oct	Presentation by project
Tuesday	29-Oct	Field visit
Wednesday	30-Oct	Field visit
Thursday	31-Oct	Field visit
Friday	01-Nov	return to Dhaka/AM writing
Saturday	02-Nov	AM writing/follow-up meetings
Sunday	03-Nov	AM writing/follow-up meetings
Monday	04-Nov	AM writing/follow-up meetings
Tuesday	05-Nov	Pre-wrap up
Wednesday	06-Nov	Wrap up with SACP
Thursday	07-Nov	Wrap up with Ministry/ERD

5-2: Mission Detail schedule

Smallholder Agricultural Competitiveness Project (SACP) Supervision Mission of IFAD (26 October to 07 November 2019) Mission Field Visit Schedule

Date	Time	Detailed Activities	Remarks
27 October Sunday	11.00 am	Presentation by SACP	
29 October Tuesday	06:00 am	Departure From Hotel, Dhaka	
	07:00 am	Travel to Chattogram by air	
	08:00 am-9:30 am	Travel to Chandanaish Upazila	SACP Team will Join with the Mission
	9:30am-11:00 am	Visit supply chain market at Hashimpur block (DAM activities)	Project Vehicles (UAO/AEO, SAAO, DAM staffs will join)
	11:00pm-1:00 pm	Visit to Farmers Field at Hashimpur & Dohazari block and discussion with male & female farmers group (DAE activities)	Project Vehicles (UAO/AEO, SAAO, DAM staffs will join)
	1:00 pm-2:00 pm	Lunch and Pray	Local arrangement
	2:00 pm-3:30 pm	Field Visit at Hashimpur & Dohazari union (BADC activities)	Project Vehicles (DAE, BADC staffs will join)
	3:30 pm -5:30 pm	Back in Hotel	Project Vehicles

		(Chattogram Metropolitan Area)	
30 October Wednesday	07:30 am-10:00 am	Travel to Fotikchari Upazila via Baroier Hat of Mirshorai upazila	Project Vehicles
	10:00 am-1:00 pm	Visit to Farmers Field at Chickonchora and Panua block of Bagan Bazar union and discussion with male and female farmers group; Visit Heyako Market (DAE and DAM activities)	Project Vehicles (UAO/AEO, SAAO, DAM staffs will join)
	1:00 pm -2:00 pm	Lunch and Pray	Local arrangement
	2:00-3:30 pm	Visit to Farmers Field at Subolchori block of Dathmara union (DAE activities) Field visit at Bagan Bazar and Dathmara union (BADC activities)	Project Vehicles (DAE, BADC staffs will join)
	3:30 pm-5:30 pm	Back in Hotel from Fotikchari (Chattogram Metropolitan Area)	Project Vehicles
31 October Thursday	07:30 am-9:30 am	Travel to Mirsharai Upazila	Project Vehicles
	9:30 am-11:00 am	Visit to Farmers Field at Mubarakghuna block of Dhum Union and discussion with Male farmer's group; Discussion with Female farmer's group at Azomnagar block of Baroier Hat union (DAE and DAM activities)	Project Vehicles (UAO/AEO, SAAO, DAM staffs will join)
	11:00 am-12:00 pm	Field visit at Dhum Union (BADC activities)	Project Vehicles (DAE, BADC staffs will join)
	12:00 pm -1:30 pm	Travel to to Agricultural Research Station, BARI, Pahartoli, Khulshi, Chattogram	Project Vehicles
	1:30 pm -2:30 pm	Lunch at BARI station, Pahartoli	BARI arrangement
	2:30 pm-4:30 pm	Visit to Agricultural Research Station, BARI, Pahartoli, Khulshi, Chattogram	Project Vehicles (DAE, BADC, BARI staffs will join)
	4:30 pm -6:00 pm	Travel to Dhaka by air (31 October 2019 at 8.25 pm flight) Prepare the draft Aide-Memoire and share with SACP	Project Vehicles
01 – 04 November		Continued consultations and preparation of mission aide-memoire	
05 November	11 am -	Pre-wrap up meeting	NPD Office – meeting room
06 November	11 am	Official Wrap-up meeting	DAE Office, MoA

5-3: List of key persons met

(Records of beneficiaries met are kept by the Project Directorate)

SI	Name	Position	Institution
1.	Md. Ayub Ali	Project Director (PD), SACP	Department of Agricultural Extension (DAE)

2.	Dr. Muhammad Emdadul Haque	Deputy Project Director (DPD), SACP	DAE
3.	Syed Abu Siam Zulquarnine	Senior Monitoring Officer, SACP	DAE
4.	Chowdhury Wahida Sultana	Senior Monitoring Officer, SACP	DAE
5.	Md. Aman Ullah Bhuiyan	Accountant	DAE
6.	A N M Mozammel Hoque	Computer operator	DAE
7.	Sreti Rani Sarkar	UAO, Chandanaish	DAE,
8.	Mahbubar Rahman	AAEO, Chandanaish	DAE
9.	Istiaq Ahmed Arif	SAPPO, Chandanaish	DAE
10.	Rupayan Chowdhury	SAAO, Chandanaish	DAE
11.	Mrinal Kanti Das	SAAO, Chandanaish	DAE
12.	Manesh Dey	SAAO, Chandanaish	DAE
13.	Md. Komour Uddin	Lead Farmer, Chandanaish	DAE
14.	Md. Hannan	Lead Farmer, Chandanaish	DAE
15.	Zakia Begum	Lead Farmer, Chandanaish	DAE
16.	Litan Debnath	UAO, Fotikchori	DAE
17.	Suman Kanti Nath	SAAO, Fotikchori	DAE
18.	Md. Taslim Bahar	SAAO, Fotikchori	DAE
19.	Md. Harunur Rahsid	SAAO, Fotikchori	DAE
20.	Md. Helal Uddin	SAAO, Fotikchori	DAE
21.	Md. Omer Faruk	Lead Farmer, Fotikchori	DAE
22.	Rahima Bibi	Lead Farmer, Fotikchori	DAE
23.	Raghu Nath Naha	UAO, Mirsorai	DAE
24.	Kanika Rani Majumder	SAAO, Mirsorai	DAE
25.	A B M Moqbul Hossain	SAAO, Mirsorai	DAE
26.	Md. Saifuddin Chowdhury	Lead Farmer, Mirsorai	DAE
27.	Dr. Apurba Kanti Choudhury	Component Coordinator (CC), SCAP	BARI
28.	Dr. AKM Quamruzzaman	Deputy Component Coordinator (DCC), SCAP	BARI
29.	Dr. AFM Harunor Rashid	Principal Scientific Officer	BARI
30.	F M Kamrul Hasan Chowdhury	Scientific Officer	BARI
31.	Md. Golam Azam	Scientific Officer	BARI
32.	Dr. Md: Ashrafuzzaman	Component Director (CD)	Dept. of Agricultural Marketing
33.	Reza shahbaz hadi	Deputy Component Director (DCD), SACP	DAM
34.	Khan Md. Abul kalam Azad	Accountant	DAM
35.	Farhana Nasim	Deputy Director	DAM
36.	Md. Salim Miah	Lead Farmer	DAM
37.	Rajita jalil	Marketing facilitator	DAM
38.	A.M. Fahad parvez	Marketing facilitator	DAM
39.	Md. Jakir Hossain shipon	Marketing facilitator	DAM
40.	Md Rezaur Rahman	Component Director SACP, BADC Part	BADC

41	Md. Sharifur Rahman	Deputy Component Director SACP, BADC Part	BADC
42	Md. Ashraful Islam	Sub. Assistant Engineer SACP, BADC Part	BADC
43	S.M Firozur Rahman	Accounts Officer SACP, BADC Part	BADC
44	Md. Ashrafuzzaman	Executive Engineer	BADC
45	Biswajit Das Gupta	Assistant Engineer	BADC
46	Ajmanur Rahman	Sub. Assistant Engineer	BADC
47	Rabia Khatun	Sub. Assistant Engineer	BADC
48	Dominik dias	Assistant Mechanic	BADC
49	Md. Arju Rahaman	Work Assistant	BADC
50	Md. Nazrul Islam	Work Assistant	BADC
51	Md. Mohinuddin	Work Assistant	BADC
52	Dr. Craig A. Meisner	Senior Technical Adviser (STA)	FAO
53	Dr. Tahmina Begum	Farmer Field School Specialist	FAO
54	Homayora Yeasmin	Team Assistant	FAO

Bangladesh

Smallholder Agricultural Competitiveness Project Supervision Report

Appendix 6: Procurement

Mission Dates: 26 October to 7 November 2019
Document Date 07/01/2020
Project No. 2000001464
Report No. 5290-BD

Asia and the Pacific Division
Programme Management Department

Appendix 6

Pillars	Rating	Justification
A. Review of Procurement planning	4	Procurement planning exhibits some gaps and inconsistencies that have limited impact on project implementation and performance. Implementation support is required, however, prompt resolution of issues/constraints is likely
B. Process and Procedures: from prequalification to bidding	4	Processes and procedures applied exhibit some gaps and inconsistencies that have limited impact on project implementation and performance. Implementation support is required, however, prompt resolution of issues/constraints is likely
C. Process and Procedures: from evaluation to awards	3	Processes and procedures applied exhibit significant shortcomings and inconsistencies across some of the requirements. Project implementation is negatively impacted and delayed; implementation support is required; resolution of issues/constraints is likely but will take some time.
D. Contract management	3	Processes, procedures and systems for administration, supervision and management of contracts exhibit numerous shortcomings. Project implementation is negatively impacted; implementation support is required; resolution of issues/constraints is likely but will take some time
E. Record Retention	3	The project's record retention exhibits numerous shortcomings. Project implementation is negatively impacted; implementation support is required; resolution of issues/constraints is likely but will take some time.
Overall	3.4 Rounded to 3	Processes, procedures and systems applied exhibit significant shortcomings and inconsistencies across some of the requirements. Project implementation is negatively impacted and delayed; implementation support is required; resolution of issues/constraints is likely but will take some time.

