



Independent Evaluation Unit

EVIDENCE REVIEW ON BEHAVIOURAL SCIENCE INTERVENTIONS IN DEVELOPMENT AND ENVIRONMENTAL FIELDS IN DEVELOPING COUNTRIES





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# Protocol

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## A. BACKGROUND

#### 1. DESCRIPTION OF THE PROBLEM

Climatic change is projected to intensify over the next several decades, resulting in dramatic impacts on natural and human systems. The need for both adaptation and mitigation is clear. It is equally clear that human behaviour is a key driver of climate change; therefore, many adaptation and mitigation strategies require changes in behaviour. There is currently a lack of rigorous empirical evidence on what could encourage or potentially bring about a change in human behaviour in a way that would be useful for reducing greenhouse gas emissions and adapting to the changed climate, especially in developing countries that are more vulnerable to the impacts of climate change. This is a pressing problem given that climate change's impacts will occur unevenly across the globe and disproportionately affect developing countries due, in part, to their limited capacity to deal with shocks, stresses and damaging fluctuations (see Global Commission on Adaptation, 2019; Solomon and others, 2007; Intergovernmental Panel on Climate Change, 2014; United Nations Environment Programme, 2017; Wade and Jennings, 2015; Binet and others, 2021). Burning fossil fuels and other anthropogenic activities are the primary drivers of climate change. Transportation, energy consumption and production, and food production present some of the most significant opportunities to change human decisions and activities to reduce carbon emissions (Williamson and others, 2018).

In recent decades, theories and evidence from behavioural science – defined by Balmford and others (2021) as the scientific study of behaviour informed by an array of disciplines, including sociology, psychology, economics, anthropology, and political science – have provided insights into the social, motivational, cognitive, cultural, and contextual factors underlying human behaviour. Stern (2020) describes behavioural science interventions as involving neither command and control regulations nor solely financial incentives to change behaviour. Examples include information provisions, appeals to values and norms, or engagement and restructuring choice options. These insights have informed interventions that have helped to encourage socially valued behaviour change, including reductions in smoking, addiction, and obesity as well as improvements in tax compliance, development assistance, and climate change mitigation (Duflo and others, 2011; Datta and Mullainathan, 2014; Hallsworth and others, 2017; Bollinger and others, 2020). Research has informed behaviour change interventions relevant to various environmental issues, including, but not limited to, energy efficiency, water conservation, recycling, and transport (Osbaldiston and Schott, 2012; Byerly and others, 2018; Nisa and others, 2019).

There is an opportunity and a responsibility to affect change through increased understanding of the factors underlying the anthropogenic causes of climate change and ways that mitigation and adaptation behaviours may be effectively encouraged (Gifford and others, 2011). Insights from behavioural science have been frequently applied to enhance public policy effectiveness (OECD, 2017). For example, nudges as a category of psychology-based interventions can be a cost-effective tool to support individual decision-making and have been applied to foster pro-environmental behaviours (Cinner, 2018; Schubert, 2017). Nudges can involve simple alterations to the physical microenvironments in which choices are made (choice architecture). Such small changes can significantly affect behaviour, helping people make decisions that benefit themselves and the broader community (Szaszi and others, 2018; Thaler and Sunstein, 2009; Hollands and others, 2017). Against this background, this protocol for a systematic review focuses on synthesizing the evidence on

the effectiveness of behavioural science interventions, including feedback, reminders, salience (communication), salience (experience design), and goal setting in promoting environmental and development goals in developing countries. This protocol presents the overall approach for the systematic review of the effectiveness of these specific behavioural science interventions on environmental and development outcomes in developing countries, with a particular focus on the data collection and analysis.

### 2. WHY IS IT IMPORTANT TO DO THIS REVIEW?

This review examines the effectiveness of specific behavioural science interventions. They include feedback, reminders, salience and goal setting in promoting environmental and development outcomes by individuals, households, communities and companies in developing countries. The review's focus has its origins in the growing confidence in behavioural science interventions (Schott and others, 2016; Stern and others, 2016) as potentially cost-effective strategies compared to traditional market tools and regulation. To the best of our knowledge, there is no systematic review evidence that carefully explores the effectiveness of these behavioural science interventions on environmental and development outcomes in developing countries. Extensive evidence exists about what works and what does not in promoting behaviour change broadly (Flanagan and Tanner, 2016). But this evidence base has not been rigorously synthesized in relation to climate change in developing countries. This review reduces this gap within the literature to inform the Green Climate Fund, the International Fund for Agricultural Development, broader multilateral agencies, development practitioners and other decision makers about the available evidence on a broad set of behavioural science interventions in developing country contexts and the extent to which they contribute to desired environmental and development outcomes. 0 describes the interventions in detail and Table 1 offers a summary of each of the intervention types.

BEHAVIOURAL INTERVENTIONS		DEFINITION
WHEN is the choice made? This category of interventions	Reminders	This type of intervention involves messaging people (via email, text message, etc.) in a timely way to call their attention to something and/or to encourage them to take certain actions.
encourages positive choices by influencing key decisions.	Feedback	This type of intervention provides information, often tracked over time, about behaviours. The information might report how the tracked behaviours compare to targets and/or outline consequences of the behaviour trajectories.
WHICH choices are available? This category of interventions encourages positive choices by altering the set of	Salience (communication)	This type of intervention improves the ease and accessibility of adopting behaviours by making information/choices more prominent and relevant. Personalising communication and highlighting follow-on instructions are typical strategies to increase salience. Because this intervention focuses on messaging content rather than timely delivery, it is distinct from a reminder.
options available.	Salience (experience design)	This type of intervention targets how individuals interact with their physical and/or digital environment. It involves arranging facilities or options so that they are either: (1) more prominent, accessible, and easy to prompt a

Table 1. Behavioural science intervention definitions - feedback, reminders, salienceand goal setting

	particular behaviour or, (2) less prominent, accessible, or easy to discourage a particular behaviour.
Goal setting	This type of intervention helps individuals consider what their priorities are, then specify a series of goals that they would like to achieve. It often goes along with a planning process.

## B. OBJECTIVES OF THE REVIEW

The primary objective of this protocol for a systematic review is to identify, assess and synthesize evidence on the effectiveness of feedback, reminders, salience and goal setting interventions conducted in developing countries on environmental and development outcomes. It facilitates the use of evidence in informing policy and practice within the environmental and development fields, particularly climate mitigation and adaptation. In doing so, we address the following review questions:

- What is the impact and effectiveness of feedback, reminders, salience (communication), salience (experience design) and goal setting on environmental and development outcomes?
- To what extent do effects vary by population characteristics, evaluation design, intervention type and time period after the intervention?
- To what extent do implementation features moderate the effectiveness of these behavioural science intervention programmes?

## C. METHODS

### 1. THE OVERALL SYSTEMATIC REVIEW DESIGN APPROACH

We use a two-stage evidence review approach. The first stage consists of a completed evidence gap map (EGM).<sup>1</sup> The second stage consists of conducting a systematic review and synthesis in compliance with the Campbell Collaboration's guidelines for producing systematic reviews (SRs).<sup>2</sup> We adopt an effective and adaptable research process that fully integrates the selection of cells for the systematic review (SR) from the completed EGM. Previous synthesis projects in the environmental sector (see Snilstveit and others, 2019; Langer and others, 2018) indicated the successful integration of an evidence map and subsequent full systematic review is dependent on four key factors:

- Continued and embedded stakeholder engagement on the scope of the overall project and synthesis outputs
- A consistently rigorous and transparent synthesis approach that applies similar criteria to both outputs (the EGM and the SR)
- A sufficiently broad scope and design of the EGM that guarantees a sufficient evidence base for subsequent synthesis

<sup>2</sup> For systematic reviews <u>https://onlinelibrary.wiley.com/pb-</u>

<sup>&</sup>lt;sup>1</sup>Details on the theory of change, intervention-outcome framework, inclusion/exclusion criteria, the search strategy, screening and data management are provided in the approach paper and EGM report. See <u>https://ieu.greenclimate.fund/evidence-review/behavioural-science</u>.

assets/Campbell%20Policies%20and%20Guidelines%20Dec2020-1608292090217.pdf and for evidence and gap maps https://onlinelibrary.wiley.com/doi/10.1002/cl2.1125.

• A versatile software solution to provide flexibility in the evidence mapping tool to integrate the knowledge management aspect of the evidence review with the visualization requirements of the EGM

#### a. Evidence gap map

The EGM's inclusion of evidence had a broader scope than the full systematic review. But both are focused on the nature of existing evidence regarding the effectiveness of behavioural science interventions on environmental and development outcomes in developing countries. The EGM mapped evidence from impact evaluations and SRs across 22 behavioural science interventions. Its main objectives were to indicate the overall nature and size of the available evidence base, identify areas for synthesis, and substantiate evidence gaps for future analysis. The systematic review will focus on five of the 22 selected interventions: feedback, reminders, salience (communication), salience (experience design), and goal setting.

The EGM's evidence base supports stakeholder engagement and decision-making about the most effective synthesis approach and scope. The final map has 84 studies (82 impact evaluations and two SRs). The EGM guided discussions about which areas of the evidence base to use for synthesis and the most effective method for synthesizing the evidence to answer the review question. Following two meetings with the advisory group to identify the relevant areas of evidence for the systematic review, both stakeholder interest and a sufficient body of evidence for specific cells in the EGM steered the review's focus towards five interventions: feedback, reminders, salience (communication), salience (experience design), and goal setting interventions.

#### b. Systematic review and synthesis

Sixty-eight unique studies from the EGM were identified as focusing on these five intervention categories. An effectiveness analysis will be conducted to answer the review questions regarding the effectiveness of these interventions in achieving behavioural change in selected environmental and development outcome areas in developing countries. Therefore, the systematic review will only include primary studies that measure the effects of interventions and whose design can reliably attribute observed effects to these applied interventions. Individual effects will be synthesized into overall estimates of treatment effects using statistical meta-analysis.

#### 2. THEORY OF CHANGE

In the context of the evidence review on behavioural science interventions, the purpose of the theory of change is to inform the types of interventions included in the systematic review. An extensive description of the theory of change may be found in the approach paper and the EGM report. The theory of change also helps to highlight possible moderators in the meta-analysis.

#### 3. INTERVENTION-OUTCOME FRAMEWORK FOR THE EGM

The EGM intervention-outcome framework is the primary tool used to structure and visualize the evidence base. The theory of change directly influences its design. The approach paper and EGM report provide comprehensive details of the intervention-outcome framework.

## 4. CRITERIA FOR INCLUSION AND EXCLUSION OF STUDIES IN THE REVIEW

To systematically synthesize literature on the effectiveness of the selected behavioural science interventions, an underlying focus on environmental and human development outcomes guides the scope of the review. We use the PICOS (Population, Intervention, Comparator, Outcome and Study design) framework to develop the inclusion criteria. The approach paper and EGM report contain full details of the systematic review's inclusion criteria. The inclusion criteria define the precise characteristics of studies that will be included in the systematic review. All evidence not meeting these criteria will be excluded from this review.

#### 5. SEARCHING FOR EVIDENCE

We developed a comprehensive search strategy to identify qualifying studies and all available evidence relevant to the review question for inclusion in the systematic review. The approach paper and EGM report outline the search strategy, including sources (databases and repositories), backward and forward reference searches, combination of search terms, and results from the searching and screening process.

### 6. DATA COLLECTION AND ANALYSIS

#### a. Data extraction and management

We will use a predefined data extraction tool to extract data systematically and transparently from the included primary studies. The coding tool highlighted in Appendix 2 will be transferred into Excel to extract information necessary for the systematic review and synthesis. Full-text reports will be examined and studies coded on variables related to:

- Descriptive data including authors, publication date, and status, as well as other information to characterize the study, including study design, country, type of intervention and outcome, population, and context<sup>3</sup>
- Methodological information, analysis method, and type of comparison (if relevant)
- Quantitative data for outcome measures, including outcome descriptive information, sample size in each intervention group, outcome means and standard deviations, and test statistics (e.g. t-test, F-test, p-values, 95% confidence intervals)
- Information on intervention design, including how the intervention incorporates participation, participant adherence, contextual factors, and programme mechanisms, including implementation fidelity

### b. Critical appraisal

We will apply a critical appraisal tool to assess the trustworthiness of the impact evaluations included in the systematic review. Trustworthiness refers to the confidence that findings reported in the included impact evaluations were rigorous and credible and are likely to reflect the results of the evaluated interventions rather than the influence of the applied study design and research conduct. To assess the risk of bias in primary studies, we will adapt the Cochrane risk of bias tool for randomized and non-randomized studies (Sterne and others, 2016). This risk of bias tool has previously been used and adapted in international development reviews (Stewart and others, 2015; Langer and others, 2017). Sterne and

<sup>&</sup>lt;sup>3</sup> This information was already extracted in the development of EGM.

colleagues (2016) used a domain-based risk of bias tool covering the following six indications of trustworthiness: (i) selection bias, (ii) confounding bias, (iii) bias due to departures from applied interventions, (iv) bias due to missing data, (v) bias due to measurement of outcomes, and (vi) bias due to selection of the reported result. Each bias domain will receive a low, moderate, high, or critical risk of bias rating, allowing for a transparent calculation of the overall risk of bias score for each study. Studies with a critical risk of bias will be excluded from the synthesis.

The critical appraisal tool used to assess studies for the systematic review is presented in Appendix 3. It will be piloted using a similar approach to that used to pilot the data extraction tool. Two reviewers will independently assess each study and then collaborate on a comparative review. A third reviewer will be consulted if these reviewers disagree about the risk of bias rating for a particular study.

#### c. Methods for handling dependant effect sizes

#### i. Criteria for the determination of independent findings

Complex data structures are a common occurrence in meta-analyses of impact evaluations. There are numerous scenarios through which these complex structures can affect the metaanalysis. For example, several publications could originate from one study, or several studies could originate from the same data set. Some studies might have multiple treatment arms compared to a single control group. Other studies may report outcome measurements from several time points or use multiple outcome measures to assess related outcome constructs. Such cases yield statistically dependent effect size estimates (Borenstein and others, 2009).

The research team will assess the extent to which relationships exist across the studies included in the review and avoid double counting identical evidence by linking papers before data analysis. When several publications report on the same effect, effect sizes from the most recent publication will be used. The information provided in studies to support these assessments, such as sample sizes, programme characteristics and key implementing and/or funding partners, will be utilized.

We will extract effects reported across different outcomes or subgroups within a study. Where information is collected on the same programme for different outcomes at the same or different periods, information on the full range of outcomes over time will be extracted. Where studies report effects from multiple model specifications, we will adopt the author's preferred model specification. If this is not stated or is unclear, the specification with the most controls will be used. Where studies report multiple outcomes or evidence according to subgroups of participants, we will record and report data on relevant subgroups separately. Further information on the criteria for determining independent effect sizes is presented below.

We will deal with dependent effect sizes through data processing and selection techniques that utilize several criteria to select one effect estimate per study. When we have several publications reporting on the same study, we will use effect sizes from the most recent publication. For studies with outcome measures at different time points, we will follow De La Rue and others (2014) and synthesize outcomes measured immediately after the intervention (defined as one to six months) and at follow-up (longer than six months) separately. If multiple time points exist within these periods, we will adopt the most recent measure.

We anticipate that many of the interventions we address in our review will be ongoing programmes. We expect the follow-up will reflect a programme's duration rather than the

time since the intervention. Where such studies report outcome measures at different time points, we will identify the most common follow-up period and include the follow-up measures that match this most closely in the meta-analysis. When studies include multiple outcome measures to assess related outcome constructs, we will follow Macdonald and others (2012) and select the outcome that reflects the construct of interest most accurately without referencing the results.

Studies may include multiple treatment arms with only one control group and the treatments representing separate treatment constructs. In such cases, we will calculate the effect size for treatment A versus control and treatment B versus control and will include them in separate meta-analyses according to the treatment construct. Where treatments A and B represent variations of the same treatment construct, we will apply the following approach. We will calculate the weighted mean and standard deviation for treatments A and B before calculating the effect size for the merged group versus the control group, following the procedures outlined in Borenstein and colleagues (2009, chapter 25). There may also be cases where different studies report on the same programme but use different samples (e.g. from different regions or separately for men and women). In such instances, we will include both estimates, treating them as independent samples, provided effect sizes are measured relative to separate control or comparison groups.

### ii. Effect size calculations

Using Excel, we will extract quantitative data for outcome measures, including outcome descriptive information, sample size in each intervention group, outcome means and standard deviations, and test statistics (e.g. t-test, F-test, p-values, 95% confidence intervals). Effect size data will be stored, and any necessary cleaning will be conducted in Excel. Following the screening and descriptive data extraction process, to ensure consistent coding, two reviewers will pilot the extraction tool for determining effect size. They will work independently on a random sample (10%) of included studies to test the tool across a range of the included impact evaluation designs and methods. We aim to achieve a minimum Kappa statistic score of 0.90 following a round of repeating the process for the tool to be finalized. After the piloting stage, individual reviewers will code the remaining studies and a third reviewer will check the extracted data.