Applicable Procurement Framework: Procurement of Goods, Works and Services of SACP shall be carried out in accordance with the provisions of the Public Procurement Act 2006 (PPA) and the Public Procurement Rules 2008 (PPR), to the extent that are in consistent with IFAD Procurement Guidelines. If there is any conflict between the government and IFAD procedures about any unique procurement, the provisions identified in IFAD Project Procurement Guidelines and IFAD Project Procurement Handbook as referenced by the Financing Agreement shall prevail.

Project Procurement Profile: Project envisaged various community infrastructure works inter alias improved post-harvest management storage through component- 1, Crop Protection of Earthen Dyke, excavation of Small/medium Khal, Installation of Sub Surface irrigation Channel, Community Pond and solar system installation, extension of Old buried pipelines, Rainwater Harvesting Installation, On farm Water management Structures, Dug well with Solar, and Community Artisan Well [Component 3]. These constitutes substantive procurable expenditure relative to the overall spend envelope. These are small value contracts and implementation locations are spread across 11 project districts and 30 Upazilla/sub districts.

Project planned goods/equipment required for adoption of new technologies, processing, storage, and transport of agricultural commodities, goods/equipment /vehicle required to establish functional

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offices at Project Office and District Coordination Units. Project also planned to procure few consultancies like Technical Agencies to support community, mapping studies and market linkages, other services, and workshops and trainings. Procurement will be conducted by the implementing agencies DAE: Component 1, DAM: Component 2, BADC: Component 3 and BARI activities spread across multiple components.

Project Implementation Manual [PIM]: The PIM is an extracted version of the Final Project Design Report. Requires to be modified as day to day reference. Revise and seek IFAD's no objection revised PIM.

Procurement Planning [PP]: Few envisaged activities are yet to be initiated particularly in the component 2. The PO is not monitoring the progress of each planned activities. Observed that the actual dates are not updated in PP and not used as monitoring tool.

Procurement Process: The Standard Bidding documents prescribed by GoB PPA and PPR were used. eGP Platform is used for procurement [invitation till award of contract]. The estimated cost for Works is prepared based on the schedule of Rates [GoB]. In one of the reviewed case, the evaluation is based on the site inspection and awarding the contract to highest priced bidder by rejecting the lowest priced bidder based on the quality.

Review of Contract Administration and Management: BADC is procuring materials like Pipes for providing construction activities and storing them at regional stores. Inventory Management System in the Stores may be assessed for issuance and accounting of materials for various works envisaged in the project target area. Advised to prepare the contract register [C10] since inception and periodically report to IFAD Country Office.

Record Keeping: The procurement filing at PO is not adequate and retrievable particularly in component - 2. Invoice validation, certification, maintenance of asset register with indicating the make, model and serial number to be entered in the stock register, delivery challen/Invoice certification and also the similar details should be maintained for goods/equipment when transferred to district / upzilla offices. Assets procured for PO are to be identified with unique identification number. Maintain IFAD's Record keeping checklist in each procurement file. The Record keeping at the district offices is not reviewed during this mission.

Post Review: Reviewed representative sample of 11 contracts and rated 6 contracts as Moderately Unsatisfactory. As this is the first year of the project, the Internal Audit was not conducted.

Prior Review: 6 out of 7 contracts reviewed were awarded without IFAD's No objection at key stages of the procurement as per Letter to Borrower.

Staff and Procurement Capacity: At PO, absence of dedicated Procurement Specialist has impacted the overall project procurement. Deploy Procurement specialist with specialisation of procurement of consultancy services.

Agreed Action	Responsibility	Agreed Date
1. Revise Project Implementation Manual [PIM] as	Project	31 Jan 2020

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Agreed Action	Responsibility	Agreed Date
day to day desk reference for articulating project procurement and seek No Objection from IFAD	Director	
2. Upgrade the Procurement Plan in line with the discussions and submit to IFAD through NOTUS to seek No Objection	Project Director	30 Dec 2019
3. Select and deploy a Procurement Specialist [PS] with prior experience akin to profile of project procurement and emphasis may be on selection of consultancy services, training, and workshops besides Works and Goods.	Project Director	31 Jan 2020
4. Share the Terms of Reference before initiating the procurement process. IFAD will review draft ToR and share comments/ feedback.	IFAD	
5. Obtain no objection at salient stages as prescribed in the LTB	Project Director	Continuous
6. Assess the Inventory Management System at Regional Stores of BADC for storing and issuing the procured materials to be used for various construction activities	IFAD	28 Feb 2020
7. Conduct workshop on procurement with focus on project procurement profile for those directly involved in the procurement process.	Project Director	28 Feb 2020
8. Improve record keeping and documentation in line with IFAD Record keeping checklist	Project Director	Immediate and continuous
9. Update the Fixed Asset Register with mention of Make, Model and Serial Number of ICT and other Equipment; [Ensure that these Make, Model and Serial numbers are mentioned in the Delivery Challan for all future procurement]. Identify them with unique identification number;	Project Director	31 Dec 2019 and quarterly
10. For the Goods / Equipment distributed to the District and Upzilla Offices: obtain the return acknowledgement duly mentioning the name of the person, any identification number [permitted by GoB] and Make, Model and Serial Number of ICT and other Equipment.		

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Agreed Action	Responsibility	Agreed Date
11. Obtain Annual Stock report for the assets distributed for each office.		

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Annex X1: Review of Procurement Activities envisaged in DPP and Procurement Plan

Note on Packaging and Planning of procurement of works in Component 3:

The construction sites are in 11 districts and 30 Upazillas. The locations are remote and the number of potential contractors available are limited in the project districts.

Package Number		Unit	2018-19	2019-20	2020-21	2021-22	2022-23	Total
WD-1	Crop Protection of Earthen Dyke	km		15	15	15	10	55
As per APP (eGP)	<p>16 km planned and submitted as part of the PP; After approval from HOPE, submitted packages – 16 through eGP;</p> <p>The construction sites located in 4 districts. Each estimated to Cost around BDT 5,500,000. The time available for construction is 3 months due to environmental condition (tidal / rainy season). The packaging is prepared 1 km wise, which is more appropriate based on the previous experience</p>							
WD-2	Re-excavation of Small Khal	km		72	74	74	74	294
	<p>As part of the Project Procurement Plan:</p> <p>FY 2019-20: 76 km planned and submitted as part of the PP; After approval from HOPE, submitted packages – 110 km, 55 Packages through eGP; Addition is considered as contingency, in case the sites are not ready, rainy season or other local conditions. However, the total project target will be adjusted based on the actual completion by the end of the year.</p> <p>Each estimated to Cost around BDT 2,854,400 per package. The time available for construction is 3 months due to environmental condition (tidal / rainy season). The packaging is prepared 2 km wise, which is more appropriate based on the previous experience.</p>							
WD-3	Re-excavation of Medium Khal	km		50	50	4	50	190
	<p>50 km planned and submitted as part of the PP; After approval from HOPE, submitted packages – 79.5 km, 42 Packages [9 packages of 1.5 km each], and through eGP; Addition is considered as contingency, in case the sites are not ready, rainy season or other local conditions.</p> <p>The construction sites located in 11 districts. Each estimated to Cost around BDT 2 km package: BDT 3,433,800; for 1.5 km: BDT 2,575,000 per package. The time available for construction is 3 months due to environmental condition (tidal / rainy season); The the packaging is prepared 2 km wise and 1.5 km for 9 packages, which is more appropriate based on the previous experience</p>							
WD-3 (Wrongly identified in the DPP]	Installation of Sub Surface irrigation Channel	km		60	70	60	60	250
WD-4	Community – Pond excavation and solar system installation	Per Site		23	24	24	24	95

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WD-5	Extension of Old buried pipelines	km		14	14			28
WD-6	Rainwater Harvesting Installation	Per Site		506	506	506	506	2024
WD-7	On farm Water management Structure	No		1	2	2	2	7
WD-8	On farm Water management Structure (Small: Cattle Crossing)	No		39	39	39	39	156
WD-9	On farm Water management Structure (Water Pass equalizer)	No		25	25	25	25	100
WD-10	Dug well with Solar	No		2	3	2	3	10
WD-11	Community Artisan Well	No		25	25	25	25	100
WD-12	Seed Village	No		10	12	4	4	30
WD-13	Boundary Wall	Meter	300	300				600
WD-14	Construction of Electrification			36	38	38	38	150

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Annex X2: Review of Sample Procurement Files – SACP

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Review 1: Component 1: Goods, Open Tendering Method - Prior		
Description of Contract:	Date of Contract:	Contract Amount:
Procurement of Motorcycles – 60 Units	19 Jun 2019	BDT 10,766,820
Name of the supplier: M/s WAMIA, Dhaka		

	Stage of the Procurement Cycle	Check	Remarks
B	Bidding Process (for each procurement)		
1.	Is the item in the Procurement Plan?	Yes	DPP/AWPB Package: GD3 As per IFAD PP, National Open Tender in Component 1; Goods; Estimated Cost: US \$ 131,720 [BDT 10,801,040 at 82 per US \$]; Prior Review 8,000,000 Threshold as per LTB GoB PP Package Number 3; Estimated Cost: BDT 1,080,000 Method: Open Tender Method [OTM] Type: National Competitive Tender [NCT]
2.	Is there a formal Procurement Requisition that initiates the process?	Yes	
3.	Is the Method proposed in the PP used?	Yes	Open Tender Method Procured through eGP platform https://eprocurement.gov.bd
4.	Is enough done to demonstrate that competition was achieved?	Yes	Advertised in the newspapers Dhaka Star on 7 May 2019 and also in local daily on 8 May 2019
5.	Is there time provided for bidders to request and receive clarifications, before the submission deadline?	Yes	Invitation to Tender is from 7 May 2019 till 21 May 2019; 14 days; Only one firm submitted; Technical specifications not matched; Hence retendered; Invitation to Tender from 27 May 2019 to 10 Jun 2019 13:30; Opening 10 Jun 2019 14:00
6.	Is there sufficient time allotted for bidders to prepare quotations, bids and proposals?	Yes	
7.	No late bids were accepted?	No	
C	Evaluation Process (for each procurement)		Documents Sold/Downloaded: 4 Number of Submission: 2 Withdrawn: Nil Substituted/Modified: Nil Both bidders submitted stipulated Bid Security in Amount and Validity BDT 300,000 Bidder 1: Istiak Osman Talukdar – BDT 10,693,680 Bidder 2: M/s Wamia = BDT 10,766,820

Appendix 6

	Stage of the Procurement Cycle	Check	Remarks
1.	Was Preliminary Examination done?	Yes	One Bidder rejected for not providing Solvency Certificate.
2.	Are there objective and justifiable reasons for rejections at Preliminary Examination?	No	Historic details like Solvency certificate may be obtained.
3.	Was Technical Evaluation done?	Yes	
4.	Are there objective and justifiable reasons for rejections at Technical Evaluation?	Yes	None
5.	For QCBS and QBS was a Technical Evaluation Report submitted to and no-objected to by IFAD before the Financial Proposal(s) was/were opened?		Not Applicable
6.	For all advertised procurement, were bidders informed of the (technical) evaluation outcome?		Not provisioned in accordance with Recipient's Procurement Regulations
7.	Were the bidders given sufficient explanation as to their performance at the (technical) evaluation, if one or more requested this information?		None requested
8.	Were bidders given enough time to submit a challenge/protest?	Yes	
9.	For consulting services under QCBS, QBS and LCS, was there a formal opening of financial proposals?		Not Applicable
10.	Was Financial evaluation done and properly?	Yes	
11.	Were corrections to Bidders' financials/prices were done, is there correspondence to show that the bidders were (i) notified and (ii) accepted the correction?	Yes	
12.	Are there objective and justifiable reasons for rejections at Financial Evaluation?		None rejected
13.	For consulting services under QCBS, were bidders provided the results of the combined evaluation and accorded sufficient time to challenge/protest?		Not Applicable
14.	Were challenges/protests received? Were they handled properly?		None requested
D	Award and Negotiations (for each procurement)		Notification of Award dated 12 Jun 2019 Performance Security: Stipulated BDT 1,076,682 Bank Guarantee from Jamuna Bank dated 12 Jun 2019 for BDT 1,076,682 valid till 12 Jun 2020;
1.	Was award done after all challenges/protests were received?	Yes	
2.	Negotiations were not held for Goods and Works	No	
3.	Negotiations were held for consulting services?		Not Applicable

Appendix 6

	Stage of the Procurement Cycle	Check	Remarks
4.	Did the negotiations significantly alter (i) the scope, (ii) the duration and (ii) the prices?		Not Applicable
E	Contract, Contract Administration and Management Process (for each procurement)		
1.	Was the Contract signed by both parties? (A copy must be evident in file)	Yes	Contract Dated 19 Jun 2019 in file Work Order dated 19 Jun 2019 IFAD no objection vide email dated 24 Oct 2019.
2.	Has the contract the standard commercial and contractual terms required for a proper procurement agreement, as per relevant category and method?	Yes	
3.	Is the procurement requirement (terms of reference, description of services, schedule of requirements, technical specifications) expressed in the contract as consistent with the successful bidder's bid, evaluation report and negotiated outcome?	Yes	The work order comprised of details (place of delivery, specifications etc...)
4.	Was a Contract amendment made to extend time/add funds/add scope?	No	

Moderately Satisfactory:

Delivery	completed on 26 Jun 2019 with mention of Vehicle Chassis Number, Engine Number and place of UAO office
Payment	25 Jun 2019 Paid BDT 75,36,774 vide check issued Bangladesh Krishi Bank – IFAD Portion 30 Jun 2019 Paid 21,53,364 CAG office issued from Treasury; - GOB Fund Total Paid: 9,690,138 after deducting eligible Income tax and applied VAT.

Appendix 6

Review 2: Component 1: Goods, Direct Procurement Method[G2G]-Prior

Description of Contract:	Date of Contract:	Contract Amount:
Procurement of 4WD Motor Vehicle (Jeep) – 1 Units	3 Jun 2019	90,35,600
Supplier: Progoti Industries Limited Dhaka		

	Stage of the Procurement Cycle	Check	Remarks
B	Bidding Process (for each procurement)		
8.	Is the item in the Procurement Plan?	Yes	DPP/AWPB Package: GD1 As per IFAD PP, Direct Procurement Method [G2G] in Component 1; Goods; Estimated Cost: US \$ 112200 [BDT 92,00,000 at 82 per US \$]; Prior Review 1,000,000 Direct Goods Threshold as per LTB GoB PP Package Number 1; WWD Jeep – 1 Unit Estimated Cost: BDT 9,200,000 Method: Direct Procurement Method [DPM] Type: Government to Government
9.	Is there a formal Procurement Requisition that initiates the process?	Yes	
10.	Is the Method proposed in the PP used?	Yes	
11.	Is enough done to demonstrate that competition was achieved?	Yes	Written to Government Organisation on 20 Mar 2019 1. Progoti Industries Limited Letter dated 21 Mar 2019 Performa Invoice Unit Price 90,35,600 inclusive of all applicable taxes
12.	Is there time provided for bidders to request and receive clarifications, before the submission deadline?		Not Applicable
13.	Is there sufficient time allotted for bidders to prepare quotations, bids and proposals?		Not Applicable
14.	No late bids were accepted?		Not Applicable
C	Evaluation Process (for each procurement)		Evaluation committed evaluated and recommended on 25 Apr 2019 Technical Sub-committee visited the showroom and accepted the proposed vehicle 20 May 2019 Evaluation committee meeting dated 26 May 2019 accepted and recommended for the award

Appendix 6

	Stage of the Procurement Cycle	Check	Remarks
15.	Was Preliminary Examination done?		Not Applicable
16.	Are there objective and justifiable reasons for rejections at Preliminary Examination?		Not Applicable
17.	Was Technical Evaluation done?		Not Applicable
18.	Are there objective and justifiable reasons for rejections at Technical Evaluation?		Not Applicable
19.	For QCBS and QBS was a Technical Evaluation Report submitted to and no-objected to by IFAD before the Financial Proposal(s) was/were opened?		Not Applicable
20.	For all advertised procurement, were bidders informed of the (technical) evaluation outcome?		Not provisioned in accordance with Recipient's Procurement Regulations
21.	Were the bidders given sufficient explanation as to their performance at the (technical) evaluation, if one or more requested this information?		Not Applicable
22.	Were bidders given enough time to submit a challenge/protest?		Not Applicable
23.	For consulting services under QCBS, QBS and LCS, was there a formal opening of financial proposals?		Not Applicable
24.	Was Financial evaluation done and properly?		Not Applicable
25.	Were corrections to Bidders' financials/prices were done, is there correspondence to show that the bidders were (i) notified and (ii) accepted the correction?		Not Applicable
26.	Are there objective and justifiable reasons for rejections at Financial Evaluation?		None rejected
27.	For consulting services under QCBS, were bidders provided the results of the combined evaluation and accorded sufficient time to challenge/protest?		Not Applicable
28.	Were challenges/protests received? Were they handled properly?		None requested
D	Award and Negotiations (for each procurement)		Notification of Award dated 3 Jun 2019 Performance Security: Not Applicable Contract Amount BDT 90,35,600 Including VAT;
5.	Was award done after all challenges/protests were received?	Yes	
6.	Negotiations were not held for Goods and Works	No	
7.	Negotiations were held for consulting services?		Not Applicable