An effect size expresses the magnitude (or strength) and direction of the relationship of interest (Valentine and others, 2015; Borenstein and others, 2009). We will extract data from each study to calculate standardized effect sizes for cross-study comparison wherever possible. For continuous outcomes comparing group means in a treatment and control group, we will calculate the standardized mean difference (SMD), or Cohen's *d*, its variance, and standard error (SE) using formulae provided in Borenstein and colleagues (2009). An SMD is a difference in means between the treatment and control groups divided by the pooled standard deviation of the outcome measure. Cohen's *d* can be biased in cases where sample sizes are small. Therefore, in all cases we will adjust *d* using Hedges' method, adjusting Cohen's *d* to Hedges' *g* using the following formula (Ellis, 2010):

$$g \cong d(1 - \frac{3}{4(n_1 + n_2) - 9})$$

Details of the appropriate formula for effect size calculations in reference to, and dependent on, the data provided in included studies are described in Appendix 4.

### d. Data synthesis

Based on studies assessed to be sufficiently similar, we will combine studies using metaanalysis only when we identify two or more effect sizes using a similar outcome construct and where the comparison group state is judged as similar across the two (cf. the approach taken by Wilson and colleagues, 2011). We will combine studies in the same analysis when they evaluate the same intervention type and the same outcome type. Where there are too few studies or included studies are considered too heterogeneous in terms of interventions or outcomes, we will discuss the individual effect sizes along the causal chain. As programme theory of interventions suggests that there will be heterogeneity across studies, we will adopt inverse-variance weighted random effects meta-analytic models (Higgins and others, 2020) to account for this.

We will conduct separate analyses across the major outcome categories for each intervention type: knowledge, uptake and use outcomes, behavioural outcomes, development results, and impact outcomes that meet the inclusion criteria. Based on an analysis of the interventions we find, we attempt to further elaborate on the above pathway of change to the extent possible.

Whenever feasible, we aim to conduct moderator analyses to explain variations in effect sizes. Moderators are variables such as socioeconomic context and population characteristics, measured at baseline, that interact with treatment to change the outcome for each group (Pincus and others, 2011). Following the PROGRESS-PLUS approach (Oliver and others, 2017), we will use moderators falling into three broad categories of extrinsic, methodological, and substantive characteristics. Specifically, these categories include:

- Extrinsic characteristics: funder of the study (e.g. non-governmental organization/civil society organization versus private sector versus government investments), publication type, publication date.
- Methodological characteristics: study design, risk of bias, evaluation period, length of intervention.
- Substantive characteristics: participant characteristics (gender, age, socioeconomic status), context (geographical setting), intervention type, intervention features, type of implementing agency.

We will use random effects meta-regression to investigate the association between moderator variables and heterogeneity of treatment effects (Borenstein and others, 2009) and subgroup analyses to investigate heterogeneity by treatment subgroups (e.g. men and women, poor and non-poor, and so on). If we do not have enough studies or data – we will discuss and explore the factors driving the heterogeneity of results narratively by conducting cross-case comparisons (Miles and Huberman, 1994).

#### e. Assessment of heterogenicity

To visibly examine variability in the effect size estimates, we will use forest plots to display the estimated effect sizes from each study along with their 95% confidence intervals. Subsequently, and acknowledging the limitations of quantification of heterogeneity and the different strengths of statistical approaches, we will conduct a heterogeneity test. Our test will consist of a calculation of the Q- statistic as a statistical test of heterogeneity (Hedges and Olkin, 1985) and a calculation of the i2 and Tau2 statistic to provide estimates of the magnitude of the variability across study findings caused by heterogeneity (Higgins and Thompson, 2002; Higgins and others, 2003; Borenstein and others, 2009).

## f. Sensitivity analysis

To test the robustness of the results of the meta-analysis, we will conduct several sensitivity analyses. Broadly, this will involve collecting data on and assessing the sensitivity of findings to (i) the methods of the primary studies and (ii) the methods of the review. We anticipate the included studies will vary methodologically. Therefore, we will conduct sensitivity analyses to examine the influence of these variations on the summary measures to offer possible explanations for the differences between studies when interpreting the results. We will examine whether the results were sensitive to study design, the risk of bias associated with the study, the degree of missing/incomplete data, how outcomes are measured, and the timing of when they were measured. The main objective of the sensitivity analysis is to serve as a visual tool that allows informal comparisons to determine whether the results of our meta-analyses are sensitive to the methodological decisions of the review team. The sensitivity analyses will be carried out by adopting a one-way random effects analysis of variance (ANOVA) model calculated in EPPI-reviewer 4.

#### g. Strength of the evidence assessment

The last research step in the systematic review will be to conduct a Grading of Recommendations, Assessment, Development and Evaluations (GRADE) assessment to report on the overall strength of the evidence base and recommendations made based on the synthesis of the review. This step is distinct from the critical appraisal step. It considers additional factors to assess the overall body of the evidence and the reliability of the recommendations derived from it. Appendix 5 presents the GRADE tool with hypothetical decisions for illustration purposes.

## D. CONCLUSION

There is currently a lack of rigorous empirical evidence on what could encourage or potentially bring about a change in human behaviour in a way that would be useful for reducing greenhouse gas emissions and adapting to the changed climate, especially in developing countries that are more vulnerable to the impacts of climate change. Against this backdrop, this review aims to assess and shed more light on how climate related actions can be implemented more effectively. The key objectives of this protocol for a systematic review are to identify, assess and synthesize evidence on the effectiveness of behavioural science interventions. These interventions include feedback, reminders, salience (communication), salience (design) and goal setting on environmental and development outcomes in developing countries. In fulfilling its objective, the review will facilitate the use of evidence in informing policy and practice decisions within the environmental and development fields, particularly climate mitigation and adaptation.

The overall evidence review uses a two-stage systematic approach. The first stage consists of an already completed EGM. The second stage consists of a systematic review and synthesis in compliance with the Campbell Collaboration's guidelines on producing EGMs and SRs. The systematic review and synthesis will be conducted on selected bodies of evidence contained in the EGM. An assessment will be undertaken of the effectiveness of the selected interventions in achieving the desired behavioural changes in developing countries' environmental and development outcome areas. Therefore, the systematic review will only include primary studies that measure the effects of interventions and whose design can reliably attribute observed effects to these applied interventions. Individual effects will be synthesized into overall estimates of treatment effects using statistical meta-analysis.

This protocol outlines the data collection and analysis, including data extraction and management, critical appraisal of the evidence, methods for handling dependent effect sizes,<sup>4</sup> data synthesis,<sup>5</sup> heterogeneity assessment, sensitivity analysis and strength of evidence assessment.

## E. DISTRIBUTION OF MAIN ROLES

#### Table 2. Distribution of main roles

PROJECT FUNDING, OVERSIGHT AND CO-CREATION		
Dr. Martin Prowse	Independent Evaluation Unit, Green Climate Fund	
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Prof. Dr. Jyotsna Puri	International Fund for Agricultural Development	
Dr. Romina Cavatassi	International Fund for Agricultural Development	

<sup>&</sup>lt;sup>4</sup> These include criteria for the determination of independent findings and calculation of effect sizes.

<sup>&</sup>lt;sup>5</sup> These include meta-analysis and moderator analysis.

Project execution				
Africa Centre for Evidence				
Dr. Laurenz Langer	Project oversight and management			
	Co-PI: Project oversight and management, stakeholder and client engagement, finance and reporting, drafting and finalization of outputs and deliverables.			
	Research: Mapping and Synthesis			
	Synthesis method lead: design of all research activities, tools development, and research staff training and support where relevant. Lead on formulating inclusion criteria, EGM framework development, meta- analysis, GRADE assessment, and Qualitative Comparative Analysis, if conducted			
Prof. Ruth Stewart	Synthesis adviser: Ad hoc advice and technical inputs related to complex evidence synthesis, climate change and behavioural science issues encountered			
Promise Nduku	Research Lead			
	Research: Mapping and Synthesis			
	Synthesis specialist: design and conduct of search strategy, screening, data extraction, and critical appraisal for both the EGM and the SR, lead on EGM visualization and effect size calculation			
Tafadzwa Mutanha	Research: Mapping and Synthesis			
	Research assistant: research support in accessing full-text articles, cataloguing data, collating background information, and editorial support			
Sefora Rangoanana	Research: Mapping and Synthesis			
	Research assistant: research support in accessing full-text articles, cataloguing data, collating background information, and editorial support			
Content experts				
Dr. Benjamin Curtis	Subject input related to behavioural science on the following areas: scope of the EGM and SR including theory of change; inclusion criteria for the EGM and SR; framework development for the EGM; reviewing search strategy and output; data extraction variables for SR; interpreting synthesis results; output review including approach paper, EGM report and SR protocol and technical report; and stakeholder and client engagement			
Dr. Caitlin Blaser Mapitsa	Content adviser: Ad hoc advice and technical inputs related to complex evidence synthesis, theory of change development, climate change, and behavioural science issues encountered			
Jamie Robertsen	Subject input related to climate change on the following areas: scope of the EGM and SR including theory of change; inclusion criteria for the EGM and SR; framework development for the EGM; reviewing search strategy and output; data extraction variables for SR; interpreting synthesis results; output review including approach paper, EGM report and SR protocol			
Samantha Booth	Content advisor: Ad hoc advice and technical inputs related to complex evidence synthesis, theory of change development, climate change, and behavioural science issues encountered			