Appendix 6

	Stage of the Procurement Cycle	Check	Remarks
8.	Did the negotiations significantly alter (i) the scope, (ii) the duration and (ii) the prices?		Not Applicable
E	Contract, Contract Administration and Management Process (for each procurement)		
5.	Was the Contract signed by both parties? (A copy must be evident in file)	Yes	Contract Dated 3 Jun 2019 in file Work Order dated 12 Jun 2019 IFAD approved in NOTUS [In Progress] 19 Aug 2019
6.	Has the contract the standard commercial and contractual terms required for a proper procurement agreement, as per relevant category and method?	Yes	
7.	Is the procurement requirement (terms of reference, description of services, schedule of requirements, technical specifications) expressed in the contract as consistent with the successful bidder's bid, evaluation report and negotiated outcome?	Yes	The work order comprised of details (place of delivery, specifications etc...)
8.	Was a Contract amendment made to extend time/add funds/add scope?	No	

Moderately Unsatisfactory: IFAD's No objection is not in the file [In Progress]

Delivery	Completed on 3 Jul 2019 with mention of Vehicle Chassis Number, Engine Number
Payment	BDT 21,60,687 GOB Share Check dated 30 Jun 2019 and IFAD Share BDT 63,24,920 on 25 Jun 2019 paid through Check

Review 3: Component 1, Goods, Direct Procurement Method[G2G]-Prior

Description of Contract:	Date of Contract:	Contract Amount:
Procurement Double Cabin Pickup – 2 Units	3 Jun 2019	13,550,000
Supplier: Dockyard and Engineering Works Limited, Dhaka		

	Stage of the Procurement Cycle	Check	Remarks
B	Bidding Process (for each procurement)		
15.	Is the item in the Procurement Plan?	Yes	DPP/AWPB Package: GD2

Appendix 6

	Stage of the Procurement Cycle	Check	Remarks
			<p>As per IFAD PP, Direct Procurement Method [G2G] in Component 1; Goods; Estimated Cost: US \$ 224,400 [BDT 92,00,000 at 82 per US \$]; Prior Review 18,400,800 Direct Goods Threshold as per LTB</p> <p>GoB PP Package Number 2; Double Cabin Pickup – 2 Units Estimated Cost: BDT 18,400,000 Method: Direct Procurement Method [DPM] Type: Government to Government</p>
16.	Is there a formal Procurement Requisition that initiates the process?	Yes	
17.	Is the Method proposed in the PP used?	Yes	
18.	Is enough done to demonstrate that competition was achieved?	Yes	<p>Written to Government Organisations on 25 Mar 2019</p> <ol style="list-style-type: none"> 1. Dockyard Engineering Works Limited 2. Progoti Industries Limited 3. Bangladesh Machine Tools Factory <p>Dockyard Engineering Works Limited submitted 3 Apr 2019 Unit Price BDT 6,800,000 Including VAT, Registration Fee for Toyota Hilaz</p> <p>Progoti Industries Limited Not submitted the required specifications</p> <p>Bangladesh Machine Tools Factory dated 23 Apr 2019 submitted Unit BDT 6,987,912 Inclusive of all taxes;</p>
1.	Is there time provided for bidders to request and receive clarifications, before the submission deadline?		Not Applicable
2.	Is there sufficient time allotted for bidders to prepare quotations, bids and proposals?		Not Applicable
3.	No late bids were accepted?		Not Applicable
C	Evaluation Process (for each procurement)		<p>Evaluation committed evaluated and recommended on 25 Apr 2019 Technical Sub-committee visited the showroom and accepted the proposed vehicle 20 May 2019 Evaluation committee meeting dated 26 May 2019 accepted and recommended for the award</p>
29.	Was Preliminary Examination done?		Not Applicable
30.	Are there objective and justifiable reasons for rejections at Preliminary Examination?		Not Applicable
31.	Was Technical Evaluation done?		Not Applicable

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	Stage of the Procurement Cycle	Check	Remarks
32.	Are there objective and justifiable reasons for rejections at Technical Evaluation?		Not Applicable
33.	For QCBS and QBS was a Technical Evaluation Report submitted to and no-objected to by IFAD before the Financial Proposal(s) was/were opened?		Not Applicable
34.	For all advertised procurement, were bidders informed of the (technical) evaluation outcome?		Not provisioned in accordance with Recipient's Procurement Regulations
35.	Were the bidders given sufficient explanation as to their performance at the (technical) evaluation, if one or more requested this information?		Not Applicable
36.	Were bidders given enough time to submit a challenge/protest?		Not Applicable
37.	For consulting services under QCBS, QBS and LCS, was there a formal opening of financial proposals?		Not Applicable
38.	Was Financial evaluation done and properly?		Not Applicable
39.	Were corrections to Bidders' financials/prices were done, is there correspondence to show that the bidders were (i) notified and (ii) accepted the correction?		Not Applicable
40.	Are there objective and justifiable reasons for rejections at Financial Evaluation?		None rejected
41.	For consulting services under QCBS, were bidders provided the results of the combined evaluation and accorded sufficient time to challenge/protest?		Not Applicable
42.	Were challenges/protests received? Were they handled properly?		None requested
D	Award and Negotiations (for each procurement)		Notification of Award dated 3 Jun 2019 Performance Security: Not Applicable Contract Amount BDT 67,75,000 Unit price after negotiations Total Contract Amount: BDT 1,35,50,000 Including VAT;
9.	Was award done after all challenges/protests were received?	Yes	
10.	Negotiations were not held for Goods and Works	Yes	Contract Amount BDT 67,75,000 Unit price after negotiations Machine Tools has not reduced the Price
11.	Negotiations were held for consulting services?		Not Applicable

Appendix 6

	Stage of the Procurement Cycle	Check	Remarks
12.	Did the negotiations significantly alter (i) the scope, (ii) the duration and (ii) the prices?		Not Applicable
E	Contract, Contract Administration and Management Process (for each procurement)		
9.	Was the Contract signed by both parties? (A copy must be evident in file)	Yes	Contract Dated 3 Jun 2019 in file Work Order dated 12 Jun 2019 IFAD approved in NOTUS [In Progress] 19 Aug 2019
10.	Has the contract the standard commercial and contractual terms required for a proper procurement agreement, as per relevant category and method?	Yes	
11.	Is the procurement requirement (terms of reference, description of services, schedule of requirements, technical specifications) expressed in the contract as consistent with the successful bidder's bid, evaluation report and negotiated outcome?	Yes	The work order comprised of details (place of delivery, specifications etc...)
12.	Was a Contract amendment made to extend time/add funds/add scope?	No	

Moderately Unsatisfactory: IFAD's No objection is not the file

Delivery	Completed on 1 Jul 2019 with mention of Vehicle Chassis Number, Engine Number
Payment	BDT 28,05,103 GOB Share Check dated 30 Jun 2019 and IFAD Share BDT 94,85,000 on 25 Jun 2019 paid through Check

Appendix 6

Review 4 of Component 2: Goods, Open Tendering Method - Post

Part A. General

Date of review: 19 Nov 2019	Name of reviewer: Vedamurthy
Contract no.: Number ending 146	Contract date (dd/mm/yy): 18 Jun 2019
Contract description: Procurement of Furniture and Fixture [9 Items to District Offices]	Contract amount (BDT): 2,649,776 [Inclusive of applicable taxes]
Contractor / Supplier name and address: Partex Furniture Industries Limited, Madanpur, Narajnogonj, Bangladesh	Category: Goods

Part B: Process Review

Aspects reviewed	Findings and comments									
Procurement Documentation (Filing)	Yes Except the justification for rejection of the bidders									
Reference in Procurement Plan or NOTUS	As per the Workplan / DPP : DD4 Goods Estimated to Cost: US \$ 41,988; BDT 3,443,016 @ 82 per US \$ Method: Open Tender Method Post Review Few furniture also procured through Request For Quotation. This will be captured in different process									
Use of e-procurement system	Yes eGP Portal https://www.eprocure.gov.bd/ Tender Id: 323770									
Advertising or direct invitation	IFT published in 27 May 2019 in the New Age and Daily Sangbad Validity: 10 Aug 2019 Bid Security: 7 Sep 2019; Amount: BDT 90,000;									
Source of suppliers invited	Solicited from the Market through an advertisement									
Time allowed for submission of quotations	15 days (From 27 May 2019 till 11 Jun 2019 14:30)									
Bid opening and minutes of bid opening indicate number of participants, names, and prices per lot	Opened On:11 Jun 2019 14:30; Documents downloaded/Sold: 6; Submitted: 6 <table><tr><th>SL No</th><th>Name and address of the bidder</th><th>Price in BDT</th></tr><tr><td>1</td><td>RFL Plastics Limited</td><td>1,494,220</td></tr><tr><td>2</td><td>Navan Furniture Limited</td><td>1,632,804</td></tr></table>	SL No	Name and address of the bidder	Price in BDT	1	RFL Plastics Limited	1,494,220	2	Navan Furniture Limited	1,632,804
SL No	Name and address of the bidder	Price in BDT								
1	RFL Plastics Limited	1,494,220								
2	Navan Furniture Limited	1,632,804								

Review 4 of Component 2: Goods, Open Tendering Method - Post

	3	Akhtar Furnitures Limited	1,748,124
	4	Progressive Trading	2,113,400
	5	Super Tech Furniture & Interior	2,631,720
	6	Partex Furniture and Industries	2,649,776
	All bidders submitted the Bid Security		
Adequacy of reason for any Rejections in the Preliminary and/or Technical evaluation	RFL Plastics Limited		Technically non-responsive for providing the trading licence for another company
	Progressive Trading		Manufacturer's authorisation is not submitted in the proper format.
	Super Tech Furniture & Interior		Technical specification of two items out 9 items solicited not matched.
Bid evaluation report and award	As per the Bidding Document, prior inspection is pre-requisite before recommending the award.		
	The team visited the showrooms and decided to award the contract to Partex Furniture and Industries. As informed, feedback submitted through eGP for seeking HOPE's approval.		
	Recommended the award to:		
	Partex Furniture and Industries		2,649,776
Reasonableness of cost	Estimated to Cost: Awarded Amount: BDT 2,649,776		

Part C. Contract Administration

<i>Aspects reviewed</i>	<i>Findings and comments</i>
Contract document or Purchase Order	<p>Notification Award: 13 Jun 2019</p> <p>Contract Dated 18 Jun 2019</p> <p>Contract Amount: BDT 26,49,776 inclusive of applicable taxes</p> <p>Warranty: One year from the date of acceptance</p>
Planned contractual completion date	<p>Delivery period: days</p> <p>Planned completion date: ??</p>
Actual Completion Date	Bill Number 37 dated 13 Jun 2019
Delivery receipt or similar instrument	Delivery Challan dated 13 Jun 2019

Review 4 of Component 2: Goods, Open Tendering Method - Post

	Updated in the Asset Register: Page Number: 21 taken to stock on 16 Sep 2019 Not mentioned in the Delivery Challan The Furniture transferred to the District Offices														
Timeliness of payments	<table><tr><td>Share</td><td>Amount</td><td>Date of Check</td></tr><tr><td>IFAD – 80%</td><td>2,119,821</td><td>26 Jun 2019</td></tr><tr><td>GoB – 20%</td><td>529,955</td><td>22 Sep 2019</td></tr><tr><td></td><td>2,649,776</td><td></td></tr></table> Delay of GoB share payment because of not availability of the budget.			Share	Amount	Date of Check	IFAD – 80%	2,119,821	26 Jun 2019	GoB – 20%	529,955	22 Sep 2019		2,649,776	
Share	Amount	Date of Check													
IFAD – 80%	2,119,821	26 Jun 2019													
GoB – 20%	529,955	22 Sep 2019													
	2,649,776														
Amendments or contract modifications: number, amounts, and %	None in the file on the date of Review														
Contractual disputes and resolution, if any	None														
Closing of contract	16 Sep 2019														
Part D. Others															
Aspects reviewed	Findings and comments														
Indication of possible fraud or corruption	No														
Physical inspection	No														
Total duration from advertisement to contract signature	From 27 May 2019 till 18 Jun 2019 22 days to award the contract														
Final cost compared to cost estimate	Estimated Cost: BDT Awarded Contract Amount: BDT 2,649,776 inclusive of taxes The Completed Contract Amount: BDT 2,649,776 inclusive of taxes														
Additional observations	None														
Contract rating (covering procurement and contract administration)	Moderately Unsatisfactory														
Rating explanation	<ul style="list-style-type: none">Reason for Rejection of Lowest bidder is not in the fileConference Chairs are still in the PMO office. Yet to be transferred to the District Offices														

Review 4 of Component 2: Goods, Open Tendering Method - Post

	<ul style="list-style-type: none">• Page numbers of Stock book are not mentioned in the Delivery Challan [Inter Linking Delivery Challen/ Bill with the respective Stock Book]. The Make, Model and Serial Numbers are not mentioned in the Stock Book as well as in the Delivery Challan.• The documents are scattered, and pages are not numbered• Physical Stock needs to be numbered with besides identification of SACP/DAM part
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Appendix 6

Review 5 of Component 2: Goods, Limited Tendering Method – Post			
Part A. General			
Date of review: 19 Nov 2019		Name of reviewer: Vedamurthy	
Contract no.: Number ending 134(1)		Contract date (dd/mm/yy): 3 Jun 2019	
Contract description: Procurement of Furniture and Fixture for Project Management Office		Contract amount (BDT): 498,315 [Inclusive of applicable taxes]	
Contractor / Supplier name and address: Pan Star Furniture, Dhaka		Category: Goods	
Part B: Process Review			
Aspects reviewed		Findings and comments	
Procurement Documentation (Filing)		Yes The documents are scattered, and pages are not numbered	
Reference in Procurement Plan or NOTUS		As per the Workplan / DPP : DD4 Goods Estimated to Cost: US \$ 41,988; BDT 3,443,016 @ 82 per US \$ Method: Open Tender Method Post Review Few furniture also procured through Request For Quotation. Details related to this process is captured in this review sheet	
Use of e-procurement system		No	
Advertising or direct invitation		Directly Invited from the Suppliers Used PG1 Standard Template Validity: 30 days; 21 Jun 2019 Bid Security: Not Required for RFQ/ LTM Delivery Period : 3 days	
Source of suppliers invited		Solicited directly from the Suppliers	
Time allowed for submission of quotations		6 days (From 22 May 2019 till 27 May 2019 5:00 pm)	
Bid opening and minutes of bid opening indicate number of participants, names, and prices per lot		Opened On 28 May 2019 11:00 ; Submitted: 3	
		SL No	Name and address of the bidder
		Price in BDT	

Appendix 6

Review 5 of Component 2: Goods, Limited Tendering Method – Post			
	1	Pan Star Furniture	498,315
	2	Seaman Bangladesh Limited, Mirpur, Dhaka	499,915
	3	Fortex Furniture, Tejogaon, Dhaka	499,930
	All bidders submitted the Bid Security		
Adequacy of reason for any Rejections in the Preliminary and/or Technical evaluation	None rejected		
Bid evaluation report and award	Quotation Evaluation statement dated 28 May 2019 Recommended the award to:		
	Pan Star Furniture	498,315	
Reasonableness of cost	Estimated to Cost: BDT 500,000 Awarded Amount: BDT 498,315		
Part C. Contract Administration			
Aspects reviewed	Findings and comments		
Contract document or Purchase Order	Contract Dated: 3 Jun 2019 Contract Amount: BDT 498,315 inclusive of applicable taxes Warranty: One year as per the Standard Conditions		
Planned contractual completion date	Delivery period: 3 Days Planned completion date: 6 Jun 2019		
Actual Completion Date	Bill Number Nil dated Nil		
Delivery receipt or similar instrument	Delivery Challan Number Nil and dated Nil Updated in the Asset Register: Page Number: on various pages taken to stock on 16 Sep 2019; While stock, few items are grouped and taken to stock. Requires to be taken to stock as per the Bill. Senior Executive Table and Executive table combined; Director Chair, Senior Executive Chair and Officer Chairs are combined. Not mentioned in the Delivery Challan The Furniture transferred to the District Offices		
Timeliness of payments	Share	Amount	Date of Check
	IFAD – 80%	398,652	26 Jun 2019
	GoB – 20%	99,663	22 Sep 2019
		498,315	

Appendix 6

Review 5 of Component 2: Goods, Limited Tendering Method – Post	
	Delay of GoB share payment because of not availability of the budget.
Amendments or contract modifications: number, amounts, and %	None in the file on the date of Review
Contractual disputes and resolution, if any	None
Closing of contract	16 Sep 2019
Part D. Others	
<i>Aspects reviewed</i>	<i>Findings and comments</i>
Indication of possible fraud or corruption	No
Physical inspection	No
Total duration from advertisement to contract signature	From 22 May 2019 till 3 Jun 2019 13 days from solicitation of quotations to award the contract
Final cost compared to cost estimate	Estimated Cost: BDT 500,000 [Assessed through Market] Awarded Contract Amount: BDT 498,315 inclusive of taxes The Completed Contract Amount: BDT 498,315 inclusive of taxes
Additional observations	None
Contract rating (covering procurement and contract administration)	Moderately Satisfactory
Rating explanation	<ul style="list-style-type: none"> Page numbers of Stock book are not mentioned in the Delivery Challan [Inter Linking Delivery Challen/ Bill with the respective Stock Book]. The Make, Model and Serial Numbers are not mentioned in the Stock Book as well as in the Delivery Challan. The documents are scattered, and pages are not numbered Physical Stock needs to be numbered with besides identification of SACP/DAM part