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# APPENDICES

## APPENDIX 1. DESCRIPTION OF INTERVENTION TYPES

The systematic review informed by this protocol will determine if feedback, reminders, salience (communication), salience (experience design), and goal setting interventions achieve their desired environmental and development outcomes in developing countries. Detailed descriptions of these intervention types are as follows:

#### Reminders

Reminders call people's attention to something and encourage them to take certain actions. Reminders typically involve sending a message at a timely moment with a specific call to action. For example, emailing or texting a reminder to someone 24 hours ahead of their medical appointment. Reminders help counteract one of the cognitive limitations human beings face – the fact that we have limited attention and memory. Even when completing a behaviour or task is important (like filing taxes or going to the doctor), it may be forgotten because we have other obligations that compete for our attention. Reminders anticipate that people are likely to forget and help direct their attention to an action that needs to be taken, making it more salient and bringing it to the mind's forefront at the right moment.

Reminders can be delivered as 'pure' reminders that remind a person to do something at the appropriate moment. They can also be coupled with other behavioural science interventions, such as using gain or loss framing or including social norms in the messaging. They can be delivered as one-off reminders for once only behaviours, like attending an appointment. Or they can be repeated reminders for repeated behaviours, like taking medication. Reminders have been used to successfully influence a variety of behaviours, such as increasing savings (Karlan and others, 2016), attending appointments (Hasvold and Wootton, 2011), and adhering to medical treatments (Zhao and others, 2019). For example, when the Court Service of the United Kingdom sent text messages reminding people of the closing date for the payment of their outstanding fines, fine payment amounts doubled. Also, personalizing the reminder message increased fine payment amounts by a further 45 per cent (Service and others, 2014).

#### Feedback

Feedback interventions provide information, often tracked over time, about a particular behaviour. Feedback generally indicates how 'well' someone is doing in relation to a target, their own past performance, or others' behaviour. It may also outline the consequences of the behavioural trajectory.

Feedback interventions are effective at shifting behaviour because they draw attention to the behaviour and put it into context by providing a benchmark. For example, by establishing a benchmark then tracking progress towards achieving it, feedback interventions can encourage continued progress as well as the behaviours that drove that behaviour. Feedback interventions can also help people understand the consequences of their behaviours. For example, by tracking direct results of actions.

Optimal feedback is real-time or immediate, and most effective for people who are underperforming – such as people who use too much electricity. Feedback interventions can, however, backfire for those already performing well in relation to others. For example, someone who learns they are using less electricity than their neighbours may increase their electricity consumption). Feedback interventions typically require tracking a behaviour over time and are best suited to influencing repeated behaviours.

Feedback has been used to reduce speeding (ACT Government, 2020), decrease energy use, and increase recycling. (Center for Behaviour and the Environment, 2020). An energy company, OPower, has used personalized feedback in household energy reports to help customers reduce energy consumption. Their energy reports provide a simple bar graph showing a household's energy consumption in comparison to neighbours', including 'energy efficient' neighbours. This intervention reduced energy bills by an average of 1.5 - 2.5 per cent in the first two years of its implementation (Center for Behaviour and the Environment, 2020).

#### Salience (communication)

Salience (communication) interventions improve the ease and accessibility of adopting behaviours by making information or choices more prominent and relevant when communicated to people. These interventions typically focus on the messaging's content. They are distinct from reminders which focus on timely delivery. Simply sending out a communication (e.g. sending a letter or email) does not classify as a salience (communication) intervention. The communication needs to be tailored in a way that increases its salience. This could include things like making the content more relevant to an individual (e.g. personalizing it by using an individual's name), making it clear that the communication is important (e.g. using a big red stamp on a letter), making it easier for people to understand what needs to be done (e.g. laying out specific steps), or making it easier for people to carry out the action (e.g. including the phone number someone needs to call).

Salience (communication) interventions are effective because they increase the likelihood that people will pay attention (Carmody and Lewis, 2006) and understand what they are being asked to do. This can be applied to any form of communication. For example, a trial in the UK found that adding a person's name to a text message for collecting overdue fines increased the number of people making payments by 10 percentage points in comparison to a standard letter, and by 27.8 percentage points over those who received no text (Haynes and others, 2012). A similar trial used a red 'Pay Now' stamp on notices about fines which led to a 3.1 percentage point increase in payment rates (Behavioural Insights Team, 2016).

#### Salience (experience design)

Interventions classified under salience (experience design) target how individuals interact with their physical and/or digital environment. They typically involve changing aspects of a process, such as arranging facilities or options so that they are either more prominent, accessible, and easy to prompt a particular behaviour, or less prominent, accessible, or easy, to discourage a particular behaviour. They are distinct from salience (communication) interventions as they focus on how people interact with their environment and not on messaging content.

Salience (experience design) interventions are effective because they remove or add frictions to carrying out behaviours. People are extremely sensitive to frictions. Small, seemingly minor details that make a task more effortful have a disproportionately large effect on whether people complete a task. Salience (experience design) interventions leverage this tendency to make it more or less likely that someone takes an action.

Salience (experience design) can take a variety of forms. Examples include changing the ordering of items on menus (people tend to choose the first and last options more frequently), placing healthy food first in cafeteria lines, simplifying forms to make it more likely that people complete them, or reducing the number of steps in a process. In Kenya, a trial was run to test whether installing chlorine dispensers directly at water sources could increase the use

of chlorine in treating drinking water. This simple intervention increased chlorine usage by 53 percentage points (Kremer and others, 2014).

#### Goal setting

Goal setting interventions help individuals consider what their priorities are, then specify a series of goals they would like to achieve. The goals need to be specific and are typically specified by the individual or group whose behaviour is being influenced but may also be externally determined. For example, in health applications, individuals might set their own targets for weight loss, or they might be given a set of medically validated 'best practice' targets. These interventions are often coupled with a planning process and may also be combined with other behavioural insights (such as mental contrasting, implementation intentions, endowed progress, commitment devices, or feedback) to encourage achievement of the goal.

Goal setting interventions are effective because they direct attention towards goal-relevant activities and motivate goal-oriented behaviours (Locke and Latham, 2002). Self-set goals, particularly those that are more difficult, are more likely to lead to commitment and action (Locke, 1996). Goal setting interventions have been used to successfully improve student learning outcomes (Lawlor and Hornyak, 2012), increase savings (Ashraf and others, 2010), and increase exercise (Chapman and others, 2015). An example of the latter occurred at a university in North America where physical activity among staff members increased when they were given daily step (walking) goals. Those receiving a high goal walked on average 1,912 more steps per day than those given a low goal (Chapman and others, 2015). An example from the environmental field is setting a goal for recycling or using low-emission transport to contribute to climate change mitigation (Nisa and others, 2019).