Review 6 of Component 2: Goods, Open Tendering Method -Post

Part A. General

Date of review: 19 Nov 2019	Name of reviewer: Vedamurthy
Contract no.: Number ending 141	Contract date (dd/mm/yy): 12 Jun 2019
Contract description: Procurement of Desktop Computers, Printers, Scanners, and UPS- 3 Units each	Contract amount (BDT): 237,600 [Inclusive of applicable taxes]
Contractor / Supplier name and address: Sonargaon Computers, Dhaka	Category: Goods

Part B: Process Review

Aspects reviewed	Findings and comments						
Procurement Documentation (Filing)	Yes Except the justification for rejection of the bidders						
Reference in Procurement Plan or NOTUS	As per the Workplan / DPP : Dh7 Goods Estimated to Cost: US \$; 7,451 BDT 610,982 @ 82 per US \$ Method: Open Tender Method Post Review						
Use of e-procurement system	Yes eGP Portal https://www.eprocure.gov.bd/ Tender Id: 323018						
Advertising or direct invitation	IFT published in 27 May 2019 in the New Age and Daily Sangbad Validity: 10 Aug 2019 Bid Security: 7 Sep 2019; Amount: BDT 5,000;						
Source of suppliers invited	Solicited from the Market through an advertisement						
Time allowed for submission of quotations	15 days (From 27 May 2019 till 11 Jun 2019 14:00)						
Bid opening and minutes of bid opening indicate number of participants, names, and prices per lot	Opened On:11 Jun 2019 14:30; Documents downloaded/Sold: 1; Submitted: 1 <table><tr><th>SL No</th><th>Name and address of the bidder</th><th>Price in BDT</th></tr><tr><td>1</td><td>Sonargaon Computers</td><td>237,600</td></tr></table> All bidders submitted the Bid Security	SL No	Name and address of the bidder	Price in BDT	1	Sonargaon Computers	237,600
SL No	Name and address of the bidder	Price in BDT					
1	Sonargaon Computers	237,600					
Adequacy of reason for any	None						

Appendix 6

Review 6 of Component 2: Goods, Open Tendering Method -Post															
Rejections in the Preliminary and/or Technical evaluation															
Bid evaluation report and award	Evaluated through eGP Recommended the award to: <table><tr><td>Sonargaon Computers</td><td>237,600</td></tr></table>			Sonargaon Computers	237,600										
Sonargaon Computers	237,600														
Reasonableness of cost	Estimated to Cost: Awarded Amount: BDT 237,600														
Part C. Contract Administration															
Aspects reviewed	Findings and comments														
Contract document or Purchase Order	Notification Award: 12 Jun 2019 Contract Dated 12 Jun 2019 Contract Amount: BDT 237,600 inclusive of applicable taxes Warranty: One year from the date of acceptance														
Planned contractual completion date	Delivery period: days Planned completion date: ??														
Actual Completion Date	Bill Number Nil dated 17 Jun 2019														
Delivery receipt or similar instrument	Delivery Challan dated 17 Jun 2019 Updated in the Asset Register: Page Number: 4, 6, 8 and 10 taken to stock on 18 Aug 2019 Page and Item number is not mentioned in the Delivery Challan														
Timeliness of payments	<table><tr><td>Share</td><td>Amount</td><td>Date of Check</td></tr><tr><td>IFAD – 80%</td><td>190,080</td><td>26 Jun 2019</td></tr><tr><td>GoB – 20%</td><td>47,520</td><td>19 Jun 2019</td></tr><tr><td></td><td>237,600</td><td></td></tr></table> Delay of GoB share payment because of not availability of the budget.			Share	Amount	Date of Check	IFAD – 80%	190,080	26 Jun 2019	GoB – 20%	47,520	19 Jun 2019		237,600	
Share	Amount	Date of Check													
IFAD – 80%	190,080	26 Jun 2019													
GoB – 20%	47,520	19 Jun 2019													
	237,600														
Amendments or contract modifications: number, amounts, and %	None in the file on the date of Review														
Contractual disputes and resolution, if any	None														
Closing of contract	18 Aug 2019 [Date of Issuance to the Field Offices Upazilla]														
Part D. Others															

Appendix 6

Review 6 of Component 2: Goods, Open Tendering Method -Post

<i>Aspects reviewed</i>	<i>Findings and comments</i>
Indication of possible fraud or corruption	No
Physical inspection	No
Total duration from advertisement to contract signature	From 27 May 2019 till 18 Jun 2019 22 days to award the contract
Final cost compared to cost estimate	Estimated Cost: BDT Awarded Contract Amount: BDT 237,600 inclusive of taxes The Completed Contract Amount: BDT 237,600 inclusive of taxes
Additional observations	
Contract rating (covering procurement and contract administration)	Moderately Satisfactory
Rating explanation	<ul style="list-style-type: none"> • Page numbers of Stock book are not mentioned in the Delivery Challan [Inter Linking Delivery Challen/ Bill with the respective Stock Book]. The Make, Model and Serial Numbers are not mentioned in the Stock Book as well as in the Delivery Challan. • The documents are scattered, and pages are not numbered • Physical Stock needs to be numbered with besides identification of SACP/DAM part

Appendix 6

Review 7 of Component 2: Goods, Limited Tendering Method - Post

Part A. General

Date of review: 19 Nov 2019	Name of reviewer: Vedamurthy
Contract no.: Number ending 43	Contract date (dd/mm/yy): 3 Jun 2019
Contract description: Procurement of Desktop Computers, Printer [HP 402 DN], Printer [HP 254 DN], Speakers, Scanners, and UPS – 4 Units each	Contract amount (BDT): 340,000 [Inclusive of applicable taxes]
Contractor / Supplier name and address: Emboss Computers, Dhaka	Category: Goods

Part B: Process Review

Aspects reviewed	Findings and comments								
Procurement Documentation (Filing)	Yes The documents are scattered and pages are not numbered								
Reference in Procurement Plan or NOTUS	As per the Workplan / DPP : Dh7 Goods Estimated to Cost: US \$; 7,451 BDT 610,982 @ 82 per US \$ Method: Open Tender Method Post Review								
Use of e-procurement system	No								
Advertising or direct invitation	Directly Invited from the Suppliers Used PG1 Standard Template Validity: 30 days; 21 Jun 2019 Bid Security: Not Required for RFQ/ LTM Delivery Period: 3 days Warranty: computers – 3 years; Printers – 1 Years								
Source of suppliers invited	Solicited directly from the Suppliers								
Time allowed for submission of quotations	6 days (From 22 May 2019 till 27 May 2019 5:00 pm)								
Bid opening and minutes of bid opening indicate number of participants, names, and prices per lot	Opened On 28 May 2019 11:00; Submitted: 3 <table><tr><th>SL No</th><th>Name and address of the bidder</th><th>Price in BDT</th></tr><tr><td>1</td><td>Emboss Computers. Dhaka</td><td>340,000</td></tr></table>			SL No	Name and address of the bidder	Price in BDT	1	Emboss Computers. Dhaka	340,000
SL No	Name and address of the bidder	Price in BDT							
1	Emboss Computers. Dhaka	340,000							

Appendix 6

Review 7 of Component 2: Goods, Limited Tendering Method - Post															
	2	Advanced Technologies, Dhaka	346,100												
	3	Weber Dhaka	346,850												
Adequacy of reason for any Rejections in the Preliminary and/or Technical evaluation	None rejected														
Bid evaluation report and award	Quotation Evaluation statement dated 28 May 2019 Recommended the award to:														
	Emboss Computers, Dhaka		340,000												
Reasonableness of cost	Estimated to Cost: Refer to Previous Review Awarded Amount: BDT 340,000														
Part C. Contract Administration															
Aspects reviewed	Findings and comments														
Contract document or Purchase Order	Contract Dated: 3 Jun 2019 Contract Amount: BDT 340,000 inclusive of applicable taxes Warranty: One year as per the Standard Conditions ; Computers – 3 years														
Planned contractual completion date	Delivery period: 3 Days Planned completion date: 6 Jun 2019														
Actual Completion Date	Bill Number Nil dated 12 Jun 2019														
Delivery receipt or similar instrument	Delivery Challan Number Nil and dated 12 Jun 2019 Updated in the Asset Register: Page Number: 4 to 10 Page numbers are not mentioned in the Delivery Challan. The Make, Model and Serial Numbers are not mentioned in the Stock Book.														
Timeliness of payments	<table><tr><td>Share</td><td>Amount</td><td>Date of Check</td></tr><tr><td>IFAD – 80%</td><td>275,520</td><td>26 Jun 2019</td></tr><tr><td>GoB – 20%</td><td>64,480</td><td>19 Jun 2019</td></tr><tr><td></td><td>340,000</td><td></td></tr></table>			Share	Amount	Date of Check	IFAD – 80%	275,520	26 Jun 2019	GoB – 20%	64,480	19 Jun 2019		340,000	
Share	Amount	Date of Check													
IFAD – 80%	275,520	26 Jun 2019													
GoB – 20%	64,480	19 Jun 2019													
	340,000														
Amendments or contract modifications: number, amounts, and %	None in the file on the date of Review														
Contractual disputes and resolution, if any	None														
Closing of contract	28 Aug 2019 [Issued to the Office]														