# Appendix 2. DATA EXTRACTION TOOL

DESCRIPTION	QUESTION		
Date when form was completed	Date when form was completed		
ID of person extracting data	ID of person extracting data		
Report Identification			
Publication title	Title of publication		
Publication ID	EPPI ID		
Author details	Surname of first author		
Publication date	Year (letter - if more than one study from that author and that year)		
Publication type	What is the impact evaluation publication type?		
	□ Academic journal article		
	Research report		
	Government report		
	Dissertation / thesis		
	Online book chapter		
Funding agency name	Who is funding the evaluation/study? Please add name of the agency funding the evaluation.		
Funding agency type	Type of agency funding the evaluation/study:		
	Academic institution		
	Charitable or private foundation		
	For-profit firm		
	Government agency		
	□ International aid agency		
	International financial institution		
	□ Non-profit organization		
	□ Not specified		
Independence of evaluation	What level of independence is there between the implementing agency and study team?		
	□ Funding and author team independent of implementers/ funders of programme		
	□ Funding independent of implementers/ funders of programme, but includes authors from funder/ implementer		
	□ Evaluation funded and undertaken by funders/ implementers		
	□ Unclear		
Independent data	Has the data been collected by an independent party?		
collection			
	□ No		
	□ Not clear		
Conflict of interest	Is there a potential conflict of interest associated with the study which could influence the collected/reported results? (e.g. Is there a		

DESCRIPTION	QUESTION
	declaration of conflict of interest? Is any of the authors related in any
	way to the funding or implementing institution?)
	□ Not clear
Comments on conflict of interest	Please add reason for your answer to whether there is a conflict of interest.
Language of publication	Language of publication of the impact evaluation (e.g. Spanish, English etc)
Other methods	If the impact evaluation addresses questions other than effectiveness, note the questions and methods used here.
Linked studies	If there is any study linked to this one, add reference.
Context	
Country	List countries the study was conducted in.
Detailed location	If provided, give detailed information on where the study took place within a country (e.g. regions/districts covered).
World Bank Region	Select region(s) the study was conducted according to the World Bank. For more info on region classification see <u>http://data.worldbank.org/country</u> .
World Bank Income category	Select the World Bank income classification of the country at the time of the study.
Sector	Choose sector options below:
	□ Agriculture
	Education
	Energy and extractives
	□ Forestry
	Financial
	□ Industry and Trade/Services
	Information and Communication
	Public Administration
	Transportation
	□ Water sanitation and hygiene (WASH)
	Environmental and disaster management
Intervention information	n
Programme or project name	State the programme or project name. If no name, then list the location.
Study design	Select the type of study:
	Randomized Control Trial (RCT)
	Regression discontinuity
	Matching/ Propensity Score Matching (PSM)
	□ Instrumental Variable/2SLS
	Difference in Difference
	□ Interrupted Time series analysis

DESCRIPTION	QUESTION
	Controlled Before and After
	Heckman
	□ Fixed effects or random effects estimation
	Natural experiment
Estimation methods	Brief description of the estimation methods.
Commentary on methods (if multiple methods are selected)	State here if multiple methods are selected.
Multicomponent intervention	Is more than one intervention subcode applied to this intervention? - If yes, go to question 2. - If no, code as "No". Is each intervention subcode evaluated independently (i.e. separate effect sizes estimated for each intervention subcomponent, e.g. 2x2
	design, separate evaluations reported in 1 study)? - If yes, code as "Multiple components, but evaluated separately". - If no, code as "Multiple components, not evaluated separately".
	□ Multiple components, but evaluated separately
	□ Multiple components, not evaluated separately
	□ No
Number of treatment arms	State the number of treatment arms.
Treatment ID	Please create an ID for each treatment of the intervention. 'Treatment' is defined here by 'treatment arms' (i.e. the combination of intervention components received by an arm of the evaluation).
	For example, in a case where there are three (3) intervention components A, B, and C, with two (2) treatment arms $A+B$ and $A+C$ , this would be coded on separate rows as:
	Treatment 1 Component A
	Treatment 1 Component B
	Treatment 2 Component A
	Treatment 2 Component C In cases where the intervention is the same (e.g. A+B and A+B), but the delivery mechanism is different (e.g. by community elders vs. by teachers), code as separate treatments.
	WHEN a study does not have a 'pure control', in which the comparison arm receives some intervention component, that comparison is coded as another treatment arm, even if there are no outcomes measured by that arm as a treatment.
Component ID	Please create a component ID for each component of the intervention. Component IDs need to be consistent across treatments. For example, if a component is repeated across treatments, it should have the same component ID.
	For example, in a case where there are three (3) intervention components A, B, and C, with two (2) treatment arms A+B and A+C, this would be coded on separate rows as: Treatment 1 Component A
	Treatment 1 Component B
	Treatment 2 Component A
	Treatment 2 Component C

DESCRIPTION	QUESTION
	Component IDs should be captured alphabetically.
Intervention type <sup>6</sup>	Select the intervention type:
	How
	Checklists
	Reduce hassles
	□ Rules of thumb
	Commitment devices
	Why
	□ Micro incentives
	□ Group incentives
	Lotteries
	Anchoring
	Framing devices
	Who
	□ Identity priming
	Public commitments
	□ Social norms
	□ Social benchmarking
	Cognitive behavioural therapy
	When
	□ Reminders*
	Planning prompts
	□ Feedback
	Which
	□ Active choice
	□ Salience (communication)
	□ Salience (experience design)
	Goal setting
	Defaults
Other (add new if does not fit existing categories)	If you are certain the intervention does not fit within any of the previously defined classifications of behavioural science interventions, code the intervention here, otherwise leave blank. When developing a name, either use description from the study or if unclear code it as a non- behavioural science intervention.
Description of Intervention(s)	Write a short paragraph to describe the intervention type and characteristics. The description should be as detailed as possible. Add page numbers.
Objectives of intervention	State any objectives stated in study or other document.
Scale of implementation	At which level what the intervention implemented?
	🗆 Individual

<sup>&</sup>lt;sup>6</sup> The review focuses on feedback, reminders, salience(communication), salience (experience design) and goal setting interventions which can be delivered as single interventions or in combination with other behavioural science interventions.

	QUESTION I Household I Firm
	□ Community
What intervention (if	□ District/region
	□ No treatment
any) did the comparison	$\Box$ As usual
group receive?	□ Alternative Intervention
	□ Other
	□ Unclear
	Who is implementing the intervention? State the name (and department) of the implementing agency
Intervention 7	Type of agency for the implementation of the intervention:
implementing agency	□ Academic institution
type	□ Charitable or private foundation
C	□ For-profit firm
E	□ Government agency
C	☐ International aid agency
C	□ International financial institution
C	□ Non-profit organization
E	□ Not specified
0	Who is funding the intervention? State the name (and department) of the funding agency.
0	Type of funding/financial institution for the implementation of the intervention
C	□ Academic institution
C	□ Charitable or private foundation
E	□ For-profit firm
C	□ Government agency
	□ International aid agency
	□ International financial institution
E	□ Non-profit organization
	□ Not specified
group ir	What were the characteristics of the beneficiaries targeted by the intervention? were the characteristics of beneficiaries used to target the intervention? Open answer.
	Indicate the gender of the targeted population:
gender C	□ Female
C	□ Male
	□ Female and male
C	□ Unclear
Target population age II	Indicate the population either
C	□ Children <18

DESCRIPTION	QUESTION
	□ Young adults (18-35)
	□ Adults (36-65)
	$\Box$ Elderly (65+)
	□ Mixed
	□ Not specified
Target population	Indicate the target population income
income	
	□ Middle
	Diverse
	□ Not specified
Target population living	State the target population living environment between
environment	$\Box$ Rural
	□ Urban
	□ Both
Targeting methods	How were beneficiaries targeted for the programme (e.g. how was the
	targeting implemented)?
Target population specific restrictions	Please provide details. Please provide details. In some instances, the target population is restricted to exclude population members that are difficult or impossible to interview.
Intervention start	Start date (if not stated, state study date) of intervention.
Intervention end	State end date (if ongoing state ongoing).
Intervention length /exposure to intervention (in months)	Start intervention length (months).
Evaluation period (in months)	The total number of months elapsed between the end of the intervention and the point at which an outcome measure is measured post- intervention, or as a follow-up measurement. If less than one month, use decimals (e.g. one week would be.25)
Consideration of equity	Does the study consider equity?
	□ Yes
	□ No
Equity focus <sup>7</sup>	How does the study consider equity?
	□ Intervention targets vulnerable population
	□ Subgroup analysis by sex
	□ Subgroup analysis (other than sex)
	□ Heterogeneity analysis (other than subgroup)
	Equity-sensitive analytical framework
	Equity-sensitive methodology
	Equity-sensitive research process
	□ Measures effects on an inequality outcome
	□ Research ethics informed by equity

<sup>&</sup>lt;sup>7</sup> The 3ie equity coding protocol and guidance is publicly available at <u>https://www.3ieimpact.org/sites/default/files/2021-</u> <u>11/DEP\_Gender\_Equity\_Protocol-DEP.pdf</u>.

Equity dimension       What dimension(s) of equity does the study consider?         □ Age (e.g. old or young age but only if it provides arguments)       □ Conflict-affected         □ Culture (includes language)       □ Disability (medical, physical, neurological, mental disorders)         □ Education       □ Education         □ Education       □ Education         □ Education       □ Education         □ Land size       □ Land ownership         □ Place of residence (rural, urban, peri-urban, informal dwellings)       □ Refugees         □ Socioeconomic status (income or poverty status)       □ Socioeconomic status (income or poverty status)         □ Social capital       □ Sexual orientation         □ Sexual identity       □ Other (vulnerable groups not typified by any of the above). Answers might include orphans, sex workers, survivors of sexual violence etc.         □ Not applicable       Commentary by authors should be used whan information on programme take-up? Take-up refers to participation in a programme tamong those who are eligible.         Process and implementary by authors should be used who in information on programme take/ up etc. is not backed up by some sort of research / when the authors do not report that/how they collected data to assess these areas.         □ Yes, commentary from author       □ Yes, commentary from author         □ Aber areas       □ Observation by intervention staff         □ Reporting by participants       □ Observation by interv	DESCRIPTION	QUESTION	
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Image: Head of household (female or male)         Image: HIV/AIDS (people with or at risk of HIV)         Image: Land size         Image: Land ownership         Image: Place of residence (rural, urban, peri-urban, informal dwellings)         Image: Refugees		□ Education	
Image: HIVAIDS (people with or at risk of HIV)         Image: Land size         Image: Land size         Image: Land ownership         Image: Place of residence (rural, urban, peri-urban, informal dwellings)         Image: Refugees         Image: Refugees <td>Ethnicity</td>		Ethnicity	
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□ Other         □ Commentary from author         □ Not measured         Results of the take-up         What is the result/information provided of the assessment of programme take-up?		Observation by intervention staff	
Image: Commentary from author       Image: Commentary from author         Image: Commentary from author       Image: C		Reporting by participants	
Image: Not measured         Results of the take-up         What is the result/information provided of the assessment of programme take-up?		□ Other	
Results of the take-up <i>What is the result/information provided of the assessment of programme take-up</i> ?		Commentary from author	
assessment take-up?		□ Not measured	
Open answer			
		Open answer	

DESCRIPTION	QUESTION
Information about programme adherence (among beneficiaries)	Is there any information about programme adherence (how well the participants stuck to the programme requirements) among beneficiaries? Commentary by authors should be used when information on programme adherence etc. is not backed up by some sort of research or when the authors do not report that/how they collected data to assess these areas.
Methods of assessing adherence	<ul> <li>Which methods are used to assess programme adherence for beneficiaries? This includes dropout rates and adherence to appointments, etc.</li> <li>Observation by intervention staff</li> <li>Reporting by participants</li> <li>Other</li> <li>Commentant from outborn</li> </ul>
	Commentary from author Not measured
Results of the adherence assessment	What is the result/ information provided of the assessment of programme adherence? Open answer
Information about implementation fidelity/intervention delivery quality (among implementers)	Is there any information on implementation fidelity/ intervention delivery quality? Commentary by authors should be used when information on programme adherence etc. is not backed up by some sort of research / when the authors do not report that/how they collected data to assess these areas. Yes, commentary from author Yes, formally assessed
	□ No
Methods of assessing intervention fidelity	<ul> <li>Which methods are used to assess implementation fidelity/ intervention delivery quality by the implementing partner:</li> <li>Observation by intervention staff</li> <li>Reporting by participants</li> <li>Other</li> <li>Commentary from author</li> <li>Not measured</li> </ul>
Results of the intervention fidelity assessment	What is the result/ information provided of the assessment of implementation fidelity/ intervention delivery quality? Open answer
Incentives	Were incentives provided to intervention participants?  Yes No No Not clear

DESCRIPTION	QUESTION
Other descriptions of process/implementation factors	Any other description of process / implementation factors not covered above Open answer
Results	Report here any material relevant to causal mechanisms and barriers and enablers. Open answer
Cost	<ul> <li>Are any unit cost data / cost-effectiveness estimates provided?</li> <li>Return on investment analysis</li> <li>Cost-effectiveness</li> <li>Cost benefit</li> <li>Cost only</li> <li>No cost data</li> </ul>
Cost details	If yes, report any details of unit cost and/or total cost. Please also report the year and currency.
External validity	
Length of study	Length of study in months (Where study length is not reported, code as length of intervention and include a note in brackets) Number of months, if not reported N/A
Efficacy or effectiveness trial	Was the intervention implemented under "real world" conditions? By real world we mean a programme implemented independently of the evaluation, either by government, non-governmental organization, or international agency Yes No N/A
Personnel implementing the programme	<ul> <li>Who was in charge of implementing the programme?</li> <li>PI/ researchers (study authors)</li> <li>Implementing agency staff</li> <li>External agency (e.g.: survey firm)</li> <li>Others</li> <li>Not clear</li> </ul>
Author discussion of external validity	Do the authors discuss or explicitly address generalisability / applicability?   Yes  No
Outcome information	
Outcome type <sup>8</sup>	Select the outcome type: Knowledge, uptake and use outcomes Know of intervention Take part in intervention Acquire knowledge

<sup>&</sup>lt;sup>8</sup> All selectable outcome options are outlined in the approach paper and the EGM report. See <u>https://ieu.greenclimate.fund/evidence-review/behavioural-science.</u>

DESCRIPTION	QUESTION
	Change attitudes
	Behavioural outcomes
	□ Start behaviour
	□ Increase behaviour
	Decrease behaviour
	End behaviour
	□ No change in behaviour
	Development results
	Enhance Equity
	Support resource conservation
	Changing technologies
	□ Improve health Improve income and livelihoods
	Sustainable waste management
	□ Sustainable supply chain management and transport
	Impact
	Mitigation
	Adaptation
Outcome indicator description	Extract the exact name of the indicator being used as the dependent variable in the analysis. Use this open answer field to enter, in the author's own words, a description of the outcome, in a sentence or so. Be selective and concise with the excerpts being transcribed here as to ensure accurate and precise descriptions of the outcome. Include page numbers with every excerpt extracted.
Outcome timing	Less than 1 year
	□ 1 to 3 years
	□ More than 3 years
	□ Not clear
Timing of outcome	Only after
measurement	□ Before and after
	□ Not clear
Unintended outcomes	State any unintended outcomes highlighted in the study.
Effective size calculatio	
Treatment ID	Indicate the relevant treatment ID linked to the relevant effect size.
Outcome type	Select the outcome used to extract effect size data.
Post-intervention or change from baseline?	
	Change from baseline
Nature of the measures/estimate type	Type of data for this effect size:
measures/estimate type	
	Dichotomous outcome - proportions
	Hand calculated data
	Regression data
Direction of the effect	Effect favours treatment

DESCRIPTION	QUESTION						
	Effect favours comparison						
	□ Zero effect						
	Unclear						
Reverse sign (i.e., decrease is good)	<ul> <li>Record no if an increase is good, record yes if a decrease is good and the sign needs to be reversed.</li> <li>□ Yes</li> <li>□ No</li> </ul>						
Unit of analysis	🗆 Individual						
,	□ Household						
	Community						
	□ District/region						
	Unclear						
When measuring this outcome were there any differences between the treatment group participants and the comparison?	□ Yes □ No						
Effect is statistically							
significant?	□ No						
	Unclear						
Treatment sample size	Insert treatment sample size here.						
Control sample size	Insert control sample size here.						
Subgroup	Is this analysis of a subgroup?						
	□ No						
If yes to subgroup, describe the subgroup if applicable	Free text, describe the subgroup if applicable (e.g. boys, girls).						
Source	Which page(s) contain the effect size data? Note the page number, table number, column, and row used to extract the data.						
The following group of	questions applies only if Nature of the Measures is "Continuous"						
Treatment group mean	Insert numerical value.						
Comparison group mean	Insert numerical value.						
Are means reported	□ Yes						
above adjusted?	□ No						
Treatment group standard deviation	Insert numerical value.						
Comparison group standard deviation	Insert numerical value.						
Treatment group standard error	Insert numerical value.						