Appendix 6

Review 7 of Component 2: Goods, Limited Tendering Method - Post

Part D. Others

<i>Aspects reviewed</i>	<i>Findings and comments</i>
Indication of possible fraud or corruption	No
Physical inspection	No
Total duration from advertisement to contract signature	From 22 May 2019 till 3 Jun 2019 13 days from solicitation of quotations to award the contract
Final cost compared to cost estimate	Estimated Cost: BDT Awarded Contract Amount: BDT 340,000 inclusive of taxes The Completed Contract Amount: BDT 340,00 inclusive of taxes
Additional observations	None
Contract rating (covering procurement and contract administration)	Moderately Satisfactory
Rating explanation	<ul style="list-style-type: none"> • Page numbers of Stock book are not mentioned in the Delivery Challan [Inter Linking Delivery Challen/ Bill with the respective Stock Book]. The Make, Model and Serial Numbers are not mentioned in the Stock Book as well as in the Delivery Challan. • The documents are scattered, and pages are not numbered • Physical Stock needs to be numbered with besides identification of SACP/DAM part

Appendix 6

Review 8: Exposure Visit - Prior

Description: Exposure Visit to Netherlands and Germany to understand the best practices of Agriculture Marketing System in Develop countries [8 Person and 8 days]

As per DPP: Identified to select the service provider through QCBS estimated Cost: BDT 48,00,000 [20% GoB, remaining IFAD]; Reference: **A2G**

As per the Approved IFAD Procurement Plan: Single Source Selection, Estimated Cost: US \$ 58536.9; **Prior review** [Indicated as Post Review] As per the LTB, All Single Source Selections are Prior Reviewed.

The CD, Comp – 2, written letter to the HOPE, DAM seeking the change of Procurement Method from QCBS to Single Source Selection letter dated 25 Apr 2019.

CESDER, Netherlands Dated Apr 9, 2019 proposal, Amount: US \$ 23,650 [Program Cost in lieu of Travelling and per Diem]. Authorising the local agent Kernel Internal Limited, Dhaka to sign the contract.

HOPE approved on 29 Apr 2019.

The service template [PS-5] is used to elicit the proposal from CESDER. Issued on 25 Apr and Last date of submission: 23 May 2019.

The Proposal Evaluation Committee 26 May 2019. Minutes of the meeting was approved by HOPE.

Notification of Award issued on 26 May 2019.

GoB nominated 19 Jun 2019. The composition is as per DPP.

Contract Signed on 15 Jun 2019 for US \$ 23,650 [Program Cost in lieu of Travelling and per Diem].

Training Report / After Visit File; Visit from 7 to 17 Jul 2019 completed. Training Report to be submitted.

Payment: Bill dated 24 Jun 2019; Paid on both GoB ad IFAD Share. GoB on 30 Jun 2019 and IFAD is on 24 Jun 2019

Moderately Unsatisfactory as IFAD's No Objection is not in the file

Review 9: Procurement of Vehicle:- Prior review

Description: Double Cabin Pickup Vehicle – 1

As per DPP / AWPB: DB2, Estimated Cost: US \$ 69,512.195 / BDT 92,00,000; **Prior Review**

As per the Approved PP: DB2, Estimated Cost: US \$ 69,512.195; Direct Procurement Method [G2G]

On 28 Mar 2019, written letter to Progoti Industries Limited for seeking Performa Invoice along with specifications. HOPE approved on 31 Mar 2019. On 1 Apr 2019, Progoti Submitted the Proposal with specifications Price: BDT 50,15,965 inclusive of all applicable taxes.

On 29 Apr 2019, evaluation committee evaluated and approved.

Performance Security submitted by Progoti for an amount 501,597 in the form BG issued from BASIC Bank limited dated 14 May 2019 valid till 13 May 2020.

Appendix 6

On 16 May 2019, Approval obtained from HOPE.

Contract Dated 29 May 2019 for an amount of BDT 50,15,965; Delivery period: 90 days as per the proposal

Delivery 12 Jun 2019 and completed the registration formalities

Paid Amount BDT 836,162 GOB share dated 25 Jun 2019; IFAD Amount: BDT 41,79,803 paid on 24 Jun 2019.

Moderately Unsatisfactory as IFAD's No Objection is not in the file

Review 10 of Component 3: Goods, Open Tendering Method - Prior

Part A. General

Date of review: 20 Nov 2019	Name of reviewer: Vedamurthy
Contract no.: SACP/BADC/2018-19/117	Contract date (dd/mm/yy): 11 Jun 2019
Contract description: Procurement of uPVC Pipe & Fittings to construction of Irrigation Channel – 62 km Revised through an amendment of additional 3 km; total 65 km	Contract amount (BDT): 58,900,683 [Inclusive of applicable taxes] Revised contract Amount: BDT 61,750,716
Contractor / Supplier name and address: RFL Plastics, Dhaka	Category: Goods

Part B: Process Review

<i>Aspects reviewed</i>	<i>Findings and comments</i>
Procurement Documentation (Filing)	Yes
Reference in Procurement Plan or NOTUS	As per the Workplan / DPP : GD14/IAC1 Goods Estimated to Cost: US \$; 753, 060; BDT 61,750,920 @ 82 per US \$ Method: Open Tender Method Prior Review
Use of e-procurement system	Yes eGP Portal https://www.eprocure.gov.bd/ Tender Id: 314954
Advertising or direct invitation	IFT published in 7 and 16 May 2019 in the New Age and Daily Jankantha Validity: 5 Aug 2019 Bid Security: 7 Sep 2019; Amount: BDT 15,00,000;
Source of suppliers invited	Solicited from the Market through an advertisement Standard Tender Document National (Goods) for Procurement of Goods [Framework] PG3A was used; The stipulated qualification Criteria i stipulated: as per ITT 15.1 (a) and ITT 15.1(b) Experience: 5 years Similar Contract Amount: BDT 50,000,000 3 Numbers in 2015-19
Time allowed for submission of quotations	34 days (From 6 May 2019 till 9 Jun 2019 12:30)

Appendix 6

Review 10 of Component 3: Goods, Open Tendering Method - Prior

Bid opening and minutes of bid opening indicate number of participants, names, and prices per lot	Opened On: 9 Jun 2019 12:30; Documents downloaded/Sold: 3; Submitted: 3 <table><tr><td>SL No</td><td>Name and address of the bidder</td><td>Price in BDT as per eGP</td></tr><tr><td>1</td><td>RFL Plastics, Dhaka</td><td>58,900,683</td></tr><tr><td>2</td><td>Bengal Plastic Pipes, Dhaka</td><td>59,024,063</td></tr><tr><td>3</td><td>Mahbud Alam, Dhaka</td><td>59,114,893</td></tr></table> All bidders submitted the Bid Security of BDT 1,500,000			SL No	Name and address of the bidder	Price in BDT as per eGP	1	RFL Plastics, Dhaka	58,900,683	2	Bengal Plastic Pipes, Dhaka	59,024,063	3	Mahbud Alam, Dhaka	59,114,893
SL No	Name and address of the bidder	Price in BDT as per eGP													
1	RFL Plastics, Dhaka	58,900,683													
2	Bengal Plastic Pipes, Dhaka	59,024,063													
3	Mahbud Alam, Dhaka	59,114,893													
Adequacy of reason for any Rejections in the Preliminary and/or Technical evaluation	<table><tr><td>2</td><td>Bengal Plastic Pipes, Dhaka</td><td>No experience</td></tr><tr><td>3</td><td>Mahbud Alam, Dhaka</td><td>Not meeting the Tender Qualification</td></tr></table> Both the firms evaluated as Technically nonresponsive			2	Bengal Plastic Pipes, Dhaka	No experience	3	Mahbud Alam, Dhaka	Not meeting the Tender Qualification						
2	Bengal Plastic Pipes, Dhaka	No experience													
3	Mahbud Alam, Dhaka	Not meeting the Tender Qualification													
Bid evaluation report and award	Evaluated through eGP Recommended the award to: <table><tr><td>RFL Plastics</td><td>BDT 58,900,683</td></tr></table>			RFL Plastics	BDT 58,900,683										
RFL Plastics	BDT 58,900,683														
Reasonableness of cost	Estimated to Cost: BDT 59,117,000 Awarded Amount: BDT 58,900,683 0.366% Less														

Part C. Contract Administration

<i>Aspects reviewed</i>	<i>Findings and comments</i>
Contract document or Purchase Order	<p>Notification Award: 11 Jun 2019</p> <p>Contract Dated 11 Jun 2019</p> <p>Contract Amount: BDT 58,900,683 inclusive of applicable Taxes</p> <p>Contract Amended vide contract agreement number 132 dated 16 Jun 2019 Amount: BDT: 2,850,033</p> <p>Revised Contract Amount: BDT 61,750,716</p>
Planned contractual completion date	<p>Delivery period: 30 days</p> <p>Planned completion date: 10 Jul 2019</p>
Actual Completion Date	Bill Number Nil dated 30 Jun 2019
Delivery receipt or similar instrument	<p>Test Reports dated 30 Jun 2019 issued from Department of Mechanical Engineering, Bangladesh University of Engineering and Technology in file;</p> <p>Asset Register: (30 Jun 2019)</p>

Review 10 of Component 3: Goods, Open Tendering Method - Prior

	<div><div><div>1. Feni Zonal Stores: Book No: 29, Page 3,5,7,9 11, and 16</div><div>2. Barisal Regional Stores, Book No: SACP-1, Page Nos: 312,322,328,334, 340, and 346</div><div>3. Bhola Zonal Store, Book NO: 2, 1,3,4,7,9 and 11</div><div>4. Patuakali Regional Store, Book No: 1, Page No: 100, 110, 120, 130, 140 and 160.</div><div>5. Khulna Regional Store, Book No: 4, Page No: 02,16,28,42, 54 and 66.</div></div></div>												
Timeliness of payments	<table><tr><td>Share</td><td>Amount</td><td>Date of Check</td></tr><tr><td>IFAD – 70%</td><td></td><td></td></tr><tr><td>GoB – 30%</td><td></td><td></td></tr><tr><td>Paid together</td><td>61,750,716</td><td>30 Jun 2019</td></tr></table> <p>IFAD and GoB paid together form the BADC Disbursement account</p>	Share	Amount	Date of Check	IFAD – 70%			GoB – 30%			Paid together	61,750,716	30 Jun 2019
Share	Amount	Date of Check											
IFAD – 70%													
GoB – 30%													
Paid together	61,750,716	30 Jun 2019											
Amendments or contract modifications: number, amounts, and %	<p>Contract Amended vide contract agreement number 132 dated 16 Jun 2019 Amount: BDT: 2,850,033; Increase of 4.85% by an amount</p> <p>Performance Security: 10%; Submitted through the Bank; BG issued from Bank Asia dated 17 Jun 2019 valid till 7 Oct 2010 for Amendment;</p> <p>The terms and conditions are same as the Initial contract</p>												
Contractual disputes and resolution, if any	None												
Closing of contract	30 Jun 2019 as per the Work Completion Certificate												
Part D. Others													
Aspects reviewed	Findings and comments												
Indication of possible fraud or corruption	No												
Physical inspection	No												
Total duration from advertisement to contract signature	From 7 May 2019 till 11 Jun 2019 35 days to award the contract												
Final cost compared to cost estimate	Estimated to Cost: BDT 59,117,000 Awarded Amount: BDT 58,900,683 The Completed Contract Amount: BDT 61,750,716 inclusive of taxes Increase of 4.85% by an amount												

Appendix 6

Review 10 of Component 3: Goods, Open Tendering Method - Prior	
Additional observations	<p>For recurring Similar Procurement, the use of Framework contract is a Good Practice. However, this process is not articulated well enough to minimize the recurring price discovery process every year.</p> <p>Each pipe is printed with the SACP Project name.</p>
Contract rating (covering procurement and contract administration)	Moderately Unsatisfactory
Rating explanation	<ul style="list-style-type: none">• An evaluation note describing the reason for rejecting the submitted bidders besides 'Yes' or 'No' in the eGP platform would be useful reference• Inventory Management System in the Stores may be assessed for issuance and accounting of materials for various works envisaged in the project target area• IFAD's No Objection is not in the file

Review 11 of Component 3: Goods, Open Tendering Method - Prior

Part A. General

Date of review: 20 Nov 2019	Name of reviewer: Vedamurthy
Contract no.: SACP/BADC/2018-19/116	Contract date (dd/mm/yy): 13 Jun 2019
Contract description: Procurement of uPVC Pipe & Fittings to Extension of Buried Pipe Line for existing BADC scheme	Contract amount (BDT): 13,892,815 [Inclusive of applicable taxes]
Contractor / Supplier name and address: Bengal Plastic Pipes Limited, Dhaka	Category: Goods

Part B: Process Review

<i>Aspects reviewed</i>	<i>Findings and comments</i>
Procurement Documentation (Filing)	Yes
Reference in Procurement Plan or NOTUS	As per the Workplan / DPP : GD15/IA4.1 Goods Estimated to Cost: US \$1,69,859;; BDT 13,928,438 @ 82 per US \$ Method: Open Tender Method Prior Review
Use of e-procurement system	Yes eGP Portal https://www.eprocure.gov.bd/ Tender Id: 315358
Advertising or direct invitation	IFT published in 10 May 2019 in the Daily Newage and local vernacular Also, displayed in the eGP Platform Validity: 21 Aug 2019 Bid Security: 18 Sep 2019; Amount: BDT 400,000;
Source of suppliers invited	Solicited from the Market through an advertisement Standard Tender Document National (Goods) for Procurement of Goods [Framework] e-PG3 was used; The stipulated qualification Criteria is stipulated: as per ITT 15.1 (a) and ITT 15.1(b) Experience: 5 years Similar Contract Amount: BDT 12,000,000 3 Numbers in 2015-19
Time allowed for submission of quotations	17 days (From 7 May 2019 till 23 May 2019 12:15)

Appendix 6

Review 11 of Component 3: Goods, Open Tendering Method - Prior

Bid opening and minutes of bid opening indicate number of participants, names, and prices per lot	Opened On: 23 May 2019 12:15; Documents downloaded/Sold: 2; Submitted: 2		
	SL No	Name and address of the bidder	Price in BDT as per eGP
	1	Bengal Plastic Pipes, Dhaka	13,892,815
	2	RFL Plastics, Dhaka	13,904,475
	All bidders submitted the Bid Security of BDT 400,000		
Adequacy of reason for any Rejections in the Preliminary and/or Technical evaluation	Both are responsive		
Bid evaluation report and award	Evaluated through eGP Recommended the award to:		
	Bengal Plastic Pipes, Dhaka		13,892,815
Reasonableness of cost	Estimated to Cost: BDT 13,929,000 Awarded Amount: BDT 13,892,815 0.26% Less		

Part C. Contract Administration

Aspects reviewed	Findings and comments						
Contract document or Purchase Order	Notification Award: 11 Jun 2019 Performance Security: 1,389,281 submitted through BG; Contract Dated 13 Jun 2019 Contract Amount: BDT 13,892,815 inclusive of applicable Taxes						
Planned contractual completion date	Delivery period: 30 days Planned completion date: 12 Jul 2019						
Actual Completion Date	Bill Number 36 dated 30 Jun 2019						
Delivery receipt or similar instrument	Test Reports dated 30 Jun 2019 issued from Department of Mechanical Engineering, Bangladesh University of Engineering and Technology in file; Asset Register: (30 Jun 2019) <div><div>1.</div>Executive Engineer (S&W), Chattogram Book No: 1 Page number from 6-11; <div>2.</div>Assistant Engineer (MI), Chattogram Book No: 1 Page number from 4,6,8,10,12 and 14;</div>						
Timeliness of payments	<table><tr><td>Share</td><td>Amount</td><td>Date of Check</td></tr><tr><td>IFAD – 70%</td><td></td><td></td></tr></table>	Share	Amount	Date of Check	IFAD – 70%		
Share	Amount	Date of Check					
IFAD – 70%							

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Review 11 of Component 3: Goods, Open Tendering Method - Prior

	GoB – 30%		
	Paid together	13,892,815	30 Jun 2019
	IFAD and GoB paid together form the BADC Disbursement account		
Amendments or contract modifications: number, amounts, and %	Nil		
Contractual disputes and resolution, if any	None		
Closing of contract	18 Sep 2019 as per the Work Completion Certificate		
Part D. Others			
Aspects reviewed	Findings and comments		
Indication of possible fraud or corruption	No		
Physical inspection	No		
Total duration from advertisement to contract signature	From 7 May 2019 till 13 Jun 2019 37 days to award the contract		
Final cost compared to cost estimate	Estimated to Cost: BDT 13,928,438 Awarded Amount: BDT 13,892,815 The Completed Contract Amount: BDT 13,892,815		
Additional observations	None		
Contract rating (covering procurement and contract administration)	Moderately Unsatisfactory		
Rating explanation	<ul style="list-style-type: none">• An evaluation note describing the reason for rejecting the submitted bidders besides ‘Yes’ or ‘No’ in the eGP platform would be useful reference• Inventory Management System in the Stores may be assessed for issuance and accounting of materials for various works envisaged in the project target area• IFAD’s No Objection is not in the file		