DESCRIPTION	QUESTION					
Comparison group standard error	Insert numerical value.					
t-value from an independent t-test	Insert numerical value.					
The following group of	questions applies only if Nature of the Measures is "Dichotomous"					
Treatment group number of participants who experienced a change	Insert numerical value.					
Comparison group number of participants who experienced a change	Insert numerical value.					
Treatmentgroupproportionofparticipantswhoexperienced a change	Insert numerical value.					
Comparison group proportion of participants who experienced a change	Insert numerical value.					
Are the proportions above adjusted for pre- test variables?	□ Yes □ No					
Logged odds ratio	Insert numerical value.					
Standard error of logged odds ratio	Insert numerical value.					
Logged odds ratio adjusted?	□ Yes □ No					
Chi-square with <i>df</i> =1 (2 by 2 contingency table)	Insert numerical value.					
Correlation coefficient	Insert numerical value.					
The following group of o Data"	questions applies only if Nature of the Measures is "Hand Calculated					
Hand calculated d-type effect size	Insert numerical value.					
Hand calculated error of the d-type effect size	Insert numerical value.					
Hand calculated odds ratio effect size	Insert numerical value.					
Hand calculated odds ratio standard error	Insert numerical value.					
Intermediate outcomes or themes (knowledge, skills)	State intermediate outcomes or themes here.					
Questions applying to a	all studies					

DESCRIPTION	QUESTION					
Are there results coming from regressions?	□ Yes □ No					
Sample size	Insert sample size here.					
The following group of	questions applies only if there are results coming from regressions					
Method: Econometric model?	State the econometric model					
Standard deviation effect	Insert numerical value.					
Effect (mean)	Insert numerical value.					
Controls	Insert numerical value.					
Standard deviation: Y	Insert numerical value.					
Standard deviation: X	Insert numerical value.					
β (beta)	Insert numerical value.					
Standard error $\beta$ (beta)	Insert numerical value.					
Degrees of freedom	Insert numerical value.					
Data type	<ul> <li>Panel</li> <li>Cross-section</li> <li>Time series</li> </ul>					

## Appendix 3. CRITICAL APPRAISAL TOOL

Met	HODOLOGICAL APPRAIS	AL CRITERIA			Resp	ONSE	
					Yes	No	Comment
(If ra	andomized control trial	, start after confounding bias. Fo	or all other study designs, st	art here.)			
I. Bi	as in selection of pa	rticipants into the study					
Are	participants selected i	n a way that minimizes selectior	n bias? <sup>9</sup>				
Арр	raisal indicators						
Con	sider whether:						
1)	There is an adequat	e description of how and why th	e sample was chosen (i.e., i	dentified/selected/recruited).			
2)	There is adequate s	ample size to allow for represen	tative and/or statically signif	cant conclusions.			
3)	Participants in the co	ontrol <sup>10</sup> group were sampled from	m the same population as th	at of the treatment.			
4)	The group allocation	process minimized the potentia	al risk of bias (e.g., using coi	nputer algorithms).			
5)	The selection of par of the intervention.	ticipants for the study (or the an	alysis) is based on participa	ant characteristics observed after the s	art		
Low	risk of bias	Moderate risk of bias	High risk of bias	Critical risk of bias	Worth	n contin	uing? Y/N
II. B	ias due to confoundi	ng					
		controllable in the context of this	s studv?				
	raisal indicators						

<sup>&</sup>lt;sup>9</sup> Selection bias can occur both in the way that individuals are accepted for participation in a study and in the way that 'treatment' is assigned to individuals once they have been accepted into a study. This section deals with both these understandings of selection bias.

<sup>&</sup>lt;sup>10</sup> The terms 'control' and 'comparison' group refer to any group with the treatment of interest is compared and is presumed to represent conditions in the absence of that treatment, whether it is true random or not.

	HODOLOGICAL APPRAISAL C					Respo		
	IVIE I NODOLOGICAL APPRAISAL CRITERIA							
						Yes	No	Comment
<ul> <li>Consider whether:</li> <li>1) There is potential for confounding the effect of the intervention in this study. If yes, provide examples of confounding domains in the comment box.<sup>11</sup></li> <li>2) Where matching was applied and, if so, whether it featured sufficient criteria.<sup>12</sup></li> <li>3) Where relevant, the authors conducted an appropriate analysis that is controlled for all potential/remaining critic confounding domains after matching had been applied.</li> <li>4) The authors avoided adjusting for variables identified after the intervention has been administered.</li> </ul>								
1)	•	-	e intervention in this study.	lf yes,	provide examples of confounding			
2)	2) Where matching was applied and, if so, whether it featured sufficient criteria. <sup>12</sup>							
4)	The authors avoided ad	ljusting for variables identifie	d after the intervention has	been a	dministered.			
	Ine treatment and contr lo statically significant diff Statistically significance di legligible descriptive diffe	ferences ifference prences	natoning/controls have b	ien con	npleted. Select one of the following:			
Low	risk of bias	Moderate risk of bias	High risk of bias		Critical risk of bias	Worth continuing? Y/N?		
		tip I + II (above) and start he	<i>'</i>					
		(because of ineffective ra	ndomization)					
	location of treatment stat	us truly random?						
Арр	raisal indicators							
Con	sider whether:							
1)	Eligibility criteria for stud	dy entry are specified.						
2)	There is a clear descrip	tion of randomization proces	s and whether the methods	are rol	bust.			

<sup>&</sup>lt;sup>11</sup> Confounding domains are those for which, in the context of this study, adjustment is expected to lead to an important change in the estimated effect of the intervention.

<sup>&</sup>lt;sup>12</sup> Matching can be done on the calculated propensity score or covariates. If the latter, it should ideally be done on the pre-test measures and other characteristics, such as demographic. Answer 'no' if the study only matched on pre-test measures of some or all variables used later as outcome measures or matched only on end line characteristics.

	HODOLOGICAL APPRAISAL CF				RESPO	ONSE			
					Yes	No	Comment		
3)	The unit of randomization locations/balance).	on and number of participar	nts is clearly stated (pay spe	cial attention to treatment and contr	ol				
4)	Characteristics of both b comparable. Select one		e are provided13 and at end li	ne the treatment and control group a	е				
_ ^	lo statically significant diffe	erences							
_ 5	Statistically significance difi	ference							
	legligible descriptive differ								
<u> </u>	Significant descriptive diffe	rences							
Low	risk of bias	Moderate risk of bias	High risk of bias	Critical risk of bias			of bias, treat om study		
Was <b>App</b>		om intended interventions nted as laid out in the study p							
1)	The critical co-intervention	ons were balanced across int	tervention and control groups						
2)	Treatment switches were	low enough to not threaten	the validity of the estimated e	ffect of the intervention.					
3)	Implementation failure wa	as minor and unlikely to thre	aten the validity of the estimat	ed effect of the intervention.					
<i>~)</i>									
<i>'</i>	n is possible that the line			<b>-</b> ,					
4) 5)	•	dge of group allocation affect	s how the two study groups an	e treated during delivery and evaluation	'n				

<sup>&</sup>lt;sup>13</sup> Preferable condition: An RCT with appropriate randomization procedure can be included without showing baseline data. As both experimental groups can be assumed to be equal as baseline by design.

<sup>&</sup>lt;sup>14</sup> Whilst challenging in terms of estimating impact, spill overs might be an important finding.

<sup>&</sup>lt;sup>15</sup> Consider only in extreme cases in which preferential treatment is evident; blinding is generally not expected in social interventions.

Метн	HODOLOGICAL APPRAISAL CF	RITERIA			Respo	DNSE	
					Yes	No	Comment
Are t App	ias due to missing/incom the intervention and contro raisal indicators sider whether:		nces in participants with missing/i	ncomplete data?			
1)	1) Outcome data are reasonably complete (80% or above). <sup>16</sup>						
2)	If level of attrition (or othe	er forms of missing/incomplete	data) is more than 20%, are rease	ons for the missing data reported?			
3)	between remaining partie		ion and are the proportion of pai	the authors demonstrate similarity rticipants with missing/incomplete			
4)		other forms of missing/incomping data? (e.g., sensitivity anal		re appropriate statistical methods			
5)	If it is not possible to co analysis?	ontrol for missing/incomplete	data, are outcomes with missing	g/incomplete data excluded from			
Low	risk of bias	Moderate risk of bias	High risk of bias	Critical risk of bias	Worth	continu	uing? Y/N
Are i App	tias in measurement of o measurements appropriate raisal indicators sider whether:	utcomes e, e.g., clear origin or validity k	nown?				
1)	There was an adequate p	period for follow-up.18					

<sup>&</sup>lt;sup>16</sup> The assumption here that the level of attrition (or other forms of missing/incomplete data) is sufficiently low to not require adjustment.

 <sup>&</sup>lt;sup>17</sup> Select 'no' if the study addresses missing/incomplete data through simple estimates of missing data and observations.
 <sup>18</sup> In many social science interventions, follow-up is not required to coincide with the start of the treatment; further, longer periods of follow-up are often required to measure changes.

	HODOLOGICAL APPRAISAL C	CRITERIA			RESPO	NSE	
					Yes	No	Comment
2)	The outcome measure	(e.g., employment status, incor	me) was clearly defined and objec	<i>tive</i> . <sup>19</sup>			
3)	Outcomes were assess						
4)							
5)	5) The methods of outcome assessment were comparable across groups.						
6)	Were outcome assesso	rs aware of the intervention rec	ceived by study participants? <sup>20</sup>				
Low	risk of bias	Moderate risk of bias	High risk of bias	Critical risk of bias	Worth	contin	uing? Y/N
1)							
.,	It is unlikely that the rep among numerous explo		selected for publication due to it l	peing a particularly notable finding			
2)	among numerous explo It is unlikely that the rep	ratory analyses. ported effect estimate is prone		peing a particularly notable finding multiple outcome measurements			
2)	among numerous explo It is unlikely that the rep within the outcome dom	ratory analyses. ported effect estimate is prone nain.	to selective reporting from among	multiple outcome measurements			
	among numerous explo It is unlikely that the rep within the outcome dom	pratory analyses. ported effect estimate is prone nain. ported effect estimate is prone i	to selective reporting from among				
2)	among numerous explo It is unlikely that the rep within the outcome dom It is unlikely that the rep measurements, includin	pratory analyses. ported effect estimate is prone nain. ported effect estimate is prone in ng subgroup analysis.	to selective reporting from among	multiple outcome measurements multiple analyses of the outcome			
2) 3)	among numerous explo It is unlikely that the rep within the outcome dom It is unlikely that the rep measurements, includin If subgroup/ancillary/adj	pratory analyses. ported effect estimate is prone nain. ported effect estimate is prone i ng subgroup analysis. justed analyses are presented, an intention to treat analysis. (	to selective reporting from among to selective reporting from among are these pre-specified or explore	multiple outcome measurements multiple analyses of the outcome			
2) 3) 4)	among numerous explo It is unlikely that the rep within the outcome dom It is unlikely that the rep measurements, includin If subgroup/ancillary/adj The analysis includes a account for missing data	pratory analyses. ported effect estimate is prone nain. ported effect estimate is prone ng subgroup analysis. justed analyses are presented, an intention to treat analysis. ( a?) <sup>21</sup>	to selective reporting from among to selective reporting from among are these pre-specified or explore If so, was this appropriate and w	multiple outcome measurements multiple analyses of the outcome atory?			

 <sup>&</sup>lt;sup>19</sup> Subjective measures (e.g. those based on self-report) are likely to have lower reliability and validity than objective measures.
 <sup>20</sup>Consider only in extreme cases in which preferential treatment is clearly evident; blinding is generally not expected in social interventions.
 <sup>21</sup> Consider only in extreme cases in which preferential treatment is clearly evident; blinding is generally not expected in social interventions.

## Appendix 4. APPROPRIATE FORMULA FOR EFFECT SIZE CALCULATIONS

Details of the appropriate formula for effect size calculations in reference to, and dependent on, the data provided in included studies are described below.

Studies reporting means (X) and pooled standard deviation for treatment (T) and control or comparison (C) at follow-up only:

$$d = \frac{x_{Tp+1} - x_{Cp+1}}{SD}$$

If the study does not report the pooled standard deviation, it is possible to calculate it using the following formula:

$$SD_{p+1} = \sqrt{\frac{(n_{Tp+1} - 1)SD_{Tp+1}^2 + (n_{Cp+1} - 1)SD_{Cp+1}^2}{n_{Tp+1} + n_{Cp+1} - 2}}$$

Where the intervention is expected to change the standard deviation of the outcome variable, we will use the standard deviation of the control group only.

For studies reporting means  $(\underline{X})$  and standard deviations for treatment and control or comparison groups at baseline (p) and follow-up (p+1):

$$d = \frac{\Delta \underline{X}_{p+1} - \Delta \underline{X}_p}{SD_{p+1}}$$

For studies reporting mean differences ( $\Delta \underline{X}$ ) between treatment and control and standard deviation (SD) at follow-up (p+1):

$$d = \frac{\Delta \underline{X}_{p+1}}{SD_{p+1}} = \frac{\underline{X}_{Tp+1} - \underline{X}_{Cp+1}}{SD_{p+1}}$$

For studies reporting mean differences between treatment and control, SE and sample size (n):

$$d = \frac{\Delta \underline{X}_{p+1}}{SE\sqrt{n}}$$

As primary studies have become increasingly complex, it has become commonplace for authors to extract partial effect sizes (e.g. a regression coefficient adjusted for covariates) in the context of meta-analysis. For studies reporting regression results, we will follow the approach suggested by Keef and Roberts (2004) using the regression coefficient and the pooled standard deviation of the outcome. Where the pooled standard deviation of the outcome is unavailable, we will utilize regression coefficients and SE or *t*-statistics to do the following, where sample size information is available in each group:

$$d = t \sqrt{\frac{1}{n_T} + \frac{1}{n_C}}$$

where *n* denotes the sample size of the treatment group and control.

We will use the following where only the total sample size information (N) is available, as suggested in Polanin and others (2016):

$$d = \frac{2t}{\sqrt{N}} Var_d = \frac{4}{N} + \frac{d^2}{4N}$$

We will calculate the *t*-statistic (*t*) by dividing the coefficient by the SE. If the authors only report confidence intervals and no SE, we will calculate the SE from the confidence intervals. If the study does not report the SE but reports *t*, we will extract and use this as reported by the authors. In cases in which significance levels are reported rather than *t* or SE (b), then *t* will be imputed as follows:

 $0.1 \ge \text{Prob} > 0.05$ : t = 1.8

 $0.05 \ge \text{Prob} > 0.01$ : t = 2.4

 $0.01 \ge \text{Prob}: t = 2.8$ 

Where outcomes are reported in proportions of individuals, we will calculate the Coxtransformed log odds ratio effect size (Sánchez-Meca and others, 2003):

$$d = \frac{ln(OR)}{1.65}$$

where OR is the odds ratio calculated from the two-by-two frequency table.

Where outcomes are reported based on proportions of events or days, we will use the standardized proportion difference effect size:

$$d = \frac{p_T - p_C}{SD(p)}$$

where  $p_t$  is the proportion in the treatment group and  $p_c$  is the proportion in the comparison group, and the denominator is given by:

$$SD(p) = \sqrt{p (1-p)}$$

where p is the weighted average of  $p_c$  and  $p_t$ :

$$p = \frac{n_T p_T + n_C p_C}{n_T + n_C}$$

An independent reviewer will evaluate a random selection of 10 per cent of effect sizes to ensure that the correct formulae will be employed in effect size calculations. In all cases after synthesis, we will convert the pooled effect sizes to commonly used metrics such as percentage changes and mean differences in outcome metrics typically used (e.g. weight in kg) whenever feasible.

Appendix 5. GRADING OF RECOMMENDATIONS ASSESSMENT, DEVELOPMENT AND EVALUATION (GRADE) TOOL (EXAMPLE)

CERTAINTY ASSESSMENT						SAMPLE	EFFECT	CERTAINTY	Importance
Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	SIZE	Absolute (95% CI)		
Outcome	1								
RCT	Serious	Serious	Not serious	Serious	None	737	SMD 0.02 SD higher (0.12 lower to 0.16 higher)	⊕⊖⊖⊖ VERY LOW	Limited importance
Outcome	2								
RCT – 3	Serious	Serious	Not serious	Not serious	None	4,991	SMD 0.14 SD higher (0.01 higher to 0.28 higher)	⊕⊕⊖⊖ LOW	Important, but not critical
Outcome	3								
RCT – 6 QED – 2	Very serious	Not serious	Not serious	Not serious	None	9,970	SMD 0.09 SD higher (0.02 higher to 0.16 higher)	⊕⊕⊖⊖ LOW	Important, but not critical
Outcome	4								
RCT	Very serious	Serious	Not serious	Not serious	None	3,219	Two negative and three positive effect estimates with a 95% CI range of -0.08 to 0.16	⊕○○○ VERY LOW	Important, but not critical
Outcome	5								
RCT	Very serious	Serious	Not serious	Not serious	None	3,219	SMD 0.02 SD higher (0.09 lower to 0.05 higher)	⊕⊖⊖⊖ VERY LOW	Important, but not critical
Outcome	6								

CERTAINTY ASSESSMENT					SAMPLE		Certainty	IMPORTANCE	
Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	SIZE	Absolute (95% CI)		
RCT	Not serious	Serious	Not serious	Serious	None	3,543	Five positive effect estimates with a 95% CI range of -0.00 to 0.41	⊕⊕⊖⊖ LOW	Important, but not critical
Outcome	7								
RCT - 7	Serious	Serious	Not serious	Not serious	None	8,359	SMD 0.06 SD higher (0.02 lower to 0.14 higher)	⊕⊕⊖⊖ LOW	Critical
Outcome	8								
RCT – 2 QED – 1	Very serious	Serious	Not serious	Not serious	None	5,233	SMD0.14SDhigher(0.02 higher to 0.26 higher)	⊕OOO VERY LOW	Limited importance





